

## APPLICATION FOR INITIAL HCBS CERTIFICATION for Independent Providers

Complete all questions accurately and legibly. Falsification and/or omission of information may result in delay or denial of HCBS certification (A.A.C. R6-6-1514).

A.R.S. 41-1030. **Invalidity of rules not made according to this chapter; prohibited agency action; prohibited acts by state employees; enforcement; notice.**

B. An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.

E. This section may be enforced in a private civil action and relief may be awarded against the state. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section.

F. A state employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the agency's adopted personnel policy.

G. This section does not abrogate the immunity provided by section 12-820.01 or 12-820.02

### SECTION 1: APPLICANT INFORMATION

Applicant's Name (*Last, First, M.I.*) \_\_\_\_\_ Application Date \_\_\_\_\_

List all Prior Names Used \_\_\_\_\_

SOC. SEC. NO. \_\_\_\_\_ Date of Birth \_\_\_\_\_

Mailing Address (*No., Street, Apt., City, State, ZIP Code*) \_\_\_\_\_

Physical Address (*If different from above*) \_\_\_\_\_

Phone Number (*Home*) \_\_\_\_\_ Phone Number (*Mobile*) \_\_\_\_\_

Email \_\_\_\_\_

1. Have you ever been licensed/certified to care for children/adults? If yes, provide dates, state, and type (e.g., day care,ACYF,) of license/certification and attach copy if available.  From: _____ To: _____ State: _____  Type: _____  From: _____ To: _____ State: _____  Type: _____	Yes    No
2. Have you ever had a license/certificate denied, revoked or suspended? ( <i>If yes, attach an explanation.</i> )	Yes    No
3. Have you ever been subject of inquiry by the Department of Child Safety (DCS) or Adult Protective Services (APS)? ( <i>If yes, attach an explanation.</i> )	Yes    No
4. If services are to be delivered in facility/residence of the applicant, has any adult household member been subject of inquiry by DCS and/or APS? ( <i>If yes, attach an explanation.</i> )	Yes    No    N/A
5. Have you ever been registered to provide services for AHCCCS?  If yes, what is/was your AHCCCS number? _____	Yes    No
6. Does the person with developmental disabilities you intend to serve reside with you?	Yes    No
7. Select ALL categories of service you are requesting:  <div style="display: flex; justify-content: space-between; font-size: small;"> <span>23 Homemaker</span> <span>28 Attendant Care</span> <span>26 Respite</span> <span>32 Habilitation</span> <span>31 Non-Emergency Transportation</span> </div>	

8. Do you plan to transport members while providing services? If you answered Yes, ensure driver license, auto insurance and auto registration are listed in Section 4	Yes      No
9. Do you plan to deliver services at your home for members who do not reside with you? If you answered Yes, ensure adult household member information is entered in Section 4. Do any other adults (non-DDD) reside in your home? If Yes, ensure adult household member(s) listed in Certification Requirements section.	Yes      No  Yes      No

**SECTION 2: WORK HISTORY**  
**(NOT REQUIRED FOR PARENT OR IMMEDIATE FAMILY MEMBER)**  
**LIST MOST RECENT JOB FIRST OR ATTACH RESUME.**

Employer's Name \_\_\_\_\_

May We Contact Your Supervisor      Yes      No      Phone No. \_\_\_\_\_

Address (No., Street) \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

Supervisor's Name (Last, First) \_\_\_\_\_

Length of Employment (From/To) From: \_\_\_\_\_ To: \_\_\_\_\_

Job Title/Occupation \_\_\_\_\_

Job Duties \_\_\_\_\_

Employer's Name \_\_\_\_\_

May We Contact Your Supervisor      Yes      No      Phone No. \_\_\_\_\_

Address (No., Street) \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

Supervisor's Name (Last, First) \_\_\_\_\_

Length of Employment (From/To) From: \_\_\_\_\_ To: \_\_\_\_\_

Job Title/Occupation \_\_\_\_\_

Job Duties \_\_\_\_\_

**SECTION 3: BACKGROUND**

Highest Grade Completed \_\_\_\_\_ Degree \_\_\_\_\_

Describe any special skills, professional licenses, training and/or previous experience with children/adults related to the service you want to provide (i.e., babysitting, volunteer, companion, organized sports/recreation, day care, camps, nursing homes, hospitals, and working with disabled individuals and indicate length of experience in years)

**SECTION 4: CERTIFICATION REQUIREMENTS**

Complete the following:

CERTIFICATION REQUIREMENTS	DATE (MM/DD/YY)	N/A	VERIFIED BY PROVIDER COORDINATOR (FOR DDD USE ONLY)
a. CPR Expiration			
b. First Aid Expiration			
c. Article 9 Expiration			
d. Fingerprint Clearance Card Expiration			

CERTIFICATION REQUIREMENTS (CONTINUED)	DATE (MM/DD/YY)	N/A	VERIFIED BY PROVIDER COORDINATOR (FOR DDD USE ONLY)
If you selected N/A, Name of Member:			
Relationship to Member:			
e. Criminal History Self-Disclosure			
f. Driver License Expiration			
g. Auto Insurance Expiration			
h. Auto Registration Expiration			
i. Household Member Fingerprint Card Expiration			
Name:			
j. Household Member Fingerprint Card Expiration			
Name:			
k. Household Member Fingerprint Card Expiration			
Name:			
l. Household Member Criminal History Self Disclosure			
Name:			
m. Household Member Criminal History Self Disclosure			
Name:			
n. Household Member Criminal History Self Disclosure			
Name:			

I swear under penalties of law including perjury, false swearing, or unsworn falsification, that the information I have provided on this form is true and accurate to the best of my knowledge.

Provider Signature \_\_\_\_\_ Date \_\_\_\_\_

**SECTION 5: FOR DDD USE ONLY**

Print DDD Provider Coordinator's Name \_\_\_\_\_

Date Application Received by District \_\_\_\_\_ Phone Number \_\_\_\_\_

By signing, I affirm that I have reviewed this application for completeness and reviewed the provider's certification file.

Provider Coordinator's Signature \_\_\_\_\_ Date \_\_\_\_\_

Equal Opportunity Employer / Program • Auxiliary aids and services are available upon request to individuals with disabilities • To request this document in alternative format or for further information about this policy, contact the Division of Developmental Disabilities ADA Coordinator at 602-771-2893; TTY/TDD Services: 7-1-1.