



DEPARTMENT OF ECONOMIC SECURITY
Your Partner For A Stronger Arizona

Janice K. Brewer
Governor

Clarence H. Carter
Director

June 30, 2011

To: Area Agencies on Aging
Pima Health Systems
Mohave County Career Center One-Stop

From: Melanie K. Starns, M.A.G.
DES Assistant Director
Division of Aging and Adult Services

Subject: SFY 2012 Allocations

The following ALERTS are attached:

<u>ALERT</u>	<u>FUND SOURCE/TYPE</u>
ALERT SFY-12-1A	Title III and VII – Revised Allocations
ALERT SFY 12-2B	Social Services Block Grant (SSBG)- Allocations
ALERT SFY-12-5A	Senior Community Service Employment Program (SCSEP) – Performance Goals
ALERT SFY-12-6A	Nutrition Services Incentive Program (NSIP) – Revised Allocations
ALERT SFY-12-7B	State Health Insurance Assistance Program (SHIP)/ SMP – Revised Allocations
ALERT SFY-12-11A	Other Funds – EnhanceFitness Carryover for Regions 2 and 3
ALERT SFY-12-11B	Other Funds – Options Counseling
ALERT SFY-12-12A	Technical Assistance – ASCAP Short Form Intake Document Revisions

The ALERTS are subject to change as additional information is received by the Division pertaining to the funding sources identified.

ALERTS are available on the Division website using the link: <https://www.azdes.gov/daas/alerts>

Scroll down to ALERTS at the bottom of the webpage and select the respective ALERT.

A SFY 2012 contract operating budget is due to the Division of Aging and Adult Services, Fiscal and Contracts Unit by close of business Friday, July 22, 2011. An amendment will be prepared.

Should you have any questions regarding the attached ALERTS, please contact your assigned Contract Specialist.

c: Lynn Larson, Nina Sutton, Bridget Casey, Cam Kowal, Jerry Lay, Terri Anderson, Cindy Saverino, Joel Millman, David Besst, Jutta Ulrich, Jennifer Cain, Ada Leach, Tammy Frazee, Darrell Funk, Chester Lee, Roberta Blyth, DAAS file

Division of Aging and Adult Services
ALERT

SFY-12-1A

**Title III and VII
for SFY-2012**

The Division of Aging and Adult Services (DAAS) received a decrease of \$359,465 for Older Americans Act Title III and Title VII collectively in the FFY 2011 grant awards from the Administration on Aging. A full 100 percent of the FFY 2011 decrease was placed into the SFY 2012 allocation. Had the decrease been received earlier in SFY 2011, three-fourths of the decrease would have been placed in SFY 2011 and one-quarter of the decrease would have been placed in SFY 2012.

Decreases occurred in Title III for \$351,199 and Title VII for \$8,266 in the following titles and subparts:

- a decrease of \$111,879 in III-B
- a decrease of \$113,718 in III-C1
- a decrease of \$58,337 in III-C2
- a decrease of \$810 in III-D
- a decrease of \$66,455 in III-E
- a decrease of \$2,330 in VII-Elder Abuse
- a decrease of \$5,936 in VII-Federal Ombudsman

Attached are the revised allocations that identify the contract levels for your respective Planning and Service Area for SFY 2012. These revised allocations are based on the current FFY 2011 grant and the estimated FFY 2012 grant award. When the Division receives its actual FFY 2012 grant award, the Title III and VII allocations will be adjusted accordingly and a revised ALERT will be issued.

A program match of 15 percent is required for Title III allocations. Area Agencies on Aging (AAAs) are required to provide 10 percent non-federal match for all services funded under an approved Area Plan on Aging for the cost of carrying out Older Americans Act (OAA) programs. DAAS provides a 5 percent non-federal cash match to AAAs using State General Funds allocations identified for Independent Living Support services. This is also known as the "state" match.

The non-federal cash match funds have been identified separately from the federal allocation to assist AAAs to determine the required "state" match for services funded with OAA funds. **If OAA funds are increased or decreased, the required match must be increased or decreased respectively. This also applies to carryover funds.**

Non-federal program cash match from State General Funds – Independent Living Support, for OAA funding is based on the following formula:

$$\frac{(\text{funds allocated}) \times (.055556)}{(.944444)}$$

An administrative match of 25 percent is required for Title III allocations. DAAS provides a 25 percent non-federal cash match to AAAs using State General Funds allocations identified for State Administration.

The non-federal cash match funds have been identified separately from the federal allocation to assist AAAs to determine the required "state" administration match for services funded with OAA funds.

Division of Aging and Adult Services
ALERT

SFY-12-1A

**Title III and VII
for SFY-2012**

Non-federal administrative cash match from State General Funds – State Administration, for OAA funding is based on the following formula:

$$\frac{\text{(funds allocated)}}{(.75)} \times (.25)$$

Tribal AAAs may combine Independent Living Support and State Administration in order to fulfill their match requirements.

Should you have questions regarding the Title III and VII allocations or require assistance in determining the match requirements by services, please contact your assigned Contract Specialist.

Funding Allocation – Family Caregiver Support Program (Title III-E)

To support the development of a statewide respite care network through collaboration between the AAAs, the Arizona Caregiver Coalition (ACC), and the Division, an allocation of \$15,000 in Title III-E funding is being made to Pinal/Gila Council for Senior Citizens (PGCSC) on behalf of the Arizona Association of Area Agencies on Aging (AZ4A). This funding will be used to coordinate outreach activities and community education events between AZ4A and the ACC. These activities and events being planned by the ACC will target service providers, community partners, and other stakeholders that support caregivers to participate in a Statewide Summit on Respite Care. The funds will support participation of a representative of the AZ4A in the planning of these events and activities and will provide scholarships to persons identified by AZ4A to attend the Statewide Summit and the National Lifespan Respite Conference. Conference calls will be scheduled in August and September to discuss further details and to allow input, and the AZ4A representative will make all of the AAAs aware of the specific dates and times of the calls.

The following allocation/adjustment is being made:

Region	SFY-12-1A	Allocation
PGCSC	\$15,000	\$15,000
Total Allocation	\$15,000	\$15,000

The service codes for use with these funds are Outreach (IRX), and Community Education (EIX). Should you have questions regarding this funding allocation or require assistance, please contact your assigned Contract Specialist.

NGA FY-11	III-B	III-C1	III-C2	III-D	FED. OMB.	ELDER	CAREGIVER	TOTAL
Total Federal	7,425,075	8,907,325	4,479,088	404,463	346,250	102,553	3,221,475	24,886,229
New Mexico	41,570	49,868	25,076	2,370	1,939	574	17,569	138,966
Utah	8,732	10,475	5,268	461	407	121	3,647	29,111
Total Navajo	50,302	60,343	30,344	2,831	2,346	695	21,216	168,077
NGA FY-12 (ESTIMATED)	III-B	III-C1	III-C2	III-D	FED. OMB.	ELDER	CAREGIVER	TOTAL
Total Federal	7,425,075	8,907,325	4,479,088	404,463	346,250	102,553	3,221,475	24,886,229
New Mexico	41,570	49,868	25,076	2,370	1,939	574	17,569	138,966
Utah	8,732	10,475	5,268	461	407	121	3,647	29,111
Total Navajo	50,302	60,343	30,344	2,831	2,346	695	21,216	168,077
NGA BREAKDOWN	III-B	III-C1	III-C2	III-D	FED. OMB.	ELDER	CAREGIVER	TOTAL
<i>FY11 NGA (W/O Navajo)</i>	7,374,773	8,846,982	4,448,744	401,632	321,290	74,738	3,200,259	24,668,418
<i>FY11 NAVAJO TRANSFER</i>	50,302	60,343	30,344	2,831	2,346	695	21,216	168,077
<i>FY12 EST. NGA (W/O Navajo)</i>	7,374,773	8,846,982	4,448,744	401,632	321,290	74,738	3,200,259	24,668,418
<i>FY12 EST. NAVAJO TRANSFER</i>	50,302	60,343	30,344	2,831	2,346	695	21,216	168,077
<i>1/4 FY11 NGA (W/O Navajo)</i>	1,759,875	2,126,470	1,068,486	99,805	75,874	16,939	750,409	5,897,857
<i>1/4 FY11 NAVAJO TRANSFER</i>	12,485	15,074	7,533	703	584	172	5,118	41,668
<i>3/4 FY12 EST. NGA (W/O Navajo)</i>	5,531,080	6,635,237	3,336,558	301,224	240,968	56,054	2,400,194	18,501,314
<i>3/4 FY12 EST. NAVAJO TRANSFER</i>	37,727	45,257	22,758	2,123	1,760	521	15,912	126,058
<i>TOTAL NGA (W/O Navajo)</i>	7,290,955	8,761,706	4,405,044	401,029	316,842	72,992	3,150,603	24,399,170
<i>TOTAL NAVAJO TRANSFER</i>	50,211	60,331	30,291	2,827	2,343	694	21,030	167,726
<i>TOTAL FEDERAL</i>	7,341,166	8,822,037	4,435,335	403,856	319,185	73,686	3,171,633	24,566,896
ADMIN CALCULATIONS ADDED TO III-C1					5.00%			
	III-B	III-C1	III-C2	III-D	FED. OMB.	ELDER	CAREGIVER	TOTAL
STATE	367,058	441,102	221,767	20,193	0	0	158,582	1,208,702
AAA	697,411	838,094	421,357	38,366	0	0	301,305	2,296,533
ELDER RIGHTS POSITION	0	0	0	0	22,614	27,120	0	49,734
<i>*NOTE: Admin calculated against program specific dollars but C1 hit for all State & AAA admin. (III-E calculated separately for Admin.)</i>								

TITLE III FORMULA ALLOCATIONS FOR SFY-2012

	ALLOC %	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	FAMILY CAREGIVER	TOTAL
AVAILABLE FEDERAL		7,290,955	8,761,706	4,405,044	401,029	316,842	72,992	3,150,603	24,399,171
NAVAJO TRANSFER		50,211	60,331	30,291	2,827	2,343	694	21,030	167,727
TOTAL AVAILABLE		7,341,166	8,822,037	4,435,335	403,856	319,185	73,686	3,171,633	24,566,898
LESS DAAS OMBUDS		109,364	0	0	0	0	0	0	109,364
LESS STATE ADMIN		0	1,050,120	0	0	0	0	158,582	1,208,702
LESS 10% AAA ADMIN		0	1,995,228	0	0	0	0	301,305	2,296,533
LESS NAVAJO TRANSFER		50,211	60,331	30,291	2,827	2,343	694	21,030	167,727
TOTAL FED PROGRAM		7,181,591	5,716,358	4,405,044	401,029	316,842	72,992	2,690,716	20,784,572
STATE PROGRAM FUNDS		0	0	0	0	0	0	0	0
TOTAL PROGRAM		7,181,591	5,716,358	4,405,044	401,029	316,842	72,992	2,690,716	20,784,572
LESS PSA BASE PROG		174,400	190,000	35,600	0	0	0	0	400,000
F2 = 8.5% PROG TOTAL		610,435	485,890	374,429	34,087	26,932	6,204	228,711	1,766,688
F1 = PROGRAM BALANCE		6,396,756	5,040,468	3,995,015	366,942	289,910	66,788	2,462,005	18,617,884
AAA ADMIN FED		0	1,995,228	0	0	0	0	301,305	2,296,533
AAA ADMIN STATE		0	0	0	0	0	0	0	0
AAA ADMIN BASE		0	480,000	0	0	0	0	0	480,000
AAA ADMIN BALANCE		0	1,515,228	0	0	0	0	301,305	1,816,533

	ALLOC %	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	FAMILY CAREGIVER	TOTAL
REGION I									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	47.28%	3,024,397	2,383,142	1,888,850	173,491	137,067	31,576	1,164,040	8,802,563
F2	12.48%	76,185	60,641	46,730	4,254	3,361	774	28,544	220,489
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	47.28%	0	716,402	0	0	0	0	142,458	858,860
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		3,122,382	3,243,935	1,940,030	177,745	140,428	32,350	1,335,042	9,991,912

REGION II									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	15.94%	1,019,423	803,277	636,668	58,478	46,201	10,643	392,359	2,967,049
F2	10.04%	61,297	48,791	37,598	3,423	2,704	623	22,966	177,402
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	15.94%	0	241,475	0	0	0	0	48,018	289,493
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		1,102,520	1,177,293	678,716	61,901	48,905	11,266	463,343	3,543,944

REGION III									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	6.83%	437,101	344,424	272,986	25,074	19,810	4,564	168,233	1,272,192
F2	22.78%	139,064	110,691	85,299	7,765	6,135	1,413	52,103	402,470
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	6.83%	0	103,538	0	0	0	0	20,589	124,127
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		597,965	642,403	362,735	32,839	25,945	5,977	240,925	1,908,789

	ALLOC %	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	FAMILY CAREGIVER	TOTAL
REGION IV									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	8.38%	535,990	422,345	334,746	30,746	24,291	5,596	206,294	1,560,008
F2	15.58%	95,133	75,724	58,353	5,312	4,197	967	35,644	275,330
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	8.38%	0	126,962	0	0	0	0	25,247	152,209
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		652,923	708,781	397,549	36,058	28,488	6,563	267,185	2,097,547

REGION V									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	5.28%	337,688	266,089	210,899	19,371	15,304	3,526	129,970	982,847
F2	13.59%	82,935	66,014	50,871	4,631	3,659	843	31,073	240,026
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	5.28%	0	79,990	0	0	0	0	15,906	95,896
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		442,423	495,843	266,220	24,002	18,963	4,369	176,949	1,428,769

REGION VI									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	3.93%	251,380	198,080	156,996	14,420	11,393	2,625	96,752	731,646
F2	12.41%	75,779	60,318	46,481	4,232	3,343	770	28,392	219,315
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	3.93%	0	59,545	0	0	0	0	11,841	71,386
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		348,959	401,693	207,927	18,652	14,736	3,395	136,985	1,132,347

REGION VII									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	5.00%	319,884	252,060	199,780	18,350	14,504	3,343	123,118	931,039
F2	5.30%	32,379	25,772	19,860	1,808	1,429	329	12,131	93,708
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	5.00%	0	75,772	0	0	0	0	15,067	90,839
ONE-TIME		0	0	0	0	0	0	0	0
SUBTOTAL		374,063	437,354	224,090	20,158	15,933	3,672	150,316	1,225,586
INTERSTATE TRANSFER		50,211	60,331	30,291	2,827	2,343	694	21,030	167,727
TOTAL		424,274	497,685	254,381	22,985	18,276	4,366	171,346	1,393,313

REGION VIII									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	7.36%	470,893	371,051	294,091	27,012	21,341	4,916	181,239	1,370,543
F2	7.81%	47,664	37,939	29,236	2,662	2,103	484	17,858	137,946
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	7.36%	0	111,543	0	0	0	0	22,180	133,723
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		540,357	604,283	327,777	29,674	23,444	5,400	221,277	1,752,212

	ALLOC %	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	FAMILY CAREGIVER	TOTAL
TOTAL									
PROGRAM BASE		174,400	190,000	35,600	0	0	0	0	400,000
F1	100.00%	6,396,756	5,040,468	3,995,016	366,942	289,911	66,789	2,462,005	18,617,887
F2	100.00%	610,436	485,890	374,428	34,087	26,931	6,203	228,711	1,766,686
ADMIN BASE		0	480,000	0	0	0	0	0	480,000
ADMIN	100.00%	0	1,515,227	0	0	0	0	301,306	1,816,533
ONE-TIME		0	0	0	0	0	0	0	0
SUBTOTAL		7,181,592	7,711,585	4,405,044	401,029	316,842	72,992	2,992,022	23,081,106
INTERSTATE TRANSFER		50,211	60,331	30,291	2,827	2,343	694	21,030	167,727
TOTAL		7,231,803	7,771,916	4,435,335	403,856	319,185	73,686	3,013,052	23,248,833

SFY-2012 PLANNING LEVELS FOR AAA'S

REGION I	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	1,832,262	1,935,107	904,555	131,023	53,699	25,219	N/A	4,881,865	506,821	ALERT #00-1D
11-12 BASE	3,122,382	3,243,935	1,940,030	177,745	140,428	32,350	1,335,042	9,991,912	918,860	FY 11-12 FUNDS
HOLD-HARMLESS 11-12										ALERT #00-1D LESS EST.FY 10-11 BASE
INCREASE IN BASE 11-12	1,290,120	1,308,828	1,035,475	0	0	0	0	3,634,423	412,039	INCREASE OVER 99-00 BASE
TOTAL 11-12	3,122,382	3,243,935	1,940,030	177,745	140,428	32,350	1,335,042	9,991,912	918,860	FY11-12 PLANNING LEVEL

REGION II	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	669,548	744,811	326,414	46,859	19,205	9,019	N/A	1,815,856	218,466	ALERT #00-1D
11-12 BASE	1,102,520	1,177,293	678,716	61,901	48,905	11,266	463,343	3,543,944	349,493	FY 11-12 FUNDS
HOLD-HARMLESS 11-12										ALERT #00-1D LESS EST.FY 10-11 BASE
INCREASE IN BASE 11-12	432,972	432,482	352,302	0	0	0	0	1,217,756	131,027	INCREASE OVER 99-00 BASE
TOTAL 11-12	1,102,520	1,177,293	678,716	61,901	48,905	11,266	463,343	3,543,944	349,493	FY11-12 PLANNING LEVEL

REGION III	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	340,688	393,666	162,068	22,850	9,365	4,398	N/A	933,035	121,539	ALERT #00-1D
11-12 BASE	597,965	642,403	362,735	32,839	25,945	5,977	240,925	1,908,789	184,127	FY 11-12 FUNDS
HOLD-HARMLESS 11-12										ALERT #00-1D LESS EST.FY 10-11 BASE
INCREASE IN BASE 11-12	257,277	248,737	200,667	0	0	0	0	706,681	62,588	INCREASE OVER 99-00 BASE
TOTAL 11-12	597,965	642,403	362,735	32,839	25,945	5,977	240,925	1,908,789	184,127	FY11-12 PLANNING LEVEL

REGION IV	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	324,136	382,304	154,157	21,731	8,906	4,183	N/A	895,417	123,357	ALERT #00-1D
11-12 BASE	652,923	708,781	397,549	36,058	28,488	6,563	267,185	2,097,547	212,209	FY 11-12 FUNDS
HOLD-HARMLESS 11-12										ALERT #00-1D LESS EST.FY 10-11 BASE
INCREASE IN BASE 11-12	328,787	326,477	243,392	0	0	0	0	898,656	88,852	INCREASE OVER 99-00 BASE
TOTAL 11-12	652,923	708,781	397,549	36,058	28,488	6,563	267,185	2,097,547	212,209	FY11-12 PLANNING LEVEL

REGION V	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	241,515	297,284	113,050	15,744	6,453	3,030	N/A	677,076	102,401	ALERT #00-1D
11-12 BASE	442,423	495,843	266,220	24,002	18,963	4,369	176,949	1,428,769	155,896	FY 11-12 FUNDS
HOLD-HARMLESS 11-12										ALERT #00-1D LESS EST.FY 10-11 BASE
INCREASE IN BASE 11-12	200,908	198,559	153,170	0	0	0	0	552,637	53,495	INCREASE OVER 99-00 BASE
TOTAL 11-12	442,423	495,843	266,220	24,002	18,963	4,369	176,949	1,428,769	155,896	FY11-12 PLANNING LEVEL

REGION VI	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	224,311	280,563	104,546	14,511	5,947	2,793	N/A	632,671	99,081	ALERT #00-1D
11-12 BASE	348,959	401,693	207,927	18,652	14,736	3,395	136,985	1,132,347	131,386	FY 11-12 FUNDS
HOLD-HARMLESS 11-12										ALERT #00-1D LESS EST.FY 10-11 BASE
INCREASE IN BASE 11-12	124,648	121,130	103,381	0	0	0	0	349,159	32,305	INCREASE OVER 99-00 BASE
TOTAL 11-12	348,959	401,693	207,927	18,652	14,736	3,395	136,985	1,132,347	131,386	FY11-12 PLANNING LEVEL

REGION VII	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	257,121	320,733	120,698	16,276	6,866	3,340	N/A	725,034	98,459	ALERT #00-1D
11-12 BASE	424,274	497,685	254,381	22,985	18,276	4,366	171,346	1,393,313	150,839	FY 11-12 FUNDS
HOLD-HARMLESS 11-12										ALERT #00-1D LESS EST.FY 10-11 BASE
INCREASE IN BASE 11-12	167,153	176,952	133,683	0	0	0	0	477,788	52,380	INCREASE OVER 99-00 BASE
								0		
TOTAL 11-12	424,274	497,685	254,381	22,985	18,276	4,366	171,346	1,393,313	150,839	FY11-12 PLANNING LEVEL

REGION VIII	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	319,525	373,099	151,608	21,334	8,744	4,106	N/A	878,416	117,455	ALERT #00-1D
11-12 BASE	540,357	604,283	327,777	29,674	23,444	5,400	221,277	1,752,212	193,723	FY 11-12 FUNDS
HOLD-HARMLESS 11-12										ALERT #00-1D LESS EST.FY 10-11 BASE
INCREASE IN BASE 11-12	220,832	231,184	176,169	0	0	0	0	628,185	76,268	INCREASE OVER 99-00 BASE
								0		
TOTAL 11-12	540,357	604,283	327,777	29,674	23,444	5,400	221,277	1,752,212	193,723	FY11-12 PLANNING LEVEL

TOTAL	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	4,209,106	4,727,567	2,037,096	290,328	119,185	56,088	N/A	11,439,370	1,387,579	ALERT #00-1D
11-12 BASE	7,231,803	7,771,916	4,435,335	403,856	319,185	73,686	3,013,052	23,248,833	2,296,533	FY 11-12 FUNDS
HOLD-HARMLESS 11-12	0	0	0	0	0	0	0	0	0	ALERT #00-1D LESS EST.FY 10-11 BASE
INCREASE IN BASE 11-12	3,022,697	3,044,349	2,398,239	0	0	0	0	8,465,285	908,954	INCREASE OVER 99-00 BASE
								0		
TOTAL 11-12	7,231,803	7,771,916	4,435,335	403,856	319,185	73,686	3,013,052	23,248,833	2,296,533	FY11-12 PLANNING LEVEL

NOTE: Admin. Includes both Title III C and Title III-E.

NOTE: FY 99-00 Title III-B Base also includes the former III-D (In-Home Services for Frail Older Individuals) allocation.

NOTE: FY 99-00 Title III-D Base is the former III-F allocation.

NOTE: FY 99-00 Title III-E did not exist in FY 00 (received in FY 01 - 2/13/01 #2001/10)

ALERT 12-1A

SFY 11-12 PLANNING LEVELS - FEDERAL FUNDING WITH REQUIRED STATE MATCH FOR AREA AGENCIES ON AGING

Fund Source	I	II	III	IV	V	VI	VII	VIII	TOTAL
III-B	3,122,382	1,102,520	597,965	652,923	442,423	348,959	424,274	540,357	7,231,803
PROGRAM MATCH	183,671	64,855	35,175	38,408	26,025	20,527	24,958	31,786	425,404
TOTAL	3,306,053	1,167,375	633,140	691,331	468,448	369,486	449,232	572,143	7,657,207
III-C1	3,243,935	1,177,293	642,403	708,781	495,843	401,693	497,685	604,283	7,771,916
Less Admin. (See below)	776,402	301,475	163,538	186,962	139,990	119,545	135,772	171,543	1,995,227
III-C1 Sub-total	2,467,533	875,818	478,865	521,819	355,853	282,148	361,913	432,740	5,776,689
PROGRAM MATCH	145,150	51,519	28,169	30,695	20,933	16,597	21,289	25,456	339,808
TOTAL	2,612,683	927,337	507,034	552,514	376,786	298,745	383,202	458,196	6,116,497
III-C2	1,940,030	678,716	362,735	397,549	266,220	207,927	254,381	327,777	4,435,335
PROGRAM MATCH	114,120	39,925	21,338	23,385	15,660	12,231	14,964	19,281	260,904
TOTAL	2,054,150	718,641	384,073	420,934	281,880	220,158	269,345	347,058	4,696,239
III-D	177,745	61,901	32,839	36,058	24,002	18,652	22,985	29,674	403,856
PROGRAM MATCH	10,456	3,641	1,932	2,121	1,412	1,097	1,352	1,746	23,756
TOTAL	188,201	65,542	34,771	38,179	25,414	19,749	24,337	31,420	427,612
VII OMB.	140,428	48,905	25,945	28,488	18,963	14,736	18,276	23,444	319,185
NO MATCH	0	0	0	0	0	0	0	0	0
TOTAL	140,428	48,905	25,945	28,488	18,963	14,736	18,276	23,444	319,185
VII ABUSE	32,350	11,266	5,977	6,563	4,369	3,395	4,366	5,400	73,686
NO MATCH	0	0	0	0	0	0	0	0	0
TOTAL	32,350	11,266	5,977	6,563	4,369	3,395	4,366	5,400	73,686

ALERT 12-1A

SFY 11-12 PLANNING LEVELS - FEDERAL FUNDING WITH REQUIRED STATE MATCH FOR AREA AGENCIES ON AGING

Fund Source	I	II	III	IV	V	VI	VII	VIII	TOTAL
III-E CAREGIVER	1,335,042	463,343	240,925	267,185	176,949	136,985	171,346	221,277	3,013,052
Less Admin. (See below)	142,458	48,018	20,589	25,247	15,906	11,841	15,067	22,180	301,306
NO MATCH	Match required only on the Administration dollars for III-E (see below)								0
TOTAL	1,192,584	415,325	220,336	241,938	161,043	125,144	156,279	199,097	2,711,746
TITLE III-C1									
ADMIN	776,402	301,475	163,538	186,962	139,990	119,545	135,772	171,543	1,995,227
ADMIN. MATCH	258,801	100,492	54,513	62,321	46,663	39,848	45,257	57,181	665,076
TOTAL	1,035,203	401,967	218,051	249,283	186,653	159,393	181,029	228,724	2,660,303
III-E CAREGIVER									
ADMIN	142,458	48,018	20,589	25,247	15,906	11,841	15,067	22,180	301,306
ADMIN. MATCH	47,486	16,006	6,863	8,416	5,302	3,947	5,022	7,393	100,435
TOTAL	189,944	64,024	27,452	33,663	21,208	15,788	20,089	29,573	401,741
	I	II	III	IV	V	VI	VII	VIII	TOTAL
TOTAL FEDERAL	9,991,912	3,543,944	1,908,789	2,097,547	1,428,769	1,132,347	1,393,313	1,752,212	23,248,833
PROGRAM MATCH	453,397	159,940	86,614	94,609	64,030	50,452	62,563	78,269	1,049,872
ADMIN. MATCH	306,287	116,498	61,376	70,737	51,965	43,795	50,279	64,574	765,511
TOTAL FED/STATE	10,751,596	3,820,382	2,056,779	2,262,893	1,544,764	1,226,594	1,506,155	1,895,055	25,064,216

NOTE: Match that has been calculated above, is to come from individual Area Agency ILS budgets for SFY 2012.

ALERT 12-1

SFY-12 Region	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	CAREGIVER	TOTAL	ADMIN*
I	3,207,764	3,322,484	1,985,224	178,368	140,428	32,350	1,384,144	10,250,762	946,465
II	1,132,255	1,204,397	694,456	62,118	48,905	11,266	480,384	3,633,781	358,797
III	613,740	656,015	371,084	32,955	25,945	5,977	249,787	1,955,503	188,117
IV	670,244	724,142	406,717	36,185	28,488	6,563	277,011	2,149,350	217,101
V	453,953	505,927	272,322	24,086	18,963	4,369	183,459	1,463,079	158,978
VI	357,918	409,448	212,670	18,717	14,736	3,395	142,024	1,158,908	133,681
VII	434,170	506,451	259,630	23,065	18,276	4,366	177,310	1,423,268	153,760
VIII	554,611	617,144	335,322	29,778	23,444	5,400	229,416	1,795,115	198,021
TOTAL	7,424,655	7,946,008	4,537,425	405,272	319,185	73,686	3,123,535	23,829,766	2,354,920

ALERT 12-1A

SFY-12 Region	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	CAREGIVER	TOTAL	ADMIN*
I	3,122,382	3,243,935	1,940,030	177,745	140,428	32,350	1,335,042	9,991,912	918,860
II	1,102,520	1,177,293	678,716	61,901	48,905	11,266	463,343	3,543,944	349,493
III	597,965	642,403	362,735	32,839	25,945	5,977	240,925	1,908,789	184,127
IV	652,923	708,781	397,549	36,058	28,488	6,563	267,185	2,097,547	212,209
V	442,423	495,843	266,220	24,002	18,963	4,369	176,949	1,428,769	155,896
VI	348,959	401,693	207,927	18,652	14,736	3,395	136,985	1,132,347	131,386
VII	424,274	497,685	254,381	22,985	18,276	4,366	171,346	1,393,313	150,839
VIII	540,357	604,283	327,777	29,674	23,444	5,400	221,277	1,752,212	193,723
TOTAL	7,231,803	7,771,916	4,435,335	403,856	319,185	73,686	3,013,052	23,248,833	2,296,533

Difference

Region	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	CAREGIVER	TOTAL	ADMIN*
I	(85,382)	(78,549)	(45,194)	(623)	0	0	(49,102)	(258,850)	(27,605)
II	(29,735)	(27,104)	(15,740)	(217)	0	0	(17,041)	(89,837)	(9,304)
III	(15,775)	(13,612)	(8,349)	(116)	0	0	(8,862)	(46,714)	(3,990)
IV	(17,321)	(15,361)	(9,168)	(127)	0	0	(9,826)	(51,803)	(4,892)
V	(11,530)	(10,084)	(6,102)	(84)	0	0	(6,510)	(34,310)	(3,082)
VI	(8,959)	(7,755)	(4,743)	(65)	0	0	(5,039)	(26,561)	(2,295)
VII	(9,896)	(8,766)	(5,249)	(80)	0	0	(5,964)	(29,955)	(2,921)
VIII	(14,254)	(12,861)	(7,545)	(104)	0	0	(8,139)	(42,903)	(4,298)
TOTAL	(192,852)	(174,092)	(102,090)	(1,416)	0	0	(110,483)	(580,933)	(58,387)

Note: Admin. Includes both Title III-E and Title III-C

ALERT 12-1			ALERT 12-1A			Difference		
SFY-12 Region	PLANNING TOTAL	% of State	SFY-12 Region	PLANNING TOTAL	% of State	SFY-12 Region	PLANNING TOTAL	% change
I	10,250,762	43.02%	I	9,991,912	42.98%	I	(258,850)	-0.04%
II	3,633,781	15.25%	II	3,543,944	15.24%	II	(89,837)	-0.01%
III	1,955,503	8.21%	III	1,908,789	8.21%	III	(46,714)	0.00%
IV	2,149,350	9.02%	IV	2,097,547	9.02%	IV	(51,803)	0.00%
V	1,463,079	6.14%	V	1,428,769	6.15%	V	(34,310)	0.01%
VI	1,158,908	4.86%	VI	1,132,347	4.87%	VI	(26,561)	0.01%
VII	1,423,268	5.97%	VII	1,393,313	5.99%	VII	(29,955)	0.02%
VIII	1,795,115	7.53%	VIII	1,752,212	7.54%	VIII	(42,903)	0.00%
TOTAL	23,829,766	100.00%	TOTAL	23,248,833	100.00%	TOTAL	(580,933)	0.0000%

Division of Aging and Adult Services
ALERT

SFY-12-2B

**Social Services Block Grant (SSBG)
for SFY-2012**

In early April 2011, the Governor signed a set of bills passed by the Legislature enacting a state budget for fiscal year 2012. A reduction in State General Funds was offset by a six million dollar increase in available SSBG funding. Five million of the offset will result in SSBG state-planned funds being apportioned to those Area Agencies on Aging that currently receive SSBG funding.

Attached are the allocations for SSBG for SFY 2012. In the SFY-12-2A ALERT dated April 29, 2011, Area Agencies on Aging were asked to identify the services in which the SSBG funds offset would be allocated. The attached spreadsheets reflect the updated services for each planning and service area.

***Effective October 1, 2011, SSBG funds for Region Two will be contracted with Pima Council on Aging. The contract with Pima Health Systems will end September 30, 2011.**

The following is a summary of the State-Planned SSBG allocations respective to each AAA:

Region	Allocation
Region 1	\$ 3,181,629
Region 2/PHS *	\$ 1,453,330
Region 3	\$ 788,924
Region 4	\$ 605,769
Region 5	\$ 492,578
Region 6	\$ 532,774
Region 7	\$ 0
Region 8	\$ 0
Total	\$ 7,060,004

The following is a summary of the Locally-Planned SSBG respective to each AAA:

Region	Allocation
Region 1	\$ 1,061,563
Region 2	\$ 837,432
Region 3	\$ 118,158
Region 4	\$ 312,011
Region 5	\$ 146,260
Region 6	\$ 199,660
Region 7	\$ 0
Region 8	\$ 0
Total	\$ 3,352,268

AAAs may budget up to 10 percent of SSBG for administrative functions.

Should you have any questions regarding the allocation, please contact your assigned Contract Specialist.

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 1							
MARICOPA							
	ADC	ELD/PWD	\$ 215,152.00	\$ 23,376.00	\$ 238,528.00	\$ -	\$ 23,376.00
	CNG	PWD	\$ 12,850.00	\$ 1,473.00	\$ 14,323.00	\$ -	\$ -
	CSL	ELD	\$ -	\$ 43,450.00	\$ 43,450.00	\$ -	\$ -
	COORD	N/A	\$ -	\$ 18,221.00	\$ 18,221.00	\$ -	\$ -
	HDM	ELD/PWD	\$ 445,266.00	\$ -	\$ 445,266.00	\$ -	\$ -
	LGL	ELD	\$ -	\$ 18,888.00	\$ 18,888.00	\$ -	\$ -
	TSP	ELD	\$ -	\$ 7,008.00	\$ 7,008.00	\$ -	\$ -
	H.C.	ELD/PWD	\$ 388,295.00	\$ 2,025,718.00	\$ 2,414,013.00	\$ -	\$ 1,577,523.00
	CMG	ELD/PWD	\$ -	\$ 362,715.00	\$ 362,715.00	\$ -	\$ 303,459.00
	VMS	PWD	\$ -	\$ 1,400.00	\$ 1,400.00	\$ -	\$ 1,400.00
	RSP		\$ -	\$ 192,826.00	\$ 192,826.00	\$ -	\$ 97,743.00
	AAA ADMIN.		\$ -	\$ 424,319.00	\$ 424,319.00	\$ -	\$ 237,182.00
	APS	ELD/PWD	\$ -	\$ 62,235.00	\$ 62,235.00	\$ -	\$ 62,235.00
	State Offset						
REGION TOTAL			\$ 1,061,563.00	\$ 3,181,629.00	\$ 4,243,192.00	\$ -	\$ 2,302,918.00

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 3							
APACHE							
	H.C.	ELD/PWD	\$ -	\$ 6,552.00	\$ 6,552.00	\$ -	\$ 6,552.00
	CMG	ELD/PWD	\$ 2,383.00	\$ 4,471.00	\$ 6,854.00	\$ -	\$ (10,529.00)
	HDM	ELD/PWD	\$ 24,334.00	\$ 2,911.00	\$ 27,245.00	\$ -	\$ (3,672.00)
	TSP	ELD/PWD	\$ 12,259.00	\$ -	\$ 12,259.00	\$ -	\$ (10,000.00)
	RSP	ELD/PWD	\$ -	\$ 853.00	\$ 853.00	\$ -	\$ (7,203.00)
	AAA ADMIN.	ELD/PWD	\$ -	\$ 3,022.00	\$ 3,022.00	\$ -	\$ (1,357.00)
	CEI	ELD/PWD	\$ -	\$ 1,925.00	\$ 1,925.00	\$ -	\$ 1,925.00
	PGD	ELD/PWD	\$ -	\$ 1,322.00	\$ 1,322.00	\$ -	\$ 1,322.00
	LGL	ELD/PWD	\$ -	\$ 766.00	\$ 766.00	\$ -	\$ 766.00
	CNG	ELD/PWD	\$ -	\$ 1,539.00	\$ 1,539.00	\$ -	\$ 1,539.00
	HPR	ELD/PWD	\$ -	\$ 1,508.00	\$ 1,508.00	\$ -	\$ 1,508.00
	LTC	ELD/PWD	\$ -	\$ 1,282.00	\$ 1,282.00	\$ -	\$ 1,282.00
	IR2	ELD/PWD	\$ -	\$ 281.00	\$ 281.00	\$ -	\$ 281.00
	IR1	ELD/PWD	\$ -	\$ 133.00	\$ 133.00	\$ -	\$ 133.00
	MWP	ELD/PWD	\$ -	\$ 154.00	\$ 154.00	\$ -	\$ 154.00
	COUNTY TOTAL		\$ 38,976.00	\$ 26,719.00	\$ 65,695.00	\$ -	\$ (17,299.00)

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 3							
COCONINO	H.C.	ELD/PWD	\$ 2,000.00	\$ 20,932.00	\$ 22,932.00	\$ -	\$ 20,932.00
	CMG	ELD/PWD	\$ 4,000.00	\$ 19,991.00	\$ 23,991.00	\$ -	\$ (7,512.00)
	HDM	ELD/PWD	\$ 15,000.00	\$ -	\$ 15,000.00	\$ -	\$ (5,107.00)
	TSP	ELD/PWD	\$ -	\$ 12,739.00	\$ 12,739.00	\$ -	\$ 5,461.00
	RSP	ELD/PWD	\$ 10,000.00	\$ -	\$ 10,000.00	\$ -	\$ (5,238.00)
	AAA ADMIN.		\$ -	\$ 10,358.00	\$ 10,358.00	\$ -	\$ 6,015.00
	ADC	ELD/PWD	\$ -	\$ 17,272.00	\$ 17,272.00	\$ -	\$ 17,272.00
	CEI	ELD/PWD	\$ -	\$ 6,736.00	\$ 6,736.00	\$ -	\$ 6,736.00
	PGD	ELD/PWD	\$ -	\$ 4,626.00	\$ 4,626.00	\$ -	\$ 4,626.00
	LGL	ELD/PWD	\$ -	\$ 2,679.00	\$ 2,679.00	\$ -	\$ 2,679.00
	CNG	ELD/PWD	\$ -	\$ 5,384.00	\$ 5,384.00	\$ -	\$ 5,384.00
	HPR	ELD/PWD	\$ -	\$ 5,278.00	\$ 5,278.00	\$ -	\$ 5,278.00
	LTC	ELD/PWD	\$ -	\$ 4,488.00	\$ 4,488.00	\$ -	\$ 4,488.00
	IR2	ELD/PWD	\$ -	\$ 982.00	\$ 982.00	\$ -	\$ 982.00
	IR1	ELD/PWD	\$ -	\$ 462.00	\$ 462.00	\$ -	\$ 462.00
	MWP	ELD/PWD	\$ -	\$ 538.00	\$ 538.00	\$ -	\$ 538.00
	COUNTY TOTAL		\$ 31,000.00	\$ 112,465.00	\$ 143,465.00	\$ -	\$ 62,996.00

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 3							
NAVAJO							
	H.C.	ELD/PWD	\$ -	\$ 22,932.00	\$ 22,932.00	\$ -	\$ 22,932.00
	CMG	ELD/PWD	\$ 4,007.00	\$ 19,984.00	\$ 23,991.00	\$ -	\$ (21,124.00)
	HDM	ELD/PWD	\$ 10,008.00	\$ 184.00	\$ 10,192.00	\$ -	\$ (4,161.00)
	RSP	ELD/PWD	\$ -	\$ 2,982.00	\$ 2,982.00	\$ -	\$ (4,480.00)
	AAA ADMIN.		\$ -	\$ 10,407.00	\$ 10,407.00	\$ -	\$ 4,460.00
	TSP	ELD/PWD	\$ 10,002.00	\$ -	\$ 10,002.00	\$ -	\$ -
	ADC	ELD/PWD	\$ -	\$ -	\$ -	\$ -	\$ -
	CEI	ELD/PWD	\$ -	\$ 6,736.00	\$ 6,736.00	\$ -	\$ 6,736.00
	PGD	ELD/PWD	\$ -	\$ 4,626.00	\$ 4,626.00	\$ -	\$ 4,626.00
	LGL	ELD/PWD	\$ -	\$ 2,679.00	\$ 2,679.00	\$ -	\$ 2,679.00
	CNG	ELD/PWD	\$ -	\$ 5,384.00	\$ 5,384.00	\$ -	\$ 5,384.00
	HPR	ELD/PWD	\$ -	\$ 5,278.00	\$ 5,278.00	\$ -	\$ 5,278.00
	LTC	ELD/PWD	\$ -	\$ 4,488.00	\$ 4,488.00	\$ -	\$ 4,488.00
	IR2	ELD/PWD	\$ -	\$ 982.00	\$ 982.00	\$ -	\$ 982.00
	IR1	ELD/PWD	\$ -	\$ 462.00	\$ 462.00	\$ -	\$ 462.00
	MWP	ELD/PWD	\$ -	\$ 538.00	\$ 538.00	\$ -	\$ 538.00
	COUNTY TOTAL		\$ 24,017.00	\$ 87,662.00	\$ 111,679.00	\$ -	\$ 28,800.00

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 3							
YAVAPAI	H.C.	ELD/PWD	\$ -	\$ 111,382.00	\$ 111,382.00	\$ -	\$ 111,382.00
	CMG	ELD/PWD	\$ 6,041.00	\$ 105,487.00	\$ 111,528.00	\$ -	\$ 36,534.00
	ADC	ELD/PWD	\$ 9,667.00	\$ 27,037.00	\$ 36,704.00	\$ -	\$ (7,600.00)
	CSL/SUPP. INTER	ALL	\$ -	\$ -	\$ -	\$ -	\$ -
	HDM	ELD/PWD	\$ 8,457.00	\$ 41,046.00	\$ 49,503.00	\$ -	\$ 4,640.00
	RSP	ELD/PWD	\$ -	\$ 14,486.00	\$ 14,486.00	\$ -	\$ (888.00)
	AAA ADMIN.		\$ -	\$ 50,549.00	\$ 50,549.00	\$ -	\$ 36,942.00
	TSP	ELD/PWD	\$ -	\$ 63,789.00	\$ 63,789.00	\$ -	\$ 63,789.00
	CEI	ELD/PWD	\$ -	\$ 33,843.00	\$ 33,843.00	\$ -	\$ 33,843.00
	PGD	ELD/PWD	\$ -	\$ 22,471.00	\$ 22,471.00	\$ -	\$ 22,471.00
	LGL	ELD/PWD	\$ -	\$ 13,015.00	\$ 13,015.00	\$ -	\$ 13,015.00
	CNG	ELD/PWD	\$ -	\$ 21,904.00	\$ 21,904.00	\$ -	\$ 21,904.00
	HPR	ELD/PWD	\$ -	\$ 25,637.00	\$ 25,637.00	\$ -	\$ 25,637.00
	LTC	ELD/PWD	\$ -	\$ 21,800.00	\$ 21,800.00	\$ -	\$ 21,800.00
	IR2	ELD/PWD	\$ -	\$ 4,772.00	\$ 4,772.00	\$ -	\$ 4,772.00
	IR1	ELD/PWD	\$ -	\$ 2,246.00	\$ 2,246.00	\$ -	\$ 2,246.00
	MWP	ELD/PWD	\$ -	\$ 2,614.00	\$ 2,614.00	\$ -	\$ 2,614.00
	COUNTY TOTAL		\$ 24,165.00	\$ 562,078.00	\$ 586,243.00	\$ -	\$ 393,101.00
REGION TOTAL			\$ 118,158.00	\$ 788,924.00	\$ 907,082.00	\$ -	\$ 467,598.00

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 4							
LA PAZ							
	H.C.	ELD/PWD	\$ 3,313.00	\$ 49,171.00	\$ 52,484.00	\$ -	\$ 36,244.00
	CMG	ELD/PWD	\$ 5,522.00	\$ 74,152.00	\$ 79,674.00	\$ -	\$ 56,954.00
	HDM	ELD/PWD	\$ 13,620.00	\$ -	\$ 13,620.00	\$ -	\$ -
	TSP	AFC	\$ 14,356.00	\$ -	\$ 14,356.00	\$ -	\$ -
	RSP		\$ -	\$ -	\$ -	\$ -	\$ -
	AAA ADMIN.		\$ -	\$ 17,036.00	\$ 17,036.00	\$ -	\$ 10,355.00
	PGD		\$ -	\$ -	\$ -	\$ -	\$ -
	COUNTY TOTAL		\$ 36,811.00	\$ 140,359.00	\$ 177,170.00	\$ -	\$ 103,553.00
MOHAVE							
	H.C	ELD/AD	\$ 43,390.00	\$ 63,909.00	\$ 107,299.00	\$ -	\$ 49,147.00
	CMG	ELD/AD	\$ 45,276.00	\$ 156,139.00	\$ 201,415.00	\$ -	\$ 121,041.00
	HDM	ELD/AD	\$ 43,390.00	\$ -	\$ 43,390.00	\$ -	\$ -
	TSP	ELD/AD	\$ -	\$ -	\$ -	\$ -	\$ -
	RSP		\$ -	\$ -	\$ -	\$ -	\$ -
	AAA ADMIN.		\$ -	\$ 32,875.00	\$ 32,875.00	\$ -	\$ 18,910.00
	PGD		\$ -	\$ -	\$ -	\$ -	\$ -
	COUNTY TOTAL		\$ 132,056.00	\$ 252,923.00	\$ 384,979.00	\$ -	\$ 189,098.00

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 4							
YUMA							
	H.C	ELD/PWD	\$ 30,506.00	\$ 17,341.00	\$ 47,847.00	\$ -	\$ 13,127.00
	CMG	ELD/PWD	\$ 21,120.00	\$ 164,381.00	\$ 185,501.00	\$ -	\$ 128,697.00
	ADC	ELD/PWD	\$ 16,427.00	\$ -	\$ 16,427.00	\$ -	\$ -
	CSL	AFC	\$ -	\$ -	\$ -	\$ -	\$ -
	HDM	ELD/PWD	\$ 28,159.00	\$ -	\$ 28,159.00	\$ -	\$ -
	TSP	AFC	\$ 42,239.00	\$ -	\$ 42,239.00	\$ -	\$ -
	RSP	AFC	\$ 4,693.00	\$ -	\$ 4,693.00	\$ -	\$ -
	AAA ADMIN.		\$ -	\$ 30,765.00	\$ 30,765.00	\$ -	\$ 15,758.00
	PGD		\$ -	\$ -	\$ -	\$ -	\$ -
	COUNTY TOTAL		\$ 143,144.00	\$ 212,487.00	\$ 355,631.00	\$ -	\$ 157,582.00
	REGION TOTAL		\$ 312,011.00	\$ 605,769.00	\$ 917,780.00	\$ -	\$ 450,233.00

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 5							
GILA							
	H.C	ELD/PWD	\$ 19,280.00	\$ 90,754.00	\$ 110,034.00	\$ -	\$ 87,200.00
	CMG	ELD/PWD	\$ 27,218.00	\$ 39,033.00	\$ 66,251.00	\$ -	\$ 27,815.00
	HDM	ELD/PWD	\$ 20,594.00	\$ 16,752.00	\$ 37,346.00	\$ -	\$ 5,132.00
	REP	ELD/PWD	\$ 6,477.00	\$ -	\$ 6,477.00	\$ -	\$ (5,797.00)
	RSP		\$ -	\$ 6,340.00	\$ 6,340.00	\$ -	\$ 4,932.00
	AAA ADMIN.		\$ -	\$ 23,074.00	\$ 23,074.00	\$ -	\$ 13,310.00
	PGD		\$ -	\$ 1,245.00	\$ 1,245.00	\$ -	\$ 200.00
	COUNTY TOTAL		\$ 73,569.00	\$ 177,198.00	\$ 250,767.00	\$ -	\$ 132,792.00
PINAL							
	H.C.	ELD/PWD	\$ 8,806.00	\$ 179,246.00	\$ 188,052.00	\$ -	\$ 173,195.00
	CMG	ELD/PWD	\$ 31,256.00	\$ 64,483.00	\$ 95,739.00	\$ -	\$ 45,383.00
	HDM	ELD/PWD	\$ 24,718.00	\$ 28,157.00	\$ 52,875.00	\$ -	\$ 8,372.00
	REP	ELD/PWD	\$ 7,911.00	\$ -	\$ 7,911.00	\$ -	\$ (9,870.00)
	RSP		\$ -	\$ 8,048.00	\$ 8,048.00	\$ -	\$ 8,048.00
	AAA ADMIN.		\$ -	\$ 38,340.00	\$ 38,340.00	\$ -	\$ 21,716.00
	PGD		\$ -	\$ 2,106.00	\$ 2,106.00	\$ -	\$ 327.00
	COUNTY TOTAL		\$ 72,691.00	\$ 320,380.00	\$ 393,071.00	\$ -	\$ 247,171.00
REGION TOTAL			\$ 146,260.00	\$ 497,578.00	\$ 643,838.00	\$ -	\$ 379,963.00

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 6							
COCHISE							
	H.C	ELD/PWD	\$ 23,120.00	\$ 76,228.00	\$ 99,348.00	\$ -	\$ 67,273.00
	CMG	ELD/PWD	\$ 7,340.00	\$ 115,570.00	\$ 122,910.00	\$ -	\$ 70,050.00
	CMG/Grandparents	ELD/PWD	\$ -	\$ -	\$ -	\$ -	\$ -
	HDM	ELD/PWD/AD	\$ 56,600.00	\$ 32,345.00	\$ 88,945.00	\$ -	\$ 23,618.00
	CNG	ELD/PWD	\$ -	\$ 37,427.00	\$ 37,427.00	\$ -	\$ 29,399.00
	RSP	ELD/PWD	\$ -	\$ 8,727.00	\$ 8,727.00	\$ -	\$ -
	AAA ADMIN.		\$ -	\$ 36,966.00	\$ 36,966.00	\$ -	\$ 23,593.00
	PGD		\$ -	\$ -	\$ -	\$ -	\$ -
	LTC ADV.		\$ -	\$ 7,000.00	\$ 7,000.00	\$ -	\$ 7,000.00
	TSP	ELD/PWD	\$ -	\$ 15,005.00	\$ 15,005.00	\$ -	\$ 15,005.00
	COUNTY TOTAL		\$ 87,060.00	\$ 329,268.00	\$ 416,328.00	\$ -	\$ 235,938.00
GRAHAM							
	H.C.	ELD/PWD	\$ 9,600.00	\$ 14,403.00	\$ 24,003.00	\$ -	\$ 12,559.00
	CMG	ELD/PWD	\$ -	\$ 23,915.00	\$ 23,915.00	\$ -	\$ -
	CMG/Grandparents	ELD/PWD	\$ -	\$ -	\$ -	\$ -	\$ -
	HDM	ELD/PWD/AD	\$ 24,350.00	\$ 12,351.00	\$ 36,701.00	\$ -	\$ 12,351.00
	CNG		\$ -	\$ 769.00	\$ 769.00	\$ -	\$ 769.00
	RSP	ELD/PWD	\$ 4,420.00	\$ 1,745.00	\$ 6,165.00	\$ -	\$ -
	AAA ADMIN.		\$ -	\$ 9,680.00	\$ 9,680.00	\$ -	\$ 4,898.00
	TSP	ELD/PWD	\$ -	\$ 5,860.00	\$ 5,860.00	\$ -	\$ 5,860.00
	CMG	ELD/PWD	\$ -	\$ 12,548.00	\$ 12,548.00	\$ -	\$ 12,548.00
	COUNTY TOTAL		\$ 38,370.00	\$ 81,271.00	\$ 119,641.00	\$ -	\$ 48,985.00

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 6							
GREENLEE							
	H.C.	ELD/PWD	\$ 16,530.00	\$ 2,498.00	\$ 19,028.00	\$ -	\$ 2,498.00
	CMG	ELD/PWD	\$ -	\$ 15,817.00	\$ 15,817.00	\$ -	\$ 956.00
	CMG/Grandparents	ELD/PWD	\$ -	\$ -	\$ -	\$ -	\$ -
	HDM	ELD/PWD/AD	\$ 20,350.00	\$ 6,409.00	\$ 26,759.00	\$ -	\$ 6,409.00
	REP		\$ -	\$ -	\$ -	\$ -	\$ -
	TSP	ELD/PWD	\$ 2,400.00	\$ 911.00	\$ 3,311.00	\$ -	\$ 265.00
	RSP		\$ -	\$ 646.00	\$ 646.00	\$ -	\$ 646.00
	AAA ADMIN.		\$ -	\$ 5,078.00	\$ 5,078.00	\$ -	\$ 1,197.00
	COUNTY TOTAL		\$ 39,280.00	\$ 31,359.00	\$ 70,639.00	\$ -	\$ 11,971.00
SANTA CRUZ							
	H.C.	ELD/PWD	\$ 11,200.00	\$ 24,128.00	\$ 35,328.00	\$ -	\$ 23,798.00
	CMG	ELD/PWD	\$ -	\$ 53,556.00	\$ 53,556.00	\$ -	\$ 26,026.00
	CMG/Grandparents	ELD/PWD	\$ -	\$ -	\$ -	\$ -	\$ -
	HDM	ELD/PWD/AD	\$ 23,750.00	\$ 1,023.00	\$ 24,773.00	\$ -	\$ 1,023.00
	RSP		\$ -	\$ -	\$ -	\$ -	\$ -
	AAA ADMIN.		\$ -	\$ 10,521.00	\$ 10,521.00	\$ -	\$ 5,833.00
	CNG	ELD/PWD	\$ -	\$ 1,648.00	\$ 1,648.00	\$ -	\$ 1,648.00
	COUNTY TOTAL		\$ 34,950.00	\$ 90,876.00	\$ 125,826.00	\$ -	\$ 58,328.00
REGION TOTAL			\$ 199,660.00	\$ 532,774.00	\$ 732,434.00	\$ -	\$ 355,222.00

DIVISION OF AGING & ADULT SERVICES

ALERT 12- 2B

SSBG FUNDS FOR SFY 2012

CONTRACTING LEVELS

COUNTY	SERVICE	TARGET GROUP	SFY-2012 LOCAL PLAN SSBG	SFY-2012 STATE PLAN SSBG	SFY-2012 TOTAL FUNDS	SFY-2012 LOCAL SSBG (+)/(-)	SFY-2012 STATE SSBG (+)/(-)
REGION 7							
NAVAJO TRIBE							
	CASE MGMT.		\$ -	\$ -	\$ -	\$ -	\$ -
	HOME CARE		\$ -	\$ -	\$ -	\$ -	\$ -
	RESPIRE SERVICES		\$ -	\$ -	\$ -	\$ -	\$ -
	AIMS PROG. D.		\$ -	\$ -	\$ -	\$ -	\$ -
	Additional		\$ -	\$ -	\$ -	\$ -	\$ -
	REGION TOTAL		\$ -	\$ -	\$ -	\$ -	\$ -
REGION 8							
ITCA							
	CASE MGMT.		\$ -	\$ -	\$ -	\$ -	\$ -
	HOME CARE		\$ -	\$ -	\$ -	\$ -	\$ -
	RESPIRE SERVICES		\$ -	\$ -	\$ -	\$ -	\$ -
	PROG. DEVELOP.		\$ -	\$ -	\$ -	\$ -	\$ -
	AIMS PROG. D.		\$ -	\$ -	\$ -	\$ -	\$ -
	Additional		\$ -	\$ -	\$ -	\$ -	\$ -
	REGION TOTAL		\$ -	\$ -	\$ -	\$ -	\$ -
STATEWIDE							
TRIBAL (1)	VARIOUS SVCS.		\$ 677,184	\$ -	\$ 677,184	\$ -	\$ -
	State Offset			\$ -	\$ -	\$ -	\$ -
	STATEWIDE TOTAL		\$ 677,184	\$ -	\$ 677,184	\$ -	\$ -
ALL AAA SERVICES - TOTAL			\$ 3,352,268	\$ 7,060,004	\$ 10,412,272	\$ -	\$ 5,000,000



Division of Aging and Adult Services
ALERT

SFY-12-5A

**Senior Community Service Employment Program (SCSEP)
for SFY-2012**

This ALERT applies to Area Agency on Aging, Region One Inc., Pima Council on Aging, Northern Arizona Council of Governments, Pinal/Gila Council for Senior Citizens, and Mohave County Career Center One-Stop.

This ALERT is being provided to non-participating regions as information only.

Per ALERT SFY-12-5 issued on May 20, 2011, Program Year (PY) 2011/State Fiscal Year (SFY) 2012 Senior Community Service Employment Program (SCSEP) performance goals had not yet been determined and were to be forwarded to subgrantees once they were finalized.

Attachment A to this ALERT provides SCSEP final performance goals for PY 2011/SFY 2012. Each subgrantee will be held accountable to meet or exceed these goals as they relate to their respective service delivery areas.

The number of authorized positions is modified to account for higher state minimum wages. Authorized positions are reported in Section A4 of the Quarterly Progress Report (QPR). SCSEP Performance and Results QPR uses modified positions rather than authorized positions for calculating vacancies in Section B of the QPR and service level and the community service measure in Section E. The final number of modified positions will be calculated by the U.S. Department of Labor and will be disseminated when received.

Should you have any questions regarding the allocation reduction, please contact your assigned Contract Specialist.

**SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM
SUMMARY OF GRANTEE GOALS - SFY 2012
(July 1, 2011 – June 30, 2012)**

Authorized Positions	122
Modified Positions	TBD
Performance Measure	Goal
1. Community Service	50.0%
<i>The number of hours of community service in the reporting period divided by the number of hours of community service funded by the grant minus the number of paid training hours in the reporting period.</i>	
2. Common Measures Entered Employment	28.6%
<i>Of those not employed at the time of participation, the number of participants employed in the first quarter after the exit quarter divided by the number of participants who exit during the quarter.</i>	
3. Common Measures Employment Retention	69.5.0%
<i>Of those participants who are employed in the first quarter after the exit quarter, the number employed in both the second and third quarters after the exit quarter divided by the number of participants who exit during the quarter.</i>	
4. Common Measures Average Earnings	\$7,489
<i>Of those participants who are employed in the first, second, and third quarters after the quarter of program exit, total earnings in the second and third quarters after the exit quarter, divided by the number of exiters during the period.</i>	
5. Service Level	100%
<i>The number of participants who are active on the last day of the reporting period or who exited during the reporting period divided by the number of modified community service positions.</i>	
6. Service to Most in Need	2.43
<i>Average number of barriers per participant. The total number of the following characteristics: severe disability, frail; age 75 or older, old enough for but not receiving SS Title II, severely limited employment prospects and living in an area of persistent unemployment, limited English proficiency, low literacy skills, disability, rural, veterans, low employment prospects, failed to find employment after using WIA Title I, and homeless or at risk of homelessness divided the number of participants who are active on the last day of the reporting period or who exited during the reporting period.</i>	

Division of Aging and Adult Services
ALERT

SFY-12-6A

**Nutrition Services Incentive Program (NSIP)
for SFY-2012**

Attached are the revised allocations for the NSIP for SFY 2012. The allocations are based on the meals served by Area Agencies on Aging during FFY 2010 and subject to revisions during SFY 2012.

The following NSIP reductions to the allocations are being made to Area Agencies on Aging:

Region	Reduction
Region 1	(\$ 75,813)
Region 2	(\$ 23,871)
Region 3	(\$ 29,784)
Region 4	(\$ 18,940)
Region 5	(\$ 15,894)
Region 6	(\$ 9,143)
Region 7	(\$ 48,062)
Region 8	(\$ 12,862)
Total Reduction	(\$ 234,369)

Unexpended dollars at the end of the fiscal year are now allowed as carryover into the next fiscal year. Carryover dollars must be expended prior to utilizing any new allocations.

Should you have any questions regarding the allocation, please contact your assigned Contract Specialist.

DIVISION OF AGING & ADULT SERVICES

ALERT # 12-6A

**NSIP
SFY - 2012**

REGION	(Line # 17) FFY - 2011 (1/4) INITIAL ALLOCATION	(Line # 17) FFY - 2011 CARRYOVER	(Line # 17) FFY - 2011 INCREASE/ (DECREASE) TO ALLOCATION	(Line # 17) FFY - 2011 (1/4) TOTAL ALLOCATION	(Line # 18) FFY - 2012 (3/4) INITIAL ALLOCATION	(Line # 18) FFY - 2012 INCREASE/ (DECREASE) TO ALLOCATION	(Line # 18) FFY - 2012 (3/4) TOTAL ALLOCATION	SFY - 2012 CONTRACT ALLOCATION
I	\$ 178,255.00	\$ -	\$ (44,217.00)	\$ 134,038.00	\$ 534,763.00	\$ (31,596.00)	\$ 503,167.00	\$ 637,205.00
II	\$ 56,700.00	\$ -	\$ (13,821.00)	\$ 42,879.00	\$ 170,099.00	\$ (10,050.00)	\$ 160,049.00	\$ 202,928.00
III	\$ 70,028.00	\$ -	\$ (17,371.00)	\$ 52,657.00	\$ 210,085.00	\$ (12,413.00)	\$ 197,672.00	\$ 250,329.00
IV	\$ 44,527.00	\$ -	\$ (11,046.00)	\$ 33,481.00	\$ 133,582.00	\$ (7,894.00)	\$ 125,688.00	\$ 159,169.00
V	\$ 34,039.00	\$ -	\$ (9,861.00)	\$ 24,178.00	\$ 102,117.00	\$ (6,033.00)	\$ 96,084.00	\$ 120,262.00
VI	\$ 23,456.00	\$ -	\$ (4,986.00)	\$ 18,470.00	\$ 70,368.00	\$ (4,157.00)	\$ 66,211.00	\$ 84,681.00
VII	\$ 131,765.00	\$ -	\$ (24,707.00)	\$ 107,058.00	\$ 395,299.00	\$ (23,355.00)	\$ 371,944.00	\$ 479,002.00
VIII	\$ 27,905.00	\$ -	\$ (7,915.00)	\$ 19,990.00	\$ 83,716.00	\$ (4,947.00)	\$ 78,769.00	\$ 98,759.00
TOTAL	\$ 566,675.00	\$ -	\$ (133,924.00)	\$ 432,751.00	\$ 1,700,029.00	\$ (100,445.00)	\$ 1,599,584.00	\$ 2,032,335.00
NOTE:								
	1) The 3/4 column is based on the FFY - 11 NSIP Grant and the total of meals served by Area Agency, for FFY 09.							
	FY 11 Allocation is \$2,132,781							
	2) All stimulus meals have been added into the meal totals.							
	3) Unexpended dollars as of June 30th can be carried-over into the next year's allocation.							
	4) Carry-over dollars must be expended prior to utilizing any new allocations.							

Division of Aging and Adult Services
ALERT

SFY-12-7B

**State Health Insurance Assistance Program (SHIP)/SMP
for SFY-2012**

The Division of Aging and Adult Services (DAAS) has received a grant award in the amount of \$172,138 from the Administration on Aging (AoA) for the grant term of June 1, 2011 - May 31, 2012, for the Senior Medicare Patrol Project (SMP). The decrease of \$7,862 is a result of a Congressional rescission to the amount of available funding. Purchasing limitations are expected to result in \$28,622 of unexpended funds for SFY 2012. Recognizing that responsibilities have increased in SMP, Area Agencies on Aging are being allocated these additional funds.

The following revised SMP allocations are being made to each Area Agency on Aging:

Region	Initial	Additional	Allocation
Region 1	\$ 16,103	\$ 3,577	\$ 19,680
Region 2	\$ 16,103	\$ 3,577	\$ 19,680
Region 3	\$ 16,103	\$ 3,578	\$ 19,681
Region 4	\$ 16,103	\$ 3,578	\$ 19,681
Region 5	\$ 16,103	\$ 3,578	\$ 19,681
Region 6	\$ 16,103	\$ 3,578	\$ 19,681
Region 7	\$ 16,103	\$ 3,578	\$ 19,681
Region 8	\$ 16,103	\$ 3,578	\$ 19,681
Total	\$ 128,824	\$ 28,622	\$ 157,446

Focus for the SMP includes the following:

- Continue to utilize the national SMP logo and tagline.
- Identify one individual at each AAA who will be designated as the key SMP Specialist.
- Utilize training materials and information provided by AoA and The Center.
- Participate in information/health fairs designed to target Medicare populations.
- Submit monthly reports that include: data regarding Medicare fraud, errors, or abuse via the client contact forms, list of active SMP volunteers, adding a volunteer form for new SMP volunteers, Volunteer Tracking and Management Volunteer Hours, and Public & Media Activity Form.

The following service code is to be used in the Aging Information Management System (AIMS):

- SMP - Senior Medicare Patrol Project.

Should you have any questions regarding the allocations, please contact your assigned Contract Specialist.



Division of Aging and Adult Services
ALERT

SFY-12-11A

**Other Funds
for SFY-2012**

This ALERT applies to Pima Council on Aging and Northern Arizona Council of Governments,

This ALERT is being provided to non-participating regions as information only.

EnhanceFitness Grant Project - The Department of Economic Security, Division of Aging and Adult Services (DAAS), through the Arizona Department of Health Services (ADHS), received a Demonstration grant (Award #90AM3134-04) from the Administration on Aging (AoA) to continue the EnhanceFitness (EF) evidence based program. The overall amount of the award was \$125,000, with a non-federal match amount of \$41,995. The grant period was **June 1, 2010 – May 31, 2011**. A one-year No-Cost Extension has been approved by the AoA to continue the EF evidence based program.

Area Agency on Aging	Allocation	Non-Fed Match	Total Budget	Anticipated Non-Fed Match Carry-Over	Anticipated Allocation Carry-Over	Total Anticipated Carry-Over
Region 2	\$40,000.00	\$13,333.00	\$ 53,333.00	\$2,269.61	\$ 6,809.80	\$ 9,079.41
Region 3	\$37,000.00	\$12,530.00	\$ 49,530.00	\$4,660.81	\$11,690.20	\$16,351.01
Total	\$92,000.00	\$30,995.00	\$122,995.00	\$6,930.42	\$18,500.00	\$25,430.42

Allocated funds are available for the EF grant until May 31, 2012. Given the duration of the grant funds, it is anticipated unexpended SFY 2011 EF funds will automatically be carried over into SFY 2012. Additional allocations may be made in SFY 2012 as the project continues.

Funds must be expended by May 31, 2012.

This project will operate under the guidelines of the Public Health – Disease Prevention and Health Promotion Scope of Work. The following service code will be available for use during this contract period: HPE.

Should you have any questions regarding the allocations, contact your assigned Contract Specialist.

Division of Aging and Adult Services
ALERT

SFY-12-11B

**Other Funds
for SFY-2012**

This ALERT applies to Area Agency on Aging, Region One Inc. and Pima Council on Aging.

This ALERT is being provided to non-participating regions as information only.

Background

The Division of Aging and Adult Services received a grant award for **ADRC Options Counseling** from the Administration on Aging (AoA) for the period of September 30, 2010 – September 29, 2012. The Options Counseling grant was designed to enable the AZ Links/Aging and Disability Resource Centers (ADRCs) to develop statewide standards for Options Counseling (OC) and implement these standards in at least one region. Throughout the two-year grant period, grantee states participate in national discussions with the Administration on Aging and the Lewin Group for the purpose of creating national standards for Options Counseling.

Long-Term Support Options Counseling is an interactive decision-support process that builds on information and assistance programs. It offers support to consumers, family members and/or significant others in their deliberations to determine appropriate long term care choices in the context of a consumer's needs, preferences, values, and individual circumstances. Providing options counseling may take more time than traditional information and assistance services. Options counselors ensure that consumers have considered a range of possibilities when making a decision about long-term supports, and they encourage planning for future needs.

Implementation

A workgroup with statewide participation from AZ Links partners has created draft standards for the provision of Options Counseling. Maricopa County was identified as the pilot region for initial implementation, and the Area Agency on Aging, Region One, Inc., and the Arizona Bridge to Independent Living (ABIL) have begun implementation of the service, utilizing the Arizona draft standards. Region One and ABIL have created training, procedures, and protocols for Options Counseling and will share these with other AZ Links sites. Pima County was identified as the next AZ Links region to offer Options Counseling. The Pima Council on Aging will offer Options Counseling, using materials and protocols developed in Maricopa County and adapt them as needed. The Center for Independent Living (CIL), DIRECT, will be a partner in the implementation. An independent evaluator will conduct an evaluation of the Options Counseling initiative and the status of AZ Links overall.

The following service code is to be used in AIMS for Options Counseling:

- AOP

The following allocations are made to the Area Agencies on Aging for the period July 1, 2011 through September 29, 2012:

	Amount
Region I	\$52,266
Region I (Evaluation)	\$70,000
CIL (ABIL)	\$52,266
Region II	\$45,207
CIL (DIRECT)	\$27,000
Total	\$246,739

Division of Aging and Adult Services
ALERT

SFY-12-11B

**Other Funds
for SFY-2012**

The ADRC funds are to be used for the following activities:

- Employ one or more Options Counselors to offer Options Counseling to individuals in person or over the phone, using the Arizona Draft Standards.
- Participate in the ongoing development of statewide and national standards for Options Counseling.
- Collect and record data to track information on Options Counseling, as determined by the Options Counseling Workgroup, by DAAS, and described in the evaluation plan to be developed.
- Implement and continue to develop protocols for the implementation of Options Counseling as developed in Maricopa County, including forms, tracking protocols, and evaluation tools.
- Further develop and provide training for options counselors, as well as in-service training for staff and volunteers.
- Work collaboratively with the CIL in the planning and service area to deliver the same services to individuals with disabilities.
- Work collaboratively with other organizations and programs on implementation and further development of protocols and procedures.
- Provide copies of materials and protocols to DAAS and other AZ Links partners and regions.
- Participate in the evaluation of the project, including satisfaction assessments of clients served.
- Develop strategies for sustaining the Options Counseling program beyond the duration of this grant.
- (Region One only) Contract with an independent evaluator for a formal evaluation of the Options Counseling project and the AZ Links initiative and, in collaboration with DAAS, ensure timely preparation of the following: Plan for Options Counseling Evaluation, Report on Options Counseling Evaluation, and an Evaluation of AZ Links.

The following performance measures are to be tracked and reported to the Division on a quarterly basis:

- The number of individuals receiving Options Counseling and the number of units of Options Counseling provided. A minimum number of clients receiving Options Counseling by the end of the grant period (September 2012) should be targeted at each agency.
- The number of trainings provided and the number of staff receiving training.
- Forms, policies, and procedures developed.
- Results of evaluations and satisfaction assessments.
- Additional measures identified by the Options Counseling Workgroup related to the implementation of standards.

The following reporting requirements apply: Activities related to this funding and performance measures are to be reported on a quarterly basis; refer to Attachment A - the reporting matrix. Data and performance measures reported will also be included on the Semi Annual Reporting Tool as required.

The AAA and CIL who have been allocated funds are requested to develop a project overview of not more than two pages, including a budget and the targeted number of clients. The project overview must address the formal relationship between AAA and CIL, training of staff, and integration of Options Counseling into existing counseling services. Participating Area Agencies on Aging are requested to submit the project overview to their assigned Contract Specialist by Friday, July 22, 2011.

Should you have any questions regarding the allocation, please contact your assigned Contract Specialist.

DAAS Reports Matrix

	Report	Frequency	Due Date	Method of Submission	Comments	DAAS Entity or Person Receiving the Report	DAAS Contact Person	Purpose of the Report
1	Social Service Report for Non-Registered Services (AG-031-N)	Monthly	25th of the month	Electronic	Revised form is effective SFY 2011; electronic submission	Contracts Management	John Kinkel	AoA / NAPIS - State Program Report
2	Social Service Report for Registered Services (AG-031-R)	Monthly	25th of the month	Electronic	Region 7 only; HSK service; revised form is effective SFY 2011			
3	SAMS Service Demographics Report	Monthly	25th of the month	Paper	Region 8 and Tribes only; Cluster 1 Services, HDM, CNG			
4	SHIP/SMP Monthly Report	Monthly	25th of the month	FAX or Electronic	Electronic submission preferred	Contracts Management	Ada Leach	AoA / CMS
5	SHIP/SMP Public and Media Activity Form	Monthly	25th of the month	FAX or Electronic	Electronic submission preferred			
6	SHIP Evaluation Form	As Needed	25th of the month	FAX or Electronic	Optional report; electronic submission preferred			CMS
7	SHIP/SMP Client Contact Form	As Needed	25th of the month	FAX	SMP cases only			AoA
8	SMP Volunteer Hours	As Needed	25th of the month	FAX or Electronic	Electronic submission preferred			
9	SMP Volunteer Add a Volunteer Form	As Needed	25th of the month	FAX				
10	SMP Complex Issue Form	As Needed	25th of the month	FAX				
11	SMP Evaluation Form English / Spanish	As Needed	25th of the month	FAX or Electronic	Electronic submission preferred			
12	Monthly Ombudsman Data Collection Form	Monthly	20th of the month	Electronic	Also referred to as the Monthly Roll-Up Report	Ombudsman	Jan Cardoza	AoA / NORS
13	Monthly Ombudsman Program Case Report Form	Monthly	20th of the month	Electronic		Ombudsman	Jan Cardoza	AoA / NORS
14	Family Caregiver Support Program Registered Services Report (AAA-1186A)	Monthly	25th of the month	Electronic		Contracts Management	David Besst	AoA / NAPIS - State Program Report
15	Family Caregiver Support Program Non-registered Services Report (AAA-1185A)	Monthly	25th of the month	Electronic				
16	Family Caregiver Support Program Quarterly Summary Report	Quarterly	25 days after end of quarter	Paper or Electronic	Narrative report			DAAS Program Management
17	Legal Services Report	Monthly	25th of the month	Paper or Electronic	Regions One through 6 only; electronic submission preferred	Contracts Management	Cindy Saverino	DAAS Program Management
18	Mature Worker Program Quarterly Report	Quarterly	30 days after end of quarter	Electronic	Effective January 2011	Contracts Management	Joel Millman	DAAS Program Management
19	SCSEP Regular and SCSEP2	Quarterly	30 days after end of quarter (Exact dates designated by USDOL)	Data Entry into SPARQ	Regions 1, 2, 3, 5 and Mohave County	Mature Workforce Coordinator	Joel Millman	USDOL Program Report
20	Invoice (AG-053)	Monthly	20th of the month	Paper	Region 8 and Tribes receiving SSBG only; Mohave County for SCSEP	Contracts Management	Bridget Casey	DAAS Fiscal Management
21	Action Plan for Strategic Objectives	Submitted with Area Plan	October 1st	Electronic		Contracts Management	Tammy Frazee	DAAS Program Management / Area Plan component
22	Action Plan for Strategic Objectives Update	Semi-annually	January 31st / July 31st	Electronic		Contracts Management	Tammy Frazee	DAAS Program Management / Area Plan component
23	Program Development	Annually	August 31st	Paper or Electronic	All regions, except 6; electronic submission preferred	Contracts Management	Cindy Saverino	DAAS Program Management / Audit purpose

	Report	Frequency	Due Date	Method of Submission	Comments	DAAS Entity or Person Receiving the Report	DAAS Contact Person	Purpose of the Report
24	Discretionary Grant Reporting (a through m)							
a	Arizona Next Generation: Performance Outcome Measurement Project (POMP)	Bi-monthly	February 5, April 5, June 5, August 5, October 5, December 5	Paper or Electronic	Region One only	John Kinkel	John Kinkel	Fulfillment of Grantor Requirement
b	Care Partners Reaching Out (CarePRO)	Semi-annually	April 20th, October 20th	Electronic	Regions One through 6 only	David Besst	David Besst	
c	Lifespan Respite Care Program (LRCP)	Semi-annually	April 20th, October 20th	Electronic	Region One only (as of August 2010)			
d	Semi-Annual Reporting Tool (SART)	Semi-annually	April 15th, October 15th	Electronic	Regions One, 2, 4, and 5 only; Region 3	Jutta Ulrich/Tony Buscemi	Jutta Ulrich/Tony Buscemi	
e	State Health Insurance Assistance Program (SHIP)	Monthly	25th of the month	Electronic	All regions	Ada Leach	Ada Leach	
f	Senior Medicare Patrol (SMP)	Monthly	25th of the month	Electronic	All regions			
g	SMP - High Fraud States Without HEAT	Monthly	25th of the month	Electronic	All regions			
h	2009 Medicare Improvement for Patients and Providers (MIPPA)	Monthly	25th of the month	Electronic	Regions One, 2,3,4,5 and 6 only			
i	2010 Medicare Improvement for Patients and Providers (MIPPA)	Monthly	25th of the month	Electronic	Regions One, 2,3,4,5 and 6 only			
j	Enhance Fitness Grant Project	Quarterly	25 days after end of quarter	Electronic	Regions One, 2, 3, and 6 only	Cindy Saverino	Cindy Saverino	
k	ADRC Options Counseling	Quarterly	25 days after end of quarter	Electronic	Regions One, 2	Contracts Management / Jutta Ulrich	Jutta Ulrich	
l	ADRC Care Transitions Program	Quarterly	25 days after end of quarter	Electronic	Regions One, 2,3,4,5	Contracts Management / Jutta Ulrich	Jutta Ulrich	
m	LTC Ombudsman Program Quarterly Volunteer Recruitment & Retention	Quarterly	25 days after end of quarter	Electronic	Regions One, 2,5; Narrative progress report	Jan Cardoza	Jan Cardoza	

Red text indicates an updated item.

Technical Assistance for SFY-2012

Technical Assistance ALERTS are generated periodically to update contractual and/or programmatic requirements and/or clarifications. The purpose of this ALERT is to notify the Area Agencies on Aging (AAAs) about revisions to the Short Form Intake Document. **Please ensure that appropriate Area Agency on Aging personnel and contracted providers are aware of this technical assistance.**

Please note that this technical assistance is not intended to be all-inclusive. The information in this document may be updated periodically through future ALERTS and updates to the Division of Aging and Adult Services (DAAS) Policy and Procedure Manual. Please refer to the DAAS Publications website for the latest information:

<https://www.azdes.gov/common.aspx?menu=36&menuc=28&id=8252>

Background

Case Management is provided to any individual entering the Non-Medical Home and Community Based Services System (NMHCBS). Case management is the mechanism used to assess eligibility and authorize services. Determination of eligibility for entry into the NMHCBS System requires the use of one of the following assessment instruments: The Arizona Standardized Client Assessment Plan (ASCAP), the Short Form Intake Document (SFID), the Kincare Intake Document (KID), or the Caregiver Assessment Tool (CAT).

Revisions to DAAS Policy and Procedure Manual, Chapter 3000 implemented in January 2011, included changes in the use of the SFID, which can now be used to authorize tribal services. The Tribal Intake Document was discontinued. Please refer to Attachment A in this ALERT for revisions.

Implementation

The SFID was revised to accommodate its use for the authorization of Tribal Services. Highlights include:

- Tribal option added to page 1, section 21, Home-Delivered Meals.
- Space for authorization of Tribal Services added to page 4, sections 40 and 41.
- Instructions were revised to provide for Tribal Services and to clarify the use of the SFID for Short-term Home-Delivered Meals (up to 90 days) for non-Tribal providers.

AAAs are to ensure that their contracted provider agencies are aware of these training requirements.

Should you have any questions related to this ALERT, please contact your Contract Specialist or Jutta Ulrich at 602-542-6615 or via email at julrich@azdes.gov.

PART I. CLIENT DEMOGRAPHIC DATA

1. _____ 2. Male 3. Date of Birth: ____/____/____
Last Name, First Name M.I. Female *Month Day Year*
 4. _____ (____) ____ - ____
Residential Address (Street, City, State, Zip Code) Phone
 5. _____ 6. ____/____/____ 7. _____ (____) ____ - ____
County Social Security Number Emergency Contact Name/Phone

- | | | | | |
|-----------------------|------------------|---------------------------|---------------------|-------------------------|
| 8. <u>Ethnicity</u> | 9. <u>Race</u> | 10. <u>Marital Status</u> | 11. <u>Language</u> | 12. <u>Legal Status</u> |
| 1. Hispanic or Latino | 1. White | 1. Married | 1. English | 1. Independent |
| 2. Not Hisp or Latino | 3. Native Am | 2. Separated | 2. Spanish (WO/E) | 3. Guardian |
| 9. Unknown | 4. Asian | 3. Never Married | 3. Spanish (W/E) | 4. Conservator |
| | 5. Black | 4. Divorced | 4. Native Am (W/E) | 6 Other |
| | 6. Hawaiian/P.I. | 5. Widowed | 5. Native Am (WO/E) | 7. DP7 Payee |
| | 7. Other Race | 6. Cohabitation | 6. Other | |
| | 9. Unknown | | 9. Unknown | |

13. Household # 15. Education 16. Living Arrange 17. Residence Type 18. Client Income Information
 14. Household Comp

1. Lives Alone	2. High School	1. Rents	1. House
2. With Spouse	3. H.S. Graduate	2. Owns	2. Mobile
3. With Parent(s)	4. Post H.S.	3. Subsidized	3. Apartment
4. W/Other Relative	5. College Degree	4. No Pay	10. Other
5. With Non-Relative	6. Other	5. N/A	
7. Other			
10. Multi-gener.			

Source	Person Receiving	Amount
SSI		
SSA		
SSDI		
VA		
Gen Assist		
Food Stamp		
Retirement		
RR		
Other		
	Monthly Total	

19. Information Obtained From 1. Self 2. Medical Record 3. Other

20. CASE MANAGEMENT AUTHORIZATION

OPEN CHANGE CONTINUE CLOSE Closure Reason _____
 Referral Date: ____/____/____ Start Date: ____/____/____ End Date: ____/____/____
 Number of Units: _____ Provider: _____ Site Code: _____

21. HOME DELIVERED MEALS AUTHORIZATION

OPEN CHANGE CONTINUE WAIT LIST CLOSE Closure Reason _____
 SHORT TERM (UP TO 90 DAYS) LONG TERM - FOR SPOUSE ONLY TRIBAL

Please circle the applicable eligibility category:

1. Recipient is over age 60 and has been determined eligible to receive the service.
 2. Recipient is the spouse of a client over age 60 who has been determined eligible to receive the service.
 Please list cross-reference Social Security Number : ____/____/____
 3. Recipient is a disabled person under age 60 who resides with a client age 60 or over, who has been determined eligible to receive the service. Please list cross-reference Social Security Number: ____/____/____
 4. Recipient is a disabled person who has been determined eligible to receive the service.

Referral Date: ____/____/____ Start Date: ____/____/____ End Date: ____/____/____
 Monthly Number of Units: _____ Provider: _____ Site Code: _____

Applicant's Name

Social Security Number

PART II. NUTRITIONAL SCREENING and FUNCTIONAL ASSESSEMENT

22. Does the client have a special diet? 23. Does the client have a food allergy?
1. Yes, specify: _____ 1. Yes, specify: _____
 2. No 2. No

24. Nutritional Screening (Circle only the numbers for Yes responses)

1.	I (or someone close to me) have an illness or condition that has caused me to change the amount and/or kind of food I eat	2
2.	I eat fewer than 2 meals per day	3
3.	I eat few fruits or vegetables a day	2
4.	I eat or drink few milk products (i.e., milk, yogurt, cheese) a day	2
5.	I drink less than 5 cups (8 oz. per cup) of fluid a day (i.e., water, juice, tea)	2
6.	I have 3 or more drinks of beer, wine, or liquor almost every day	2
7.	I have tooth or mouth problems that make it hard for me to eat	2
8.	I don't always have enough money to buy the food I need	4
9.	I eat alone most of the time	1
10.	I take 3 or more different prescribed or over-the-counter drugs a day	1
11.	Without wanting to, I have lost or gained 10 pounds in the last 6 months	2
12.	I am not always physically able to shop, cook and/or feed myself	2
Total the score listed for each <u>Yes</u> response		

(0-2 is good, 3-5 is moderate nutritional risk, 6 or greater is high nutritional risk)

25. Ability to store and reheat chilled or frozen meal? 1. Yes 2. No

26. Functional Assessment

***SOURCE OF HELP**

- a. None d. Daughter g. Other Relative j. Volunteer m. Publicly-Funded Help
 b. Spouse e. Son h. Friend k. AAA Provided
 c. Parent f. Sibling i. Private Paid Help l. Residential Health Care

Levels of Assistance

1. **Independent** – Completes the task independently
 2. **Minimum Assistance** – Occasional assistance or supervision may be necessary
 3. **Moderate Assistance** – Assistance or supervision is always necessary
 4. **Maximum Assistance** – Totally dependent on others

Qualifiers

- S** – Safety
C – Cognitive
I – Isolation

(For each activity in sections A and B, circle the number indicating the assistance needed, indicate the letter identifying the source of help, and circle the qualifier, as needed.)

A. INSTRUMENTAL ACTIVITIES OF DAILY LIVING

	IND.	MIN. ASST.	MOD. ASST.	MAX. ASST.	SOURCE OF HELP*	QUALIFIERS	COMMENTS
1. Laundry	1	2	3	4	___	S C I	_____
2. Shopping	1	2	3	4	___	S C I	_____
3. Housework	1	2	3	4	___	S C I	_____
4. Telephone	1	2	3	4	___	S C I	_____
5. Financial Mgmt.	1	2	3	4	___	S C I	_____
6. Transportation	1	2	3	4	___	S C I	_____
7. Meal Preparation	1	2	3	4	___	S C I	_____

B. ACTIVITIES OF DAILY LIVING

	IND.	MIN. ASST.	MOD. ASST.	MAX. ASST.	SOURCE OF HELP*	QUALIFIERS	COMMENTS
1. Toileting	1	2	3	4	___	S C I	_____
2. Bathing	1	2	3	4	___	S C I	_____
3. Dressing	1	2	3	4	___	S C I	_____
4. Grooming	1	2	3	4	___	S C I	_____
5. Eating	1	2	3	4	___	S C I	_____
6. Mobility	1	2	3	4	___	S C I	_____
7. Transferring	1	2	3	4	___	S C I	_____

ARIZONA DEPARTMENT OF ECONOMIC SECURITY
DIVISION OF AGING AND ADULT SERVICES

**SHORT FORM INTAKE DOCUMENT
COMPLETION INSTRUCTIONS**

Purpose

The purpose of this document is to capture relevant information on persons determined eligible to receive *Short Term Case Management, Home Delivered Meals, Respite Care, and Caregiver Supplemental Services, as well as Tribal Services*. In no instance should this document be used to authorize services other than those indicated on the form.

Intake Information

New/Change/Continue/Closure/Reopen (upper left corner): In the spaces provided, indicate with an “X” whether the case is to be opened, closed, reopened, or changed. “New” should be used when authorizing initial cases, “Change” should be used when submitting changes ranging from an increase in service provision to address, name or social security number changes, “Continue” should be used to indicate program continuance, “Closure” should be used when closing a case entirely, and “Reopen” should be used when a case previously closed (within in 90 days) will receive services again.

Completed By/Agency (Upper right corner): Enter the name of the person completing the form and agency for which the person is affiliated.

Part I – CLIENT DEMOGRAPHIC DATA

1. **NAME:** Enter the client’s Last Name, First Name and Middle Initial.
2. **SEX:** Indicate the client’s gender. (Male or Female)
3. **DATE OF BIRTH:** Enter the client’s Date of Birth. (Month/Day of Month/Year)
4. **ADDRESS/PHONE NUMBER:** Enter the client’s Residential Address and Telephone Number.
5. **COUNTY:** Enter the County in which the client presently resides.
6. **SOCIAL SECURITY NUMBER:** Enter the client’s Social Security Number.
7. **EMERGENCY CONTACT NAME and PHONE NUMBER:** Enter the contact name and telephone number that may be used in case of an emergency.
8. **ETHNICITY:** Circle the number representing the client’s stated ethnicity.

Hispanic or Latino—A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.

9. **RACE:** Circle the number representing the client’s stated race or races.

White –A person having origins in any of the peoples of Europe, the Middle East, or North Africa.

American Indian or Alaskan Native—A person having origins in any of the original peoples of North America (including Central America), and who maintains tribal affiliation or community attachment.

Asian – A person having origins in any of the original peoples of the Far East, Southeast Asia or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

Black or African American—A person having origins in any of the black racial groups of Africa.

Native Hawaiian or Other Pacific Islander—A person having origins in any of the original peoples of Hawaii, Guam, Samoa or other Pacific Islands.

10. **MARITAL STATUS**: Circle the number representing the client's marital status.
11. **LANGUAGE**: Circle the number representing the primary language spoken by the client.
12. **LEGAL STATUS**: Circle the number representing the client's legal status.
13. **HOUSEHOLD NUMBER**: On the line provided, indicate the total number of people which make up the household.
14. **HOUSEHOLD COMPOSITION**: Circle the number which best describes the composition of the household.
15. **EDUCATION**: Circle the number which represents the client's highest level of education.
16. **LIVING ARRANGEMENT**: Circle the number which best describes the client's living arrangements.
17. **RESIDENCE TYPE**: Circle the number which best describes the type of residence in which the client lives.
18. **CLIENT INCOME INFORMATION**: Provide income information for the individual client and for his/her spouse if married. Do not include income for other family persons in the household. Be specific as to the source of the income and the person receiving it.
19. **INFORMATION OBTAINED FROM**: Circle the number for the item that best describes how information was obtained.
20. **CASE MANAGEMENT AUTHORIZATION**: This section should be used when authorizing case management services on cases which do not require the completion of a full assessment.

In the spaces provided, indicate whether the service is to:

- Open (Begin a new service for the client);
- Change (A change in an existing service for the client);
- Continue (Continue the present level/form with no changes required);
- Close (Provision of the service is to be stopped).

CLOSURE REASON: Indicate on the line provided, the reason for closing/ending the service. Use the close/denial codes given in Appendix A of these instructions.

REFERRAL DATE: Enter the date on which the referral was received by the Agency authorizing the service.

START DATE: Enter the date on which the service is scheduled to start.

END DATE: Enter the date on which the service is scheduled to stop.

NUMBER OF UNITS: Enter the maximum number of units being authorized for this service. The authorization level may incorporate the fifth week factor; however, the use of this approach is limited to those months that actually have a fifth week and services are scheduled to be provided every week of the month. The use of this approach as a general business practice in service plan development will not be allowed.

PROVIDER: Enter the name or designated code of the contract provider assigned to service provision.

SITE CODE: Enter the code assigned by the Area Agency on Aging which identifies the individual site office which will be providing the service.

21. **HOME DELIVERED MEALS AUTHORIZATION:**

In the spaces provided, indicate whether the service is to:

- Open (Begin a new service for the client);
- Change (A change in an existing service for the client);
- Continue (Continue the present level/form with no changes required **–Home Delivered Meals cannot exceed 90 days with the exception of tribal services and/or meals for a spouse.**)
- Wait List (Placed on a waiting list for the service); or
- Close (Provision of the service is to be stopped).

CLOSURE REASON: Indicate on the line provided, the reason for closing/ending the service. Use the close/denial codes given in Appendix A of these instructions.

SHORT TERM/LONG TERM/TRIBAL: In the space provided, indicate whether the authorization will be a **Short Term** (*Less than 90 days*) or **Long Term** (*Eligible spouse*) or **Tribal** authorization. Long term meals **for a spouse and tribal services exceeding 90 days** should be authorized by a case manager.

ELIGIBILITY CATEGORIES: Circle the appropriate eligibility category which applies to the person receiving the service meal. Please be sure to list a cross-reference social security number for numbers 2 and 3.

REFERRAL DATE: Enter the date on which the referral was received by the Agency authorizing the service.

START DATE: Enter the date on which the service is scheduled to start.

END DATE: Enter the date on which the service is scheduled to stop.

NUMBER OF UNITS: Enter the maximum number of units being authorized for this service. The authorization level may incorporate the fifth week factor; however, the use of this approach is limited to those months that actually have a fifth week and services are scheduled to be provided every week of the month. The use of this approach as a general business practice in service plan development will not be allowed.

PROVIDER: Enter the name or designated code of the contract provider assigned to service provision.

SITE CODE: Enter the code assigned by the Area Agency on Aging which identifies the individual site office which will be providing the service.

PART II – NUTRITIONAL SCREENING and FUNCTIONAL ASSESSMENT

22. **SPECIAL DIET:** Circle the number that corresponds to the appropriate answer. If a “yes” is circled, use the space provided to specify the diet.
23. **FOOD ALLERGY:** Circle the number that corresponds to the appropriate answer. If a “yes” is circled, use the space provided to specify the allergy.
24. **NUTRITION SCREENING:** Refer to the ASCAP Manual section caption “Nutritional Status”. This must be completed for persons authorized to receive home delivered meals.
25. **ABILITY TO STORE/REHEAT:** Circle the number that corresponds to the appropriate answer.
26. **FUNCTIONAL ASSESSMENT:** Refer to the ASCAP Manual section caption “Functional Assessment”. Qualifiers may be used to support the need to provide services to a client who would otherwise require minimal assistance or a score of “2”. A Qualifier is a safety concern, a cognitive impairment, or an isolation issue. For each ADL and IADL, circle the letter (S=Safety, C=Cognitive, and I=Isolation) of the qualifier that best justifies the need to be addressed in the service delivery. The use of a qualifier will NOT make a client who is given a score of “1” (independent) eligible for services.

PART III - CAREGIVER INFORMATION (RESPIRE AND SUPPLEMENTAL SERVICES)

27. **CAREGIVER ISSUES:** (Required) For question a, circle the number corresponding to the appropriate descriptor of the primary caregiver. Circle #9 if there is a not a primary caregiver providing assistance to the client. If the primary caregiver is a “live-in” or “other”, complete questions b-d by circling the number corresponding to the appropriate answer.
28. **CAREGIVER SOCIAL SECURITY NUMBER:** Enter the caregiver’s Social Security Number. If a Social Security Number cannot be obtained, indicate the appropriate reason. Refer to the Area Agency on Aging for specific requirements on obtaining a pseudo Social Security Number.
29. **CAREGIVER DEMOGRAPHICS**

NAME: Enter the caregiver’s Last Name, First Name and Middle Initial.

CAREGIVER DATE OF BIRTH: Enter the caregiver’s Date of Birth. (Month/Day of Month/Year)

CAREGIVER SEX: Indicate the caregiver’s gender. (Male or Female)

RESIDES WITH CLIENT: Indicate whether the caregiver lives with the client. Complete the caregiver’s residential address for caregivers who do not reside with the client.

ETHNICITY: Circle the number representing the caregiver’s stated ethnicity.

RACE: Circle the number representing the caregiver’s stated race or races.

CAREGIVER ADDRESS: Enter the caregiver’s Residential Address if the caregiver does not reside with the client.

CAREGIVER PHONE: Enter the caregiver's Telephone Number.

30. **CAREGIVER DIAGNOSIS/SYMPTOM:** Circle a maximum of two medical diagnoses and/or symptoms attributed to the caregiver's present state of health. Circle #99 if the caregiver does not have a medical diagnosis or symptom.
31. **CAREGIVER RELATIONSHIP TO THE CLIENT:** Circle the caregiver's relationship to the client.
32. **CAREGIVER MEDICAL STATUS:** Circle the caregiver's assessment of his/her own present medical status.
33. **CAREGIVER EMOTIONAL STATUS:** Circle the caregiver's assessment of his/her own present emotional status.
34. **LENGTH OF TIME PROVIDING CARE:** Circle the number which represents the length of time the caregiver has been providing care to this particular client.
35. **CAREGIVER MONTHLY INCOME:** (OPTIONAL) Circle the number which represents the range of the caregiver's gross monthly income. This amount should include income received by the caregiver's spouse, if married.
36. **RESPIRE CARE AUTHORIZATION:** In-Home Respite, Group Respite, Adult Day Care

In the spaces provided, indicate whether the service is to:

- Open (Begin a new service for the client);
- Change (A change in an existing service for the client);
- Continue (Continue the present level/form with no changes required);
- Close (Provision of the service is to be stopped).

SERVICE CODE: Enter the code for the service being authorized.

COST SHARING PLEDGE: Enter in whole dollars, the amount of cost share the client/caregiver has agreed to contribute towards service provisions. This amount should be based upon the prevailing sliding fee schedules developed by the local Area Agency on Aging.

WAIT LIST: Place an "x" in the box if the client is placed on a waiting list for the service.

CLOSURE REASON: Indicate on the line provided, the reason for closing/ending the service. Use the close/denial codes given in Appendix A of these instructions.

REFERRAL DATE: Enter the date on which the referral was received by the Agency authorizing the service.

START DATE: Enter the date on which the service is scheduled to start.

END DATE: Enter the date on which the service is scheduled to stop.

NUMBER OF UNITS: Enter the maximum number of units being authorized for this service. The authorization level may incorporate the fifth week factor; however, the use of this approach is limited to those months that actually have a fifth week and services are scheduled to be provided every week of the month. The use of this approach as a general business practice in service plan development will not be allowed.

PROVIDER: Enter the name or designated code of the contract provider assigned to service provision.

SITE CODE: Enter the code assigned by the Area Agency on Aging which identifies the individual site office which will be providing the service.

37. **RESPITE CARE AUTHORIZATION:** Refer to instructions for 36.

38. **SUPPLEMENTAL SERVICES AUTHORIZATION:**

SERVICE CODE: Enter the service code for the authorized service.

COST SHARING PLEDGE: Enter in whole dollars, the amount of cost share the client/caregiver has agreed to contribute towards service provisions. This amount should be based upon the prevailing sliding fee schedules developed by the local Area Agency on Aging.

WAIT LIST: Place an “x” in the box if the client is placed on a waiting list for the service.

REFERRAL DATE: Enter the date on which the referral was received by the Agency authorizing the service.

START DATE: Enter the date on which the service is scheduled to start.

END DATE: Enter the date on which the service is scheduled to stop.

NUMBER OF UNITS: Enter the maximum number of units being authorized for this service. The authorization level may incorporate the fifth week factor; however, the use of this approach is limited to those months that actually have a fifth week and services are scheduled to be provided every week of the month. The use of this approach as a general business practice in service plan development will not be allowed.

PROVIDER: Enter the name or designated code of the contract provider assigned to service provision.

SITE CODE: Enter the code assigned by the Area Agency on Aging which identifies the individual site office which will be providing the service.

39. **SUPPLEMENTAL SERVICES AUTHORIZATION:** Refer to instructions for 38.

40. **AUTHORIZATION (TRIBAL SERVICES ONLY):**

In the spaces provided, indicate whether the service is to:

- Open (Begin a new service for the client);
- Change (A change in an existing service for the client);
- Continue (Continue the present level/form with no changes required);
- Close (Provision of the service is to be stopped).

SERVICE CODE: Enter the code for the service being authorized.

COST SHARING PLEDGE: Enter in whole dollars, the amount of cost share the client/caregiver has agreed to contribute towards service provisions. This amount should be based upon the prevailing sliding fee schedules developed by the local Area Agency on Aging.

WAIT LIST: Place an “x” in the box if the client is placed on a waiting list for the service.

CLOSURE REASON: Indicate on the line provided, the reason for closing/ending the service. Use the close/denial codes given in Appendix A of these instructions.

REFERRAL DATE: Enter the date on which the referral was received by the Agency authorizing the service.

START DATE: Enter the date on which the service is scheduled to start.

END DATE: Enter the date on which the service is scheduled to stop.

NUMBER OF UNITS: Enter the maximum number of units being authorized for this service. The authorization level may incorporate the fifth week factor; however, the use of this approach is limited to those months that actually have a fifth week and services are scheduled to be provided every week of the month. The use of this approach as a general business practice in service plan development will not be allowed.

PROVIDER: Enter the name or designated code of the contract provider assigned to service provision.

SITE CODE: Enter the code assigned by the Area Agency on Aging which identifies the individual site office which will be providing the service.

41. **AUTHORIZATION (TRIBAL SERVICES ONLY):** Refer to instructions for 40.

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

REGION 1	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 ALERTS	INCREASE (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ 562,353.00	\$ 332,060.00	\$ -	\$ 332,060.00
2. OAA ADMIN. III C-1	\$ -	\$ 798,784.00	\$ 798,784.00	\$ (22,382.00)	\$ 776,402.00
3. OAA ADMIN. III-E	\$ -	\$ 147,681.00	\$ 147,681.00	\$ (5,223.00)	\$ 142,458.00
4. SSBG ADMIN.	\$ -	\$ 187,137.00	\$ 187,137.00	\$ 237,182.00	\$ 424,319.00
5. TITLE III-B	\$ -	\$ 3,207,764.00	\$ 3,207,764.00	\$ (85,382.00)	\$ 3,122,382.00
6. TITLE III-C1	\$ -	\$ 2,523,700.00	\$ 2,523,700.00	\$ (56,167.00)	\$ 2,467,533.00
7. TITLE III-C2	\$ -	\$ 1,985,224.00	\$ 1,985,224.00	\$ (45,194.00)	\$ 1,940,030.00
8. TITLE III-D	\$ -	\$ 178,368.00	\$ 178,368.00	\$ (623.00)	\$ 177,745.00
9. TITLE III-E CAREGIVER	\$ -	\$ 1,236,463.00	\$ 1,236,463.00	\$ (43,879.00)	\$ 1,192,584.00
10. NSIP	\$ -	\$ 713,018.00	\$ 713,018.00	\$ (75,813.00)	\$ 637,205.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 32,350.00	\$ 32,350.00	\$ -	\$ 32,350.00
12. TITLE VII FED. OMB	\$ -	\$ 140,428.00	\$ 140,428.00	\$ -	\$ 140,428.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 4,414,737.00	\$ 2,342,112.00	\$ -	\$ 2,342,112.00
14. STATE OMBUDSMAN	\$ -	\$ 395,301.00	\$ 395,301.00	\$ -	\$ 395,301.00
15. STATE RESPITE	\$ -	\$ 251,137.00	\$ 251,137.00	\$ -	\$ 251,137.00
16. SSBG (SERVICES)	\$ -	\$ 1,746,112.00	\$ 4,056,055.00	\$ (237,182.00)	\$ 3,818,873.00
17. S.H.I.P.	\$ -	\$ 256,305.00	\$ 256,305.00	\$ -	\$ 256,305.00
18. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ 3,577.00	\$ 19,680.00
19. REFUGEE	\$ -	\$ 70,000.00	\$ 70,000.00	\$ -	\$ 70,000.00
20. ALZHEIMER'S CAREPRO	\$ -	\$ -	\$ -	\$ -	\$ -
21. SCSEP (TITLE V)	\$ -	\$ -	\$ 549,169.00	\$ -	\$ 549,169.00
22. SCSEP2 (TITLE V) CARRYOVER	\$ 70,139.00	\$ -	\$ 70,139.00	\$ -	\$ 70,139.00
23. OPTIONS COUNSELING	\$ -	\$ -	\$ -	\$ 174,532.00	\$ 174,532.00
TOTAL	\$ 70,139.00	\$ 18,862,965.00	\$ 19,489,298.00	\$ (156,554.00)	\$ 19,332,744.00

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

REGION 2	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 ALERTS	INCREASE (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ 254,036.00	\$ 149,629.00	\$ -	\$ 149,629.00
2. OAA ADMIN. III C-1	\$ -	\$ 309,019.00	\$ 309,019.00	\$ (7,544.00)	\$ 301,475.00
3. OAA ADMIN. III-E	\$ -	\$ 49,778.00	\$ 49,778.00	\$ (1,760.00)	\$ 48,018.00
4. SSBG ADMIN.	\$ -	\$ 5,594.00	\$ 110,001.00	\$ -	\$ 110,001.00
5. TITLE III-B	\$ -	\$ 1,132,255.00	\$ 1,132,255.00	\$ (29,735.00)	\$ 1,102,520.00
6. TITLE III-C1	\$ -	\$ 895,378.00	\$ 895,378.00	\$ (19,560.00)	\$ 875,818.00
7. TITLE III-C2	\$ -	\$ 694,456.00	\$ 694,456.00	\$ (15,740.00)	\$ 678,716.00
8. TITLE III-D	\$ -	\$ 62,118.00	\$ 62,118.00	\$ (217.00)	\$ 61,901.00
9. TITLE III-E CAREGIVER	\$ -	\$ 430,606.00	\$ 430,606.00	\$ (15,281.00)	\$ 415,325.00
10. NSIP	\$ -	\$ 226,799.00	\$ 226,799.00	\$ (23,871.00)	\$ 202,928.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 11,266.00	\$ 11,266.00	\$ -	\$ 11,266.00
12. TITLE VII FED. OMB	\$ -	\$ 48,905.00	\$ 48,905.00	\$ -	\$ 48,905.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 617,067.00	\$ 617,067.00	\$ 314,932.00	\$ 931,999.00
14. STATE OMBUDSMAN	\$ -	\$ 197,057.00	\$ 197,057.00	\$ -	\$ 197,057.00
15. STATE RESPITE	\$ -	\$ 86,849.00	\$ 86,849.00	\$ -	\$ 86,849.00
16. SSBG (SERVICES)	\$ -	\$ 21,116.00	\$ 21,116.00	\$ 1,162,030.00	\$ 1,183,146.00
17. S.H.I.P.	\$ -	\$ 128,153.00	\$ 128,153.00	\$ -	\$ 128,153.00
18. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ 3,577.00	\$ 19,680.00
19. REFUGEE	\$ -	\$ 34,000.00	\$ 34,000.00	\$ -	\$ 34,000.00
20. DIRECT CARE CURRICULUM	\$ -	\$ 40,000.00	\$ 40,000.00	\$ -	\$ 40,000.00
21. SCSEP (TITLE V)	\$ 87,700.00	\$ -	\$ 270,756.00	\$ -	\$ 270,756.00
22. SCSEP2 (TITLE V) CARRYOVER	\$ 37,600.00	\$ -	\$ 37,600.00	\$ -	\$ 37,600.00
23. OPTIONS COUNSELING	\$ -	\$ -	\$ -	\$ 72,207.00	\$ 72,207.00
24. ENHANCEFITNESS	\$ 6,809.80	\$ -	\$ 6,809.80	\$ -	\$ 6,809.80
TOTAL	\$ 132,109.80	\$ 5,260,555.00	\$ 5,575,720.80	\$ 1,439,038.00	\$ 7,014,758.80

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

REGION 3	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 ALERTS	INCREASE (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ 109,116.00	\$ 62,356.00	\$ -	\$ 62,356.00
2. OAA ADMIN. III C-1	\$ -	\$ 166,773.00	\$ 166,773.00	\$ (3,235.00)	\$ 163,538.00
3. OAA ADMIN. III-E	\$ -	\$ 21,344.00	\$ 21,344.00	\$ (755.00)	\$ 20,589.00
4. SSBG ADMIN.	\$ -	\$ 28,276.00	\$ 28,276.00	\$ 73,986.00	\$ 102,262.00
5. TITLE III-B	\$ -	\$ 613,740.00	\$ 613,740.00	\$ (15,775.00)	\$ 597,965.00
6. TITLE III-C1	\$ -	\$ 489,242.00	\$ 489,242.00	\$ (10,377.00)	\$ 478,865.00
7. TITLE III-C2	\$ -	\$ 371,084.00	\$ 371,084.00	\$ (8,349.00)	\$ 362,735.00
8. TITLE III-D	\$ -	\$ 32,955.00	\$ 32,955.00	\$ (116.00)	\$ 32,839.00
9. TITLE III-E CAREGIVER	\$ -	\$ 228,443.00	\$ 228,443.00	\$ (8,107.00)	\$ 220,336.00
10. NSIP	\$ -	\$ 280,113.00	\$ 280,113.00	\$ (29,784.00)	\$ 250,329.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 5,977.00	\$ 5,977.00	\$ -	\$ 5,977.00
12. TITLE VII FED. OMB	\$ -	\$ 25,945.00	\$ 25,945.00	\$ -	\$ 25,945.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 901,462.00	\$ 480,624.00	\$ -	\$ 480,624.00
14. STATE OMBUDSMAN	\$ -	\$ 46,376.00	\$ 46,376.00	\$ -	\$ 46,376.00
15. STATE RESPITE	\$ -	\$ 34,204.00	\$ 34,204.00	\$ -	\$ 34,204.00
16. SSBG (SERVICES)	\$ -	\$ 411,208.00	\$ 878,806.00	\$ (73,986.00)	\$ 804,820.00
17. S.H.I.P.	\$ -	\$ 96,114.00	\$ 96,114.00	\$ -	\$ 96,114.00
18. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ 3,578.00	\$ 19,681.00
19. SCSEP (TITLE V)	\$ 27,383.00	\$ -	\$ 187,557.00	\$ -	\$ 187,557.00
20. SCSE2 (TITLE V) CARRYOVER	\$ -	\$ -	\$ -	\$ -	\$ -
21. ENHANCEFITNESS	\$ 11,690.20	\$ -	\$ 11,690.20	\$ -	\$ 11,690.20
TOTAL	\$ 39,073.20	\$ 3,878,475.00	\$ 4,077,722.20	\$ (72,920.00)	\$ 4,004,802.20

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

REGION 4	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 ALERTS	INCREASE (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ 105,089.00	\$ 60,066.00	\$ -	\$ 60,066.00
2. OAA ADMIN. III C-1	\$ -	\$ 190,929.00	\$ 190,929.00	\$ (3,967.00)	\$ 186,962.00
3. OAA ADMIN. III-E	\$ -	\$ 26,172.00	\$ 26,172.00	\$ (925.00)	\$ 25,247.00
4. SSBG ADMIN.	\$ -	\$ 35,653.00	\$ 35,653.00	\$ 45,023.00	\$ 80,676.00
5. TITLE III-B	\$ -	\$ 670,244.00	\$ 670,244.00	\$ (17,321.00)	\$ 652,923.00
6. TITLE III-C1	\$ -	\$ 533,213.00	\$ 533,213.00	\$ (11,394.00)	\$ 521,819.00
7. TITLE III-C2	\$ -	\$ 406,717.00	\$ 406,717.00	\$ (9,168.00)	\$ 397,549.00
8. TITLE III-D	\$ -	\$ 36,185.00	\$ 36,185.00	\$ (127.00)	\$ 36,058.00
9. TITLE III-E CAREGIVER	\$ -	\$ 250,839.00	\$ 250,839.00	\$ (8,901.00)	\$ 241,938.00
10. NSIP	\$ -	\$ 178,109.00	\$ 178,109.00	\$ (18,940.00)	\$ 159,169.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 6,563.00	\$ 6,563.00	\$ -	\$ 6,563.00
12. TITLE VII FED. OMB	\$ -	\$ 28,488.00	\$ 28,488.00	\$ -	\$ 28,488.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 867,960.00	\$ 462,750.00	\$ -	\$ 462,750.00
14. STATE OMBUDSMAN	\$ -	\$ 43,095.00	\$ 43,095.00	\$ -	\$ 43,095.00
15. STATE RESPITE	\$ -	\$ 34,743.00	\$ 34,743.00	\$ -	\$ 34,743.00
16. SSBG (SERVICES)	\$ -	\$ 400,835.00	\$ 882,127.00	\$ (45,023.00)	\$ 837,104.00
17. S.H.I.P.	\$ -	\$ 64,077.00	\$ 64,077.00	\$ -	\$ 64,077.00
18. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ 3,578.00	\$ 19,681.00
19. ALZHEIMER'S CAREPRO	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ 3,895,014.00	\$ 3,926,073.00	\$ (67,165.00)	\$ 3,858,908.00

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

REGION 5	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 ALERTS	INCREASE (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ 88,096.00	\$ 50,100.00	\$ -	\$ 50,100.00
2. OAA ADMIN. III C-1	\$ -	\$ 142,489.00	\$ 142,489.00	\$ (2,499.00)	\$ 139,990.00
3. OAA ADMIN. III-E	\$ -	\$ 16,489.00	\$ 16,489.00	\$ (583.00)	\$ 15,906.00
4. SSBG ADMIN.	\$ -	\$ 26,388.00	\$ 26,388.00	\$ 35,026.00	\$ 61,414.00
5. TITLE III-B	\$ -	\$ 453,953.00	\$ 453,953.00	\$ (11,530.00)	\$ 442,423.00
6. TITLE III-C1	\$ -	\$ 363,438.00	\$ 363,438.00	\$ (7,585.00)	\$ 355,853.00
7. TITLE III-C2	\$ -	\$ 272,322.00	\$ 272,322.00	\$ (6,102.00)	\$ 266,220.00
8. TITLE III-D	\$ -	\$ 24,086.00	\$ 24,086.00	\$ (84.00)	\$ 24,002.00
9. TITLE III-E CAREGIVER	\$ 15,000.00	\$ 166,970.00	\$ 181,970.00	\$ (20,927.00)	\$ 161,043.00
10. NSIP	\$ -	\$ 136,156.00	\$ 136,156.00	\$ (15,894.00)	\$ 120,262.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 4,369.00	\$ 4,369.00	\$ -	\$ 4,369.00
12. TITLE VII FED. OMB	\$ -	\$ 18,963.00	\$ 18,963.00	\$ -	\$ 18,963.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 733,085.00	\$ 391,118.00	\$ -	\$ 391,118.00
14. STATE OMBUDSMAN	\$ -	\$ 37,080.00	\$ 37,080.00	\$ -	\$ 37,080.00
15. STATE RESPITE	\$ -	\$ 22,695.00	\$ 22,695.00	\$ -	\$ 22,695.00
16. SSBG (SERVICES)	\$ -	\$ 237,487.00	\$ 617,450.00	\$ (35,026.00)	\$ 582,424.00
17. S.H.I.P.	\$ -	\$ 32,038.00	\$ 32,038.00	\$ -	\$ 32,038.00
18. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ 3,578.00	\$ 19,681.00
19. DIRECT CARE CURRICULUM	\$ -	\$ -	\$ -	\$ -	\$ -
20. SCSEP (TITLE V)	\$ 38,117.00	\$ -	\$ 163,968.00	\$ -	\$ 163,968.00
21. SCSEP2 (TITLE V) CARRYOVER	\$ 19,501.00	\$ -	\$ 19,501.00	\$ -	\$ 19,501.00
TOTAL	\$ 72,618.00	\$ 2,792,207.00	\$ 2,990,676.00	\$ (61,626.00)	\$ 2,929,050.00

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

REGION 6	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 ALERTS	INCREASE (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ 82,254.00	\$ 46,733.00	\$ -	\$ 46,733.00
2. OAA ADMIN. III C-1	\$ -	\$ 121,406.00	\$ 121,406.00	\$ (1,861.00)	\$ 119,545.00
3. OAA ADMIN. III-E	\$ -	\$ 12,275.00	\$ 12,275.00	\$ (434.00)	\$ 11,841.00
4. SSBG ADMIN.	\$ -	\$ 26,724.00	\$ 26,724.00	\$ 35,521.00	\$ 62,245.00
5. TITLE III-B	\$ -	\$ 357,918.00	\$ 357,918.00	\$ (8,959.00)	\$ 348,959.00
6. TITLE III-C1	\$ -	\$ 288,042.00	\$ 288,042.00	\$ (5,894.00)	\$ 282,148.00
7. TITLE III-C2	\$ -	\$ 212,670.00	\$ 212,670.00	\$ (4,743.00)	\$ 207,927.00
8. TITLE III-D	\$ -	\$ 18,717.00	\$ 18,717.00	\$ (65.00)	\$ 18,652.00
9. TITLE III-E CAREGIVER	\$ -	\$ 129,749.00	\$ 129,749.00	\$ (4,605.00)	\$ 125,144.00
10. NSIP	\$ -	\$ 93,824.00	\$ 93,824.00	\$ (9,143.00)	\$ 84,681.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 3,395.00	\$ 3,395.00	\$ -	\$ 3,395.00
12. TITLE VII FED. OMB	\$ -	\$ 14,736.00	\$ 14,736.00	\$ -	\$ 14,736.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 685,455.00	\$ 365,754.00	\$ -	\$ 365,754.00
14. STATE OMBUDSMAN	\$ -	\$ 35,207.00	\$ 35,207.00	\$ -	\$ 35,207.00
15. STATE RESPITE	\$ -	\$ 19,628.00	\$ 19,628.00	\$ -	\$ 19,628.00
16. SSBG (SERVICES)	\$ -	\$ 350,488.00	\$ 705,710.00	\$ (35,521.00)	\$ 670,189.00
17. S.H.I.P.	\$ -	\$ 32,038.00	\$ 32,038.00	\$ -	\$ 32,038.00
18. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ 3,578.00	\$ 19,681.00
TOTAL	\$ -	\$ 2,500,629.00	\$ 2,500,629.00	\$ (32,126.00)	\$ 2,468,503.00

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

REGION 7	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 ALERTS	INCREASE (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ 19,956.00	\$ 19,956.00	\$ -	\$ 19,956.00
2. OAA ADMIN. III C-1	\$ -	\$ 138,140.00	\$ 138,140.00	\$ (2,368.00)	\$ 135,772.00
3. OAA ADMIN. III-E	\$ -	\$ 15,620.00	\$ 15,620.00	\$ (553.00)	\$ 15,067.00
4. SSBG ADMIN.	\$ -	\$ -	\$ -	\$ -	\$ -
5. TITLE III-B	\$ -	\$ 434,170.00	\$ 434,170.00	\$ (9,896.00)	\$ 424,274.00
6. TITLE III-C1	\$ -	\$ 368,311.00	\$ 368,311.00	\$ (6,398.00)	\$ 361,913.00
7. TITLE III-C2	\$ -	\$ 259,630.00	\$ 259,630.00	\$ (5,249.00)	\$ 254,381.00
8. TITLE III-D	\$ -	\$ 23,065.00	\$ 23,065.00	\$ (80.00)	\$ 22,985.00
9. TITLE III-E CAREGIVER	\$ -	\$ 161,690.00	\$ 161,690.00	\$ (5,411.00)	\$ 156,279.00
10. NSIP	\$ -	\$ 527,064.00	\$ 527,064.00	\$ (48,062.00)	\$ 479,002.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 4,366.00	\$ 4,366.00	\$ -	\$ 4,366.00
12. TITLE VII FED. OMB	\$ -	\$ 18,276.00	\$ 18,276.00	\$ -	\$ 18,276.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 143,230.00	\$ 143,230.00	\$ -	\$ 143,230.00
14. STATE OMBUDSMAN	\$ -	\$ 30,000.00	\$ 30,000.00	\$ -	\$ 30,000.00
15. STATE RESPITE	\$ -	\$ 6,372.00	\$ 6,372.00	\$ -	\$ 6,372.00
16. SSBG (SERVICES)	\$ -	\$ -	\$ -	\$ -	\$ -
17. S.H.I.P.	\$ -	\$ 16,019.00	\$ 16,019.00	\$ -	\$ 16,019.00
18. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ 3,578.00	\$ 19,681.00
19. NAVAJO SENIOR CTRS.	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ 2,182,012.00	\$ 2,182,012.00	\$ (74,439.00)	\$ 2,107,573.00

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

REGION 8	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 ALERTS	INCREASE (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ 21,501.00	\$ 21,501.00	\$ -	\$ 21,501.00
2. OAA ADMIN. III C-1	\$ -	\$ 175,027.00	\$ 175,027.00	\$ (3,484.00)	\$ 171,543.00
3. OAA ADMIN. III-E	\$ -	\$ 22,994.00	\$ 22,994.00	\$ (814.00)	\$ 22,180.00
4. SSBG ADMIN.	\$ -	\$ -	\$ -	\$ -	\$ -
5. TITLE III-B	\$ -	\$ 554,611.00	\$ 554,611.00	\$ (14,254.00)	\$ 540,357.00
6. TITLE III-C1	\$ -	\$ 442,117.00	\$ 442,117.00	\$ (9,377.00)	\$ 432,740.00
7. TITLE III-C2	\$ -	\$ 335,322.00	\$ 335,322.00	\$ (7,545.00)	\$ 327,777.00
8. TITLE III-D	\$ -	\$ 29,778.00	\$ 29,778.00	\$ (104.00)	\$ 29,674.00
9. TITLE III-E CAREGIVER	\$ -	\$ 206,422.00	\$ 206,422.00	\$ (7,325.00)	\$ 199,097.00
10. NSIP	\$ -	\$ 111,621.00	\$ 111,621.00	\$ (12,862.00)	\$ 98,759.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 5,400.00	\$ 5,400.00	\$ -	\$ 5,400.00
12. TITLE VII FED. OMB	\$ -	\$ 23,444.00	\$ 23,444.00	\$ -	\$ 23,444.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 157,141.00	\$ 157,141.00	\$ -	\$ 157,141.00
14. STATE OMBUDSMAN	\$ -	\$ 30,000.00	\$ 30,000.00	\$ -	\$ 30,000.00
15. STATE RESPITE	\$ -	\$ 6,372.00	\$ 6,372.00	\$ -	\$ 6,372.00
16. SSBG (SERVICES)	\$ -	\$ -	\$ -	\$ -	\$ -
17. S.H.I.P.	\$ -	\$ 16,019.00	\$ 16,019.00	\$ -	\$ 16,019.00
18. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ 3,578.00	\$ 19,681.00
TOTAL	\$ -	\$ 2,153,872.00	\$ 2,153,872.00	\$ (52,187.00)	\$ 2,101,685.00

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

REGION 9	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 ALERTS	INCREASE (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ -	\$ -	\$ -	\$ -
2. OAA ADMIN. III C-1	\$ -	\$ -	\$ -	\$ -	\$ -
3. OAA ADMIN. III-E	\$ -	\$ -	\$ -	\$ -	\$ -
4. SSBG ADMIN.	\$ -	\$ -	\$ -	\$ -	\$ -
5. TITLE III-B	\$ -	\$ -	\$ -	\$ -	\$ -
6. TITLE III-C1	\$ -	\$ -	\$ -	\$ -	\$ -
7. TITLE III-C2	\$ -	\$ -	\$ -	\$ -	\$ -
8. TITLE III-D	\$ -	\$ -	\$ -	\$ -	\$ -
9. TITLE III-E CAREGIVER	\$ -	\$ -	\$ -	\$ -	\$ -
10. NSIP	\$ -	\$ -	\$ -	\$ -	\$ -
11. TITLE VII ELDER ABUSE	\$ -	\$ -	\$ -	\$ -	\$ -
12. TITLE VII FED. OMB	\$ -	\$ -	\$ -	\$ -	\$ -
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 1,385,346.00	\$ 445,687.00	\$ (314,932.00)	\$ 130,755.00
14. STATE OMBUDSMAN	\$ -	\$ -	\$ -	\$ -	\$ -
15. STATE RESPITE	\$ -	\$ -	\$ -	\$ -	\$ -
16. SSBG (SERVICES)	\$ -	\$ 1,230,623.00	\$ 2,159,645.00	\$ (1,162,030.00)	\$ 997,615.00
17. S.H.I.P.	\$ -	\$ -	\$ -	\$ -	\$ -
18. SENIOR PATROL	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ 2,615,969.00	\$ 2,605,332.00	\$ (1,476,962.00)	\$ 1,128,370.00

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

STATE TOTAL	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 AWARDS	INCREASE/ (DECREASE) SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
1. STATE ADMIN.	\$ -	\$ 1,242,401.00	\$ 742,401.00	\$ -	\$ 742,401.00
2. OAA ADMIN. III C-1	\$ -	\$ 2,042,567.00	\$ 2,042,567.00	\$ (47,340.00)	\$ 1,995,227.00
3. OAA ADMIN. III-E	\$ -	\$ 312,353.00	\$ 312,353.00	\$ (11,047.00)	\$ 301,306.00
4. SSBG ADMIN.	\$ -	\$ 309,772.00	\$ 414,179.00	\$ 426,738.00	\$ 840,917.00
5. TITLE III-B	\$ -	\$ 7,424,655.00	\$ 7,424,655.00	\$ (192,852.00)	\$ 7,231,803.00
6. TITLE III-C1	\$ -	\$ 5,903,441.00	\$ 5,903,441.00	\$ (126,752.00)	\$ 5,776,689.00
7. TITLE III-C2	\$ -	\$ 4,537,425.00	\$ 4,537,425.00	\$ (102,090.00)	\$ 4,435,335.00
8. TITLE III-D	\$ -	\$ 405,272.00	\$ 405,272.00	\$ (1,416.00)	\$ 403,856.00
9. TITLE III-E CAREGIVER	\$ 15,000.00	\$ 2,811,182.00	\$ 2,826,182.00	\$ (114,436.00)	\$ 2,711,746.00
10. NSIP	\$ -	\$ 2,266,704.00	\$ 2,266,704.00	\$ (234,369.00)	\$ 2,032,335.00
11. TITLE VII ELDER ABUSE	\$ -	\$ 73,686.00	\$ 73,686.00	\$ -	\$ 73,686.00
12. TITLE VII FED. OMB	\$ -	\$ 319,185.00	\$ 319,185.00	\$ -	\$ 319,185.00
13. STATE IND. LIVING SUPPORTS	\$ -	\$ 9,905,483.00	\$ 5,405,483.00	\$ -	\$ 5,405,483.00
14. STATE OMBUDSMAN	\$ -	\$ 814,116.00	\$ 814,116.00	\$ -	\$ 814,116.00
15. STATE RESPITE	\$ -	\$ 462,000.00	\$ 462,000.00	\$ -	\$ 462,000.00
16. SSBG (SERVICES)	\$ -	\$ 4,397,869.00	\$ 9,320,909.00	\$ (426,738.00)	\$ 8,894,171.00
17. S.H.I.P.	\$ -	\$ 640,763.00	\$ 640,763.00	\$ -	\$ 640,763.00
18. SENIOR PATROL	\$ -	\$ 128,824.00	\$ 128,824.00	\$ 28,622.00	\$ 157,446.00
19. NAVAJO SENIOR CTR.	\$ -	\$ -	\$ -	\$ -	\$ -
20. REFUGEE	\$ -	\$ 104,000.00	\$ 104,000.00	\$ -	\$ 104,000.00
21. DIRECT CARE CURRICULUM	\$ -	\$ 40,000.00	\$ 40,000.00	\$ -	\$ 40,000.00
22. ALZHEIMER'S CAREPRO	\$ -	\$ -	\$ -	\$ -	\$ -
23. SCSEP (TITLE V)	\$ 153,200.00	\$ -	\$ 1,171,450.00	\$ -	\$ 1,171,450.00
24. SCSEP2 (TITLE V) CARRYOVER	\$ 127,240.00	\$ -	\$ 127,240.00	\$ -	\$ 127,240.00
25. OPTIONS COUNSELING	\$ -	\$ -	\$ -	\$ 246,739.00	\$ 246,739.00
26. ENHANCEFITNESS	\$ 18,500.00	\$ -	\$ 18,500.00	\$ -	\$ 18,500.00
TOTAL	\$ 313,940.00	\$ 44,141,698.00	\$ 45,501,335.00	\$ (554,941.00)	\$ 44,946,394.00

DIVISION OF AGING & ADULT SERVICES

CONTRACT OBLIGATION FOR SFY 2012

STATE TOTAL	SFY 2011 CARRYOVER	INITIAL SFY 2012 ALERTS	TOTAL SFY 2012 AWARDS	REVISED SFY 2012 ALERTS	REVISED TOTAL SFY 2012 AWARDS
STATE ADMIN.	\$ -	\$ 1,242,401.00	\$ 742,401.00	\$ -	\$ 742,401.00
OLDER AMERICANS ACT	\$ 15,000.00	\$23,829,766.00	\$ 23,844,766.00	\$ (595,933.00)	\$ 23,248,833.00
STATE (ILS, RSP, OMB, DCC)	\$ -	\$11,221,599.00	\$ 6,721,599.00	\$ -	\$ 6,721,599.00
SSBG REGIONS 1-9	\$ -	\$ 4,707,641.00	\$ 9,735,088.00	\$ -	\$ 9,735,088.00
S.H.I.P./SENIOR PATROL	\$ -	\$ 769,587.00	\$ 769,587.00	\$ 28,622.00	\$ 798,209.00
NSIP	\$ -	\$ 2,266,704.00	\$ 2,266,704.00	\$ (234,369.00)	\$ 2,032,335.00
OPTIONS COUNSELING	\$ -	\$ -	\$ -	\$ 246,739.00	\$ 246,739.00
REFUGEE	\$ -	\$ 104,000.00	\$ 104,000.00	\$ -	\$ 104,000.00
ALZHEIMER'S	\$ -	\$ -	\$ -	\$ -	\$ -
SCSEP TITLE VI/ SCSEP2	\$ 280,440.00	\$ -	\$ 1,298,690.00	\$ -	\$ 1,298,690.00
ENHANCEFITNESS	\$ 18,500.00	\$ -	\$ 18,500.00	\$ -	\$ 18,500.00
BELOW-THE-LINE SUBTOTAL	\$ 313,940.00	\$44,141,698.00	\$ 45,501,335.00	\$ (554,941.00)	\$ 44,946,394.00
NAVAJO SENIOR CTR. TOTAL	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ 313,940.00	\$44,141,698.00	\$ 45,501,335.00	\$ (554,941.00)	\$ 44,946,394.00

NOTE: The following list reflects the most recent ALERTS issued to support the amounts reflected:

ALERT	FUND SOURCE	DATE ISSUED
1. ALERT 12-1A	TITLE III/VII PLANNING LEVELS FOR SFY 2012	6/30/2011
2. ALERT 12-2A	SSBG ALLOCATIONS FOR SFY 2012	4/29/2011
3. ALERT 12-3A	STATE ALLOCATIONS FOR SFY 2012	4/29/2011
4. ALERT 12-4	STATE OMBUDSMAN ALLOCATIONS FOR SFY 2012	2/28/2011
5. ALERT 12-5	SCSEP PLANNING LEVELS/CARRYOVER FOR SFY 2012	5/20/2011
6. ALERT 12-6A	NSIP ALLOCATIONS FOR SFY 2012	6/30/2011
7. ALERT 12-7B	SHIP & SENIOR PATROL ALLOCATIONS FOR SFY 2012	6/30/2011
8. ALERT 12-11	REFUGEE RE-SETTLEMENT ALLOCATIONS FOR SFY 2012	2/28/2011
9. ALERT 12-11A	ENHANCEFITNESS CARRYOVER FOR SFY 2012	6/30/2011
10. ALERT 12-11B	OPTIONS COUNSELING ALLOCATIONS FOR SFY 2012	6/30/2011