



DEPARTMENT OF ECONOMIC SECURITY

*Your Partner For A Stronger Arizona*

Janice K. Brewer  
Governor

Neal Young  
Director

September 9, 2009

To: Area Agencies on Aging  
Pima Health Systems

From: Melanie K. Starns, M.A.G.  
Assistant Director  
Department of Economic Security  
Division of Aging and Adult Services

Subject: **Area Agencies on Aging SFY 2010 Allocations, Funding Opportunities, and Technical Assistance**

Attached are the remaining allocations for State General Funds for your respective Planning and Service Area for State Fiscal Year 2010 and an associated reductions. Also attached is the allocation for the Next Generation Performance Outcome Measurement Project, several funding opportunities, Area Plan on Aging policies and procedures, and technical assistance regarding the Family Caregiver Support Program (FCSP) and Home and Community Based Services (HCBS).

The following Alerts are attached:

<u>ALERT</u>	<u>FUND SOURCE/TYPE</u>
ALERT SFY-10-1A	Title III and VII – SFY2008 Carryover Funding Opportunities
ALERT SFY-10-1B	Title III and VII - SFY2010 Additional Funds
ALERT SFY-10-3A	State General Funds - Allocation
ALERT SFY-10-3B	State General Funds - Reduction
ALERT SFY-10-10	Policy and Procedures – Area Plan on Aging
ALERT SFY-10-11C	Other Funds – Next Generation Performance Outcome Measurement Project
ALERT SFY-10-12	Technical Assistance – HCBS Reporting
ALERT SFY -10-12A	Technical Assistance – FCSP Reporting
ALERT SFY -10-12B	Technical Assistance – FCSP Caregiver Assessment Tool

An SFY 2010 contract operating budget is due to the Division of Aging and Adult Services, Fiscal/Contacts Unit by close of business Friday, September 25, 2009. An amendment will be prepared. Funding opportunity submissions are also due to the Division no later than September 25, 2009. Alerts are now available on the Division's website using the link: <http://www.azdes.gov/aaa/publications/default.asp>. Scroll down to Alerts at the bottom of the webpage and select the respective Alert.

cc: Lynn Larson, Tammy Frazee, Bridget Casey, Joel Millman, Cindy Saverino, Jerry Lay, Matt LeCrone, David Besst, John Kinkel, Diana Toussaint, Jutta Ulrich, Jan Cardoza, Scott Carson, Darrell Funk, Shannon Kavanagh, DAAS file

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-1A

**Title III & VII  
for SFY-2010**

The following funding opportunities resulting from unrequested SFY 2008 carryover funds are being made available to Area Agencies on Aging to support statewide initiatives.

Funding Opportunity #1 - Congregate Meals (Title III C-1)

The Division of Aging and Adult Services has approximately \$200,000 of Title III C-1 to be used for the following Congregate Meal Program activities:

- One-time kitchen equipment purchase (examples include large items such as freezers, stoves, dish machines, dining room tables or small wares such as china, pots and pans, or tablecloths) and/or;
- Purchasing bulk amounts of non-perishable food items to build up inventory (examples include additional cases of canned fruits and vegetables) and/or;
- Host an outreach food event to recruit new participants to promote the benefits of the nutrition sites (examples include pancake breakfast, indoor or outdoor picnic, or theme meal such as German, French, or Hawaiian foods).

Funds are to complement Recovery Act activities.

**Up to four awards will be made not to exceed \$50,000 per award.**

Funding Opportunity #2 – Home Delivered Meals (Title III C-2)

The Division of Aging and Adult Services has approximately \$34,000 of Title III C-2 to be used for the following Home Delivered Meal Program activities:

- Offer alternative home delivered meal options and/or;
- One-time equipment purchase used in the delivery of home-delivered meal service.

Funds are to complement Recovery Act activities.

**Up to two awards will be made not to exceed \$17,000 per award.**

Funding Opportunity #3 - Family Caregiver Support Program (Title III-E)

The Division of Aging and Adult Services has approximately \$158,000 of Title III-E to be used for the following Family Caregiver Support Program activities:

- Provide Respite and Case Management services to clients on the waiting list and;
- Participate in a Caregiver Assessment Tool (CAT) Pilot and;
- Participate in Case Management trainings.

Although the Arizona Standardized Client Assessment Plan (ASCAP) is used to assess caregivers, the initial four questions are subjective and do not fully assess the caregiver's experiences and needs. The CAT was developed to complement the ASCAP and intended to improve the overall assessment and authorization process for client-supported services of the FCSP. The pilot will run through June 30, 2010 with new client intakes for the pilot ending on January 15, 2010, allowing all clients to receive their full authorization of respite service by June 30, 2010. The pilot will serve 80 Arizona caregivers based on per client costs of \$1,750 (\$1,500 for respite or adult day care and \$250 for case management). The pilot will be based on service delivery of Respite Care and Adult Day Care and will be provided to caregivers who do not currently "receive" any other publicly funded respite care. Service authorizations are limited to six months at a time. The pilot makes respite services available to caregivers

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-1A

**Title III & VII  
for SFY-2010**

on Area Agency on Aging waiting lists. All caregivers receiving services in this pilot will be assessed using the CAT as part of the assessment process pre-service delivery and post-service delivery after six months. Caregivers assessed to need respite care beyond the initial six months will be served as funding allows through the FCSP or other available respite resources. CAT data will be reported to Dr. David Coon at Arizona State University through a process provided by DAAS. Data collected from the CAT and input from the case managers involved in the pilot will be used to finalize the “scoring” mechanism for the CAT. This will be used to assist case managers in prioritizing service authorizations based on objective measures of caregiver burden and stress, along with factoring in other support mechanisms. Please refer to **Technical Assistance Alert Technical Assistance Alert SFY-10-12B** for additional information on the CAT. The Division of Aging and Adult Services recently submitted a grant application to the Administration on Aging for the Lifespan Respite Care Program (LRCP). Should DAAS be awarded the grant, it is anticipated that some of the funds will be applied to this CAT pilot program. The federal LRCP grant awards will be announced in September 2009.

**Up to six awards will be made not to exceed \$35,000 per award.**

Funding Opportunity #4 – Prevention of Elder Abuse (Title III- B)

The Division of Aging and Adult Services has \$3,923 in Title III-B to be used for the following Legal Services Assistance Program activities:

- Survey Legal Service Assistance Program providers for training needs or requests and;
- Organize and host a one-day training to meet those needs or requests

**Only one award will be made.**

Area Agencies on Aging responding to funding opportunities 1-4 should submit to their respective Contracts Specialist a one-page proposal for each respective funding opportunity. Each proposal should include the following elements:

- Description of service
- Rationale for the activity
- Impact of the activity (for Funding Opportunity #3 - Number of case managers from the planning and service area who will be participating in the pilot and how many caregivers will be served in the pilot)
- Detailed budget (does not count towards the one-page limit)

Funding opportunities are not to be used for Administration costs. Proposals are due no later than September 25, 2009.

**Approved funding opportunities must be expended by June 30, 2010.**

The following funding allocations resulting from unrequested SFY 2008 carryover funds are being made available to Area Agencies on Aging to support program activities.

Funding Allocation #1 – Family Caregiver Support Program (Title III-E)

The Division of Aging and Adult Services has \$60,000 in Title III-E to be used to support the transition to new processes in FCSP data collection and reporting, including training and technical assistance.

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-1A

**Title III & VII  
for SFY-2010**

The following allocations are being made to each Area Agencies on Aging:

Region	Allocation
Region 1	\$7,500
Region 2	\$7,500
Region 3	\$7,500
Region 4	\$7,500
Region 5	\$7,500
Region 6	\$7,500
Region 7	\$7,500
Region 8	\$7,500
Total	\$60,000

These funds are being allocated by DAAS to support Area Agencies on Aging in transitioning to reporting changes that are required as a result of an Administration on Aging site visit occurred in May 2009. During this site visit DAAS was informed that the existing data collection and reporting process for FCSP required modifications in the capturing of caregiver demographic information for the National Aging Programs Information System (NAPIS). The changes being implemented are detailed in **Technical Assistance Alert SFY-10-12A**. The service codes for use with these funds are Caregiver Training (CTZ), Supportive Intervention and Guidance Counseling (GCZ), Peer Counseling (PCZ), and Case Management (CMZ).

The following reporting requirements apply to these funds:

- Project progress and implementation status are to be reported on the FCSP quarterly narrative report.
- Service delivery reporting is based on standard FCSP requirements.

Funding Allocation #2 – Long-Term Care Ombudsman Program (Title VII)

The Division of Aging and Adult Services has \$19,240 in Title VII to be used to supplement transportation costs for Regional Ombudsman and volunteers.

The following allocations are being made to each Area Agencies on Aging:

Region	Allocation
Region 1	\$ 2,405
Region 2	\$ 2,405
Region 3	\$ 2,405
Region 4	\$ 2,405
Region 5	\$ 2,405
Region 6	\$ 2,405
Region 7	\$2,405
Region 8	\$2,405
Total	\$19,240

Should you have questions regarding these funding opportunities or allocations, please contact Joel Millman or Cindy Saverino at 602-542-4446.

Division of Aging and Adult Services  
ALERT

SFY-10-1B

**Older Americans Act Title III and Title VII  
for SFY-2010**

The Division of Aging and Adult Services received an increase of \$139,598 for Title III and Title VII collectively in the FFY 2009 grant awards from the Administration on Aging. A full 100% of the FFY 2009 increase was placed into the SFY 2010 allocation. Had the increase been received earlier in SFY 2009,  $\frac{3}{4}$  of the increase would have been placed in SFY 2009 and  $\frac{1}{4}$  of the increase would have been placed in SFY 2010. If the FFY 2009 grant amount changes again, Area Agencies on Aging could realize another increase/decrease in the SFY 2010 Title III/VII allocation.

Increases occurred in Title III and VII in the following titles and subparts:

- an increase of \$46,343 in III-B
- an increase of \$54,768 in III-C1
- an increase of \$17,731 in III-C2
- an increase of \$4,052 in III-D
- an increase of \$12,944 in III-E
- an increase of \$1,137 in VII-Elder Abuse
- an increase of \$2,623 in VII-Federal Ombudsman

Attached are the revised allocations that identify the contract planning levels for your respective Planning and Service Area for SFY 2010. These revised allocations are based on the current FFY 2009 grant and the estimated FFY 2010 grant award. When the Division receives its actual FFY 2010 grant award, the Title III and VII Allocations will be adjusted accordingly and a revised ALERT will be issued.

Should you have questions regarding the allocations, please contact your respective Contracts Specialist.

Division of Aging and Adult Services  
ALERT

SFY-10-3A

**State General Funds  
for SFY-2010**

As of the issuance of this Alert, the State Fiscal Year 2010 budget has not been passed. As identified in Alert SFY-10-3 issued on June 11, 2009, one-quarter (25%) of the initial State General Fund allocations were made available to Area Agencies on Aging based on allocations provided in SFY 2009. Attached is the remainder of the initial allocations for State General Funds for SFY 2010. This allocation is subject to revision during SFY 2010. When the Division receives final State General Fund appropriations, adjustments will be made to all categories and Area Agencies on Aging may experience changes to their allocation.

The following **Independent Living Supports** allocations are being made to each Area Agency on Aging (excludes Program Administration).

Region 1	\$ 4,528,750
Region 2	2,031,945
Region 3	902,498
Region 4	869,015
Region 5	762,717
Region 6	684,935
Region 7	187,129
Region 8	<u>194,239</u>
Total Allocation	\$10,161,228

Capacity Building Funds have continued to decrease as allocations were identified for Independent Living Supports specific activities. Therefore, these allocations also reflect any transfers of Capacity Building funds into Independent Living Supports since the last Alert.

The Division receives the State General Funds without an allocation specific to Program Administration. In order to determine the Program Administration allocation, the sum of State Independent Living Support, State Ombudsman, and State Respite are used as the base. Ten percent of the base is used in the calculation for Program Administration which is then distributed from the Independent Living Support allocation.

Up to 10% of the State General Funds may be used for Program Administration. The following **Program Administration** allocations are being made to each Area Agency on Aging:

Region 1	\$536,945
Region 2	246,835
Region 3	106,825
Region 4	102,874
Region 5	79,375
Region 6	80,578
Region 7	10,441
Region 8	<u>13,477</u>
Total Allocation	\$1,177,350

Division of Aging and Adult Services  
ALERT

SFY-10-3A

**State General Funds  
for SFY-2010**

The following **Respite** allocations are being made to each Area Agency on Aging:

Region 1	\$ 192,022
Region 2	66,406
Region 3	26,153
Region 4	26,565
Region 5	17,353
Region 6	15,008
Region 7	4,872
Region 8	<u>4,872</u>
Total Allocation	\$ 353,251

The State Fiscal Year 2009 Appropriations Report identifies \$462,000 for Respite Care Services within the Adult Services line item.

The following **Ombudsman Program** allocations are being made to each Area Agency on Aging:

Region 1	\$ 296,476
Region 2	147,793
Region 3	34,782
Region 4	32,321
Region 5	27,810
Region 6	26,405
Region 7	22,500
Region 8	<u>22,500</u>
Total Allocation	\$ 610,587

The following **Grandparent Kinship Care** allocations are being made to each Area Agency on Aging:

Region 1	\$ 302,319
Region 2	104,359
Region 3	103,737
Region 4	45,655
Region 5	49,069
Region 6	51,519
Region 7	28,558
Region 8	<u>25,558</u>
Total Allocation	\$710,774

The following Direct Care Workforce (DCW) Initiative allocation is being made to the following Area Agency on Aging for the development of the DCW competency test and to develop a sustainable model for professional development of trainers:

Region 2	\$ 40,000
Total Allocation	\$ 40,000

Should you have any questions, please contact your respective Contract Specialist.

Division of Aging and Adult Services  
ALERT

SFY-10-3B

**State General Funds  
for SFY-2010**

As of the issuance of this Alert, the State Fiscal Year 2010 budget has not been passed. When the Division receives final State General Fund appropriations, adjustments will be made to all categories and Area Agencies on Aging may experience changes to their allocation. The Division anticipates a reduction of \$845,800 in the Adult Services Special Line Item. Area Agencies on Aging will realize a net reduction of \$729,733 due to the following:

- Due to attrition in the State Supplemental Payments Program, for SFY 2010, \$56,068 is being redistributed to ILS.
- In the past several years, an allocation of \$100,000 in State General Funds was set aside for the Direct Care Workforce Initiative. For SFY 2010, \$60,000 is being redistributed to ILS.

Reductions are spread across the Area Agencies on Aging proportionate to the revised Independent Living Support and Capacity Building SFY 2009 allocation identified in the February 20, 2009 Alert. Reductions are also applied to Program Administration. Reductions shall not be applied to the following State General Fund categories: State Respite and State Ombudsman. Area Agencies on Aging may apply the reduction to the following State General Fund categories: Capacity Building, Independent Living Supports, and/or Program Administration. In keeping with the intent of addressing the most severe needs, Area Agencies on Aging are encouraged to focus applying reductions to capacity building services first, then ancillary services.

The following **reductions** are being made to the State General Funds allocations for the categories of Independent Living Supports and/or Capacity Building:

Region 1	(\$294,092)
Region 2	(133,735)
Region 3	(59,337)
Region 4	(57,146)
Region 5	(48,121)
Region 6	(44,966)
Region 7	(9,853)
Region 8	<u>(10,743)</u>
Total Reduction	(\$657,993)

The following **reductions** are being made to the State General Funds allocations for the categories of Program Administration:

Region 1	(\$32,677)
Region 2	(14,295)
Region 3	(6,370)
Region 4	(6,124)
Region 5	(5,199)
Region 6	(4,869)
Region 7	(1,053)
Region 8	<u>(1,153)</u>
Total Reduction	(\$71,740)



Division of Aging and Adult Services  
ALERT

SFY-10-3B

**State General Funds  
for SFY-2010**

The following **reductions** are being made to the State General Funds allocations for the categories of Grandparent Kinship Care:

Region 1	(\$20,291)
Region 2	(7,002)
Region 3	(6,962)
Region 4	(3,065)
Region 5	(3,294)
Region 6	(3,458)
Region 7	(1,915)
Region 8	<u>(1,713)</u>
Total Reduction	(\$47,700)

Reductions are spread across the Area Agencies on Aging proportionate to the revised Grandparent Kinship Care SFY 2009 allocation identified in the February 20, 2009 Alert. Area Agencies on Aging are requested to notify field staff and contractors of these revised allocations. Please review and adjust existing authorizations, as needed, to accommodate the revised allocations for SFY2010.

Should you have any questions, please contact your respective Contract Specialist.

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-10

**Policy and Procedure  
for SFY-2010**

Policy and Procedure Alerts are intended to notify Area Agencies on Aging of newly developed or revised Division of Aging and Adult Services Policies and Procedures. The following Division of Aging and Adult Services Policies and Procedures are effective as of July 31, 2009:

- Chapter 2000 – Area Plan on Aging - The chapter includes the following sections: Area Plan on Aging, Collecting Public Input, Targeting of Services/Identification of Needs, Developing Goals and Objectives, Requesting Waivers, Program Development and Coordination, and Service Contributions.

This policy can be accessed at:

[https://egov.azdes.gov/cms400min/uploadedFiles/DAAS/C2000\\_FULL1\\_DAAS.pdf](https://egov.azdes.gov/cms400min/uploadedFiles/DAAS/C2000_FULL1_DAAS.pdf)

To focus on the commonalities that already exist among the goals and objectives among the Administration on Aging Strategic Plan, Arizona Aging 2020 Plan, State Plan on Aging, and the eight Area Plans on Aging, the following three goals are to be incorporated into the 2011-2013 Area Plans on Aging:

- Increase awareness and understanding of aging issues and help prepare Arizona for an aging population
- Increase the ability of older adults to remain active, healthy and living independently in their communities
- Increase the safety and well-being of older Arizonans

On August 11, 2009, the Division hosted an overview, via iLinc, of the 2011-2013 Area Plan Policies and Procedures as well as the Area Plan Format Instructions. It was agreed that ongoing discussions will be held during the Area Agency on Aging Director's bi-monthly conference call with the Division.

Although the policy identifies key due dates for activities related to the development of the 2011-2013 Area Plans on Aging, the following calendar is provided as a quick reference of dates to keep in mind throughout the planning process:

- August 2009 – January 2010 – conduct needs assessment, compile results
- February 2010 – April 2010 – draft plan, prepare waiver(s), conduct public input sessions
- May 1, 2010 – submit waiver(s) request(s) and Area Plan to the Division of Aging and Adult Services
- June 1, 2010 – receive approval/denial of waiver(s)
- July 1, 2010 – Area Plan become effective
- October 1, 2010 – submit action plan for strategic objectives
- December 31 and June 30 of each year – submit semi-annual progress reports on action plan.

Should you have questions or require additional information, please contact Joel Millman at (602) 542-6335 or via e-mail at [joelmillman@azdes.gov](mailto:joelmillman@azdes.gov).

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-12

**Technical Assistance  
for SFY-2010**

Technical Assistance Alerts are generated periodically to update contractual and/or programmatic requirements and/or clarifications. The purpose of this Alert is to notify the Area Agencies on Aging about modified reporting requirements. **Please ensure that appropriate Area Agency on Aging personnel are aware of this technical assistance.**

*Please note that this technical assistance is not intended to be all-inclusive. The information in this document may be updated periodically through future Alerts and updates to the Division of Aging and Adult Services (DAAS) Policy and Procedure Manual. Please refer to the DAAS Publications website for the latest information:*

<https://egov.azdes.gov/cmsinternet/common.aspx?menu=36&menuc=28&id=8252>

The Older Americans Act (OAA) requires the “statistical data and an analysis of information regarding the effectiveness of the State agency and area agencies on aging in targeting services to older individuals with greatest economic need and older individuals with greatest social need, with particular attention to low-income minority individuals, older individuals residing in rural areas, low-income individuals, and frail individuals (including individuals with any physical or mental functional impairment.”

In order to comply with this requirement regarding Non-Medical Home and Community Based services as well as the Family Caregiver Support Program, Area Agencies report on programs and services funded under the OAA and other sources through the Aging Information Management System (AIMS) or other forms containing information identified by DAAS. Information on services that are directly connected to a particular client (client supported) are collected and entered in AIMS. Services that are not connected to a particular client (non-client supported) are collected via the reports and/or forms identified in section 1603 of the DAAS Policy and Procedures Manual. To report non-client supported data, each Area Agency on Aging currently submits a monthly Social Service Report (AG-031) to the Division by the 30<sup>th</sup> day of the month for the preceding month that service was provided.

As the State Unit on Aging, the Division is responsible to submit specific data related to all OAA activities to the Administration on Aging (AoA) on an annual basis via the National Aging Program Information System (NAPIS). This information is reported based on a federal fiscal year October 1 – September 30. Information reported by the Area Agencies via AIMS and other required reports and forms is used by the Division to satisfy the NAPIS reporting requirement.

NAPIS categorizes OAA services into three “clusters” of services:

- Cluster 1 - Registered: Detailed client information is reported (e.g. age, number of Activities of Daily Living, gender, poverty level, ethnicity). Examples of Registered Cluster 1 services are Personal Care, Homemaker, Home Delivered Meals and Case Management. In AIMS, these are client-supported services.
- Cluster 2 – Registered: Summary client information is reported (e.g. age, gender, and ethnicity). Examples of Registered Cluster 2 services are Congregate Meals. These are non-client supported services.
- Cluster 3 – Non-registered: No specific client data is reported. Examples of Non-Registered Cluster 3 services are Transportation, Legal Assistance, Information and Assistance and Outreach. These are non-client supported services.

To conform to HCBS requirements **effective January 1, 2010**, all Cluster 3: Non-Registered Service home and community based services will be reported electronically via an Excel spreadsheet that the Area Agencies on Aging will email to the DAAS Contracts Unit by the 30<sup>th</sup> day of the month following service delivery to allow for accurate and timely compilation of data. This reporting process will replace the monthly manual submission of the hardcopy version of the Social Service Report (AG-031).

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-12

**Title III & VII  
for SFY-2010**

Two Technical Assistance webinars will be held to provide further details about these reporting requirements including how to submit the data electronically:

- October 6<sup>th</sup> from 1pm to 2:30pm
- October 15<sup>th</sup> from 10am to 11:30am

**Please be sure to notify all Area Agency on Aging staff that might benefit from these training opportunities.**

The tables below list the Cluster 3 service categories and the respective service codes to be reported.

**Cluster 3: Non-Registered Services**

<b>Transportation</b>
TR1, TR2, TR3, TR4, TR6, TSB, TSP

<b>Legal Assistance</b>
LG1, LGB, LGL

<b>Information and Assistance</b>
ASH, IR1, IR2, IRB, IRM, IRP, MSH, SMP

<b>Outreach</b>
CEI, CEB

<b>Other Services</b>
AD1, A5B, AD2, ADB, ADM, ADP, ADV, AMB, APD, APS, AVB, CDT, CDW, COB, CON, CSB, CSL, CT1, DP7, EAB, EAS, EMB, EMP, FSM, HP1, HPB, HPR, IA1, IAD, IAN, LTB, LTC, LTW, MC1, MCB, MCO, MED, MOW, MWB, MWP, NEI, NPS, PE1, PGB, PGD, PGE, REA, REB, REP, RP1, RP2, RP3, RP9, RPB, RPR, SCB, SOB, SOC, SPB, TVP, VMB, VMS

DAAS Policies and Procedures, Section 1600 will be updated to reflect these changes in reporting. The new electronic reporting form will be available online by September 30, 2009, at which time Area Agencies on Aging will be provided a link for access.

Should you have any questions related to this Alert, please contact John Kinkel at 602-364-1974 or [jkinkel@azdes.gov](mailto:jkinkel@azdes.gov).

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
 Division of Aging and Adult Services  
 ALERT

SFY-10-12A

**Technical Assistance  
 for SFY-2010**

Technical Assistance Alerts are generated periodically to update contractual and/or programmatic requirements and/or clarifications. The purpose of this Alert is to notify the Area Agencies on Aging about modified reporting requirements related to the Family Caregiver Support Program (FCSP). **Please ensure that appropriate Area Agency on Aging personnel are aware of this technical assistance.**

*Please note that this technical assistance is not intended to be all-inclusive. The information in this document may be updated periodically through future Alerts and updates to the Division of Aging and Adult Services (DAAS) Policy and Procedure Manual. Please refer to the DAAS Publications website for the latest information: <http://www.azdes.gov/aaa/publications/>*

In response to clarifications related to the federal reporting requirements for several services within the FCSP, DAAS is modifying the categories of services within the FCSP. The table below identifies the service categories as they are currently reported and the services included in them:

<b>Previous FCSP Service Categories</b>	
<b>Non-Client Supported Services</b>	<b>Client Supported Services</b>
Reported manually in Monthly Report (paper)	Authorized and Reported in AIMS
Outreach (IR5)	Respite (RSP, RSL), Adult Day (AC5, ACL)
Community Education (EI5)	Supplemental Provisions (IA5)
Information & Referral (IN5)	Home Repair/Renovation (RP5)
Caregiver Training (CT5)	Adaptive Aid & Devices (AD5)
Peer Counseling, Support Groups (PC5)	Kinship Care Support (KS3, KS7)
Guidance Counseling (GC5)	
Case Management (CM5)	
Caregiver Transportation (TR5)	

The table below identifies the modified reporting methods for each category and the services included in them:

<b>Modified FCSP Service Categories</b>		
<b>Non-Registered Services</b>	<b>Registered Services</b>	<b>Client Supported Services</b>
Spreadsheet submittal	Spreadsheet submittal (eventually AIMS)	Authorized & Reported in AIMS
Outreach (IR5)	Caregiver Training (CT5)	(RSP, RSL), (AC5, ACL)
Community Education (EI5)	Peer Counseling, Support Groups (PC5)	(IA5), (RP5), (AD5), (TR5)
Information & Referral (IN5)	Guidance Counseling (GC5)	(KS3, KS7)
Intake (INT)	Case Management (CM5)	

The division of the Non-Client Supported Services into two distinct categories called “Registered” and “Non-Registered” is based on the fact that “Registered” services require an unduplicated count of caregivers served, along with basic demographic information on each caregiver served. The Non-Registered services do not require demographics information or unduplicated counts of caregivers served. Technically, Client Supported Services are “Registered” services, but Client Supported Services also require assessment and entry into AIMS, and most importantly are also based on certain requirements related to the care recipient, hence the name Client Supported Services. Please refer to the attached FCSP Flowchart (**Exhibit A**) for more details.

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-12A

**Technical Assistance  
for SFY-2010**

The new reporting system will be available starting January 1, 2010. January 1 through June 30, 2010 is a transition period and regions may report using either the current system or the revised system. To facilitate accurate and efficient compilation of data, and to eliminate the burden of manual reporting, **Effective July 1, 2010**, all three of the categories of FCSP services will be reported electronically, as indicated below:

- Non-Registered Services will be reported monthly using a new Monthly Non-Registered Services Report in the form of an Excel spreadsheet that will be emailed to the DAAS Contracts Unit by the 30<sup>th</sup> day of the month following service delivery.
- Registered Services data will be collected in the field using a new Caregiver Registration Form that is attached below (**Exhibit B**). This form includes all of the data fields needed for collecting caregiver data for FCSP Registered Services. The data collected will be reported on the new electronic Monthly Registered Services Report, which is an Excel spreadsheet. Agencies that are already collecting the required caregiver data for the services now included in the "Registered" category may continue to utilize the data collection system they have, as long as the data fields match the new Caregiver Registration Form and the data is submitted electronically. Area Agencies on Aging without a current method of collecting and storing this data will be offered an optional Access Database to assist them with that task and automate their monthly reports. The Monthly Registered Services Report will be submitted electronically via email to the DAAS Contracts Unit on a monthly basis by the 30<sup>th</sup> day of the month following service delivery. DAAS is currently working to add capability to AIMS that will allow data capture of the FCSP Registered Services, but that process may take several months.
- Client Supported service reporting within the FCSP remains the same, as these services are authorized and entered in AIMS.
- The new Caregiver Registration Form also contains six service evaluation questions on the back of the form. These service evaluation questions are designed to provide DAAS and the Area Agencies on Aging with important data that can be used to demonstrate the effectiveness of services. The use of this part of the form is totally voluntary at this point, and is offered as a potential model on how the aging network can move toward service delivery that includes collection of evaluative data. Any data collected from these evaluative questions can be reported as part of the electronic Monthly Registered Services Report and results will be shared with Area Agencies on Aging on a quarterly basis.

All of the forms associated with reporting the services of the FCSP, including the new electronic reporting forms, will be available online by September 30, 2009, at which time Area Agencies on Aging will be provided a link for access.

Two Technical Assistance webinars will be held to provide further details about these changes to the FCSP:

- October 6<sup>th</sup> from 1pm to 2:30pm
- October 15<sup>th</sup> from 10am to 11:30am

Please be sure to notify all Area Agency on Aging staff that might benefit from these training opportunities.

Should you have any questions related to this Alert, please contact David Besst at 602-542-6431 or [dbesst@azdes.gov](mailto:dbesst@azdes.gov).

# FCSP Program Flowchart

## Family Caregiver Support Program Services, Eligibility, Authorization, Reporting

- 5 Basic Services for Caregivers**
- Information
  - Access Assistance
  - Counseling/training/support groups, case management
  - Respite Care, Adult Day Care
  - Supplemental Services

Exhibit A

Exhibit A

- Non-Registered Services**  
(No specific caregiver data needed)
- Information, Access Assistance
- IR5 Outreach
  - EI5 Community Education & Information
  - IN5 Information & Referral
  - INT Intake

- Registered Services**  
(Specific caregiver data needed, including demographics)
- Counseling, Training, Support Groups, Case Management
- GC5 Guidance Counseling
  - PC5 Peer Counseling (support groups)
  - CT5 Caregiver Training
  - CM5 Case Management

- Client Supported Services**  
(Caregiver and care recipient matched in AIMS through SSN)
- Respite or Adult Day Care
- RSP, RSL In-home Respite/group respite
  - AC5, ACL Adult Day Care
- Supplemental Services (must justify how service benefits the caregiver and can't be an ongoing service)
- RP5 Home Repair/Renovation
  - AD5 Adaptive Aids & Devices
  - IA5 Supplemental Provisions
  - TR5 General Transportation
  - KS3 & KS7 Kinship Care Support

Caregiver to a person 60+ or a caregiver to a person of any age with Alzheimer's Disease or related dementia AND/OR a caregiver over age 55 to a child under age 19

Caregiver to a person 60+ or a caregiver to a person of any age with Alzheimer's Disease or related dementia AND/OR a caregiver over age 55 to a child under age 19

Caregiver to person aged 60 or over, or any person with Alzheimer's or related dementia

Caregiver age 55 or over to a child under age 19

No intake form required. Capture units and caregivers served on FCSP monthly report

Use caregiver registration form to capture caregiver specific data.

For Respite & Supplemental Services, elderly recipient of care must meet 2 or more ADL/IADL requirements

There are **no** ADL/IADL requirements caregivers over 55 caring for child under 19

Service data from each region is compiled into overall FCSP reporting spreadsheet, based on submitted monthly reports.

Data entry into AIMS captures caregiver data and service history

Use ASCAP or short form to authorize services after assessing with caregiver assessment tool

Use kincare intake form to authorize services

Temporarily may use Excel spreadsheets to gather data until AIMS setup is complete

Data entry into AIMS captures client data and service history

Data entry into AIMS captures client data and service history



## Family Caregiver Support Program Caregiver Registration Form



### CAREGIVER CONTACT INFORMATION

Last Name: \_\_\_\_\_ First: \_\_\_\_\_ MI: \_\_\_\_\_ E-mail Or Phone: \_\_\_\_\_  
 Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

#### CAREGIVER AGE

- Under 55
- 55 to 59
- 60 to 74
- 75 to 84
- 85 and over

#### CAREGIVER RACE

- White
- American Indian/Alaskan Native
- Asian
- African-American
- Native Hawaiian/Pacific Islander
- Other Race

#### CARE RECIPIENT

- Elderly (over 60)
- Adult w/disability
- Adult w/dementia
- Child (under 19)

#### CAREGIVER GENDER

- Male
- Female

#### CAREGIVER ETHNICITY

- Hispanic or Latino
- Not Hispanic or Latino

#### CAREGIVING LOCATION

- Rural
- Non-Rural

### RELATIONSHIP

**As a Family Caregiver, MY relationship to the Adult receiving care is:**

*(please check one, if applicable)*   
  Husband     Son/Son-in-law     Other Relative  
   
  Wife     Daughter/Daughter-in-Law     Non-Relative

**As the Non-parent Caregiver of a Child (under 19), MY relationship to the child is:**

*(please check one, if applicable)*   
  Grandparent     Other Elderly Relative     Non-Relative

**Please choose one of the following:**

I live with the care recipient                     
  I do not live with the care recipient

*Thank You for completing this form. The service statistics from this information helps to maintain program funding.*

Office Use Only	
<b>Type of Service:</b>	
<input type="checkbox"/> Caregiver Training	<input type="checkbox"/> Support Group
<input type="checkbox"/> Guidance Counseling	<input type="checkbox"/> Case Mgmt
Area Agency on Aging Representative:	Service Date:



# **Family Caregiver Support Program Service Evaluation Form**

***This final set of questions asks about your experiences receiving caregiver supportive services, which might have included caregiver training, support groups, counseling, etc. Your feedback is one of the most effective ways we have of developing future services and programs that support people caring for family members or friends. (CR) refers to the loved one for which you provide care. (care recipient)***

1. Overall, how much do you think you benefited from receiving this caregiver support service?

- Not at all ( )
- Some ( )
- A great deal ( )
- Unknown ( )
- Refused ( )

2. How much did receiving this supportive service help you feel more confident in providing care for (CR)?

- Not at all ( )
- Some ( )
- A great deal ( )
- Unknown ( )
- Refused ( )

3. How much did receiving this supportive service help make your life easier?

- Not at all ( )
- Some ( )
- A great deal ( )
- Unknown ( )
- Refused ( )

4. How much did receiving this supportive service help enhance your ability to care for (CR)?

- Not at all ( )
- Some ( )
- A great deal ( )
- Unknown ( )
- Refused ( )

5. How much did receiving this supportive service help improve (CR's) life?

- Not at all ( )
- Some ( )
- A great deal ( )
- Unknown ( )
- Refused ( )

6. How much did receiving this supportive service help to keep (CR) living at home with you?

- Not at all ( )
- Some ( )
- A great deal ( )
- Unknown ( )
- Refused ( )
- Not Applicable ( )

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-12B

**Technical Assistance  
for SFY-2010**

Technical Assistance Alerts are generated periodically to update contractual and/or programmatic requirements and/or clarifications. The purpose of this Alert is to notify the Area Agencies on Aging about a new pilot program related to the Family Caregiver Support Program (FCSP) and the Caregiver Assessment Tool (CAT). **Please ensure that appropriate Area Agency on Aging personnel are aware of this technical assistance.**

*Please note that this technical assistance is not intended to be all-inclusive. The information in this document may be updated periodically through future Alerts and updates to the Division of Aging and Adult Services (DAAS) Policy and Procedure Manual. Please refer to the DAAS Publications website for the latest information: <http://www.azdes.gov/aaa/publications/>*

In response to an ongoing call in the literature available on caregiver support, emerging statutory requirements, and in order to significantly improve the process leading to service authorizations for client-supported caregiver services, DAAS, in partnership with the Area Agencies on Aging and Arizona State University, has embarked on a process to improve the assessment process related to caregivers. The Arizona Standardized Client Assessment Plan (ASCAP) in several formats is currently the primary and only assessment tool required by DAAS Policy and Procedure. The ASCAP currently captures required demographic data on caregivers, and attempts to assess the caregiver through five basic questions, three of which are subjective and do not fully assess the caregiver's experiences and needs.

DAAS has recognized the need for enhanced assessment of caregivers based on ongoing evaluation of the current authorization process for client-supported services within the FCSP. Previously, Technical Assistance Alert SFY-08-12 was offered to the Area Agencies on Aging on December 14, 2007, addressing issues related to authorizations of client-supported services with either missing ASCAP information or inappropriate assessment indicators for the service provided. Recent evaluation of SFY-09 data indicates that these issues persist and require additional technical assistance from DAAS. The eventual implementation of the new CAT will address some of these issues directly by providing objective data that can be used to more effectively authorize caregiver services. Additionally, the CAT allows for evaluation of services authorized as a result of the assessment by capturing client input following service delivery as described in detail later in this Alert. This service evaluation will provide DAAS and the Area Agencies on Aging important evidence that can be used to demonstrate the effectiveness of services, justify future funding requests, while also assisting in the development of consumer-directed service delivery models. DAAS is also updating the case management handbook to assist Area Agencies on Aging in the training of case managers related to assessment and authorization policy and procedures.

While caregiver assessment has been a topic of prior discussion, the CAT development process was initiated by DAAS as part of the roll-out of the Lifespan Respite Care Program (LRCP), with the assistance of the Lifespan Respite Care Network (LRCN), which is a working committee of the Arizona Caregiver Coalition. The LRCP has a statutory requirement for service evaluation that requires a more robust caregiver assessment be applied prior to service and following service delivery. The CAT was developed over several months by members of the LRCN working in conjunction with Dr. David Coon from Arizona State University, under an agreement with DAAS. The workgroup included case managers and program administrators from several Area Agencies on Aging and their providers, and was moderated by Dr. Coon. The group utilized a variety of sources to provide guidance related to caregiver assessment, including the following three resources:

*Defining Respite Care*, 2005, Reinhard, et al:  
<http://www.cshp.rutgers.edu/TACCMSconfPapers/ReinhardRespite.pdf>

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-12B

**Technical Assistance  
for SFY-2010**

*Caregiver Assessment: Principles, Guidelines and Strategies for Change*, 2006 from Family Caregiver Alliance: [http://www.caregiver.org/caregiver/jsp/content/pdfs/v1\\_consensus.pdf](http://www.caregiver.org/caregiver/jsp/content/pdfs/v1_consensus.pdf)

*Caregivers Count Too! A Toolkit to Help Practitioners Assess the Needs of Family Caregivers*, 2006 from FCA: [http://caregiver.org/caregiver/jsp/content/pdfs/Assessment\\_Toolkit\\_20060802.pdf](http://caregiver.org/caregiver/jsp/content/pdfs/Assessment_Toolkit_20060802.pdf)

The CAT was put into trial practice by some Area Agencies on Aging via a limited pilot using LRCP clients starting in January 2009. The loss of funding in February 2009 did not allow enough caregivers to be assessed with the new tool to properly test or evaluate its use nor did it allow for enough data to establish scoring guidelines to use in applying the tool. Review meetings were held every 6-8 weeks with Dr. Coon, but input was incomplete since only a handful of case managers were testing the tool on a very few number of clients. Despite the limitations, several changes were made to the tool based on the input provided. The timeline below recaps the development history the CAT to date and projects the timeline for full implementation:

2006

- Lifespan Respite Care Act signed by President Bush – Statutory requirement for coalition support.
- Governor’s Advisory Council on Aging, Social, Health, and Alzheimer’s Committee commence work on development of the Arizona Caregiver Coalition (ACC).

2007

- Arizona Lifespan Respite passes, including \$500K- Statutory requirement for coalition support and evaluation.
- DAAS convenes Respite Advisory Committee (RAC), August through January, 2008 – The committee, including AAA’s, community partners, and caregivers, works on development of LRCP in monthly meetings.
- DAAS contracts with University of Arizona, Center on Aging for Lifespan Respite Study.
- RAC identifies caregiver assessment tool as top priority need for LRCP.

2008

- Arizona Caregiver Coalition holds Caregiver Rally on Capitol Lawn event, and later in May, ACC is officially launched as part of 2008 Governor’s Conference on Aging. The coalition includes a special committee on respite, to be known as the Lifespan Respite Care Network, which will work closely with the LRCP, carrying on the work of the RAC and fulfilling the statutory requirements.
- LRCP begins service delivery in Arizona in April.
- LRCN begins meeting monthly in August and immediately sets up a workgroup to address the need for a caregiver assessment tool, as identified by the RAC.
- Agreement with ASU enlisting Dr. Coon to help with CAT development and to lead LRCN workgroup.
- Draft of the CAT completed in December 2008.

2009

- Initial CAT pilot commences in January with case managers from Regions 1, 3, and 5 participating.
- In February, the LRCP funding is eliminated, immediately halting new intakes.
- DAAS applies for 2009-2012 LRCP funding through federal grant opportunity, including provision to complete development and implementation of CAT.
- LRCN CAT workgroup holds two teleconferences (2/5 and 6/16) to get feedback on usage, leading to minor changes/improvements.
- DAAS works to incorporate CAT data into ASCAP process, including eventual data capture into AIMS.

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY**  
Division of Aging and Adult Services  
ALERT

SFY-10-12B

**Technical Assistance  
for SFY-2010**

- In September, DAAS restarts CAT Pilot utilizing carryover funds, providing respite to at least 80 caregivers for six months, each being assessed with the CAT prior to service delivery and post service delivery. Case managers involved in the pilot will take part in bi-monthly teleconferences to share comments and review the use of the tool.

2010

- Intakes for the CAT Pilot are limited to those who will complete service delivery by June 30, 2010.
- All CAT data shared anonymously with ASU through process identified in CAT Frequently Asked Questions.
- CAT Pilot service delivery ends on June 30, 2010. All CAT post-service data to ASU by August 20, 2010.
- Final report from ASU on CAT Pilot, including scoring mechanism, due to DAAS by September.
- Finalize details of incorporation of CAT process into overall ASCAP process, including data collection.

SFY-2011

- Implement usage of the CAT into the Family Caregiver Support Program for authorization of client-supported services by 6/30/2011.

The version of the CAT that will be used in the Pilot is reported to take about 10 minutes to perform, and it is approved to be done over the phone if it is inconvenient to complete during the case manager's initial home visit. The Pre-Service CAT is broken down into two main sections that look at **Caregiver Risks** and **Potential Resources**, with each section containing multiple domains of assessment. The "Risk" section contains questions related to Caregiving Activities/Responsibilities/Impact that draw directly from previous responses from the ASCAP related to IADL/ADL support and emotional and cognitive functioning. The "Risk" section also contains questions on the caregiver's physical health, along with addressing caregiver stress/strain/mood/burden. The "Resources" section of the tool looks at the level of informal social support available to the caregiver, along with questions about pleasant activities enjoyed by the caregiver and how they rate their leisure time activities, if they have any. Collectively the data from these brief questions will be applied directly to the ASCAP assessment process using the scoring mechanism being developed. The Post-Service CAT consists of the same assessment questions as the Pre-Service CAT, with the answers of the Post-CAT hopefully showing a positive affect for the caregiver from the service provided. The Post-CAT also adds an additional seven questions that directly evaluate the service itself that was authorized and delivered to support the caregiver. Current versions of the Pre-CAT and Post-CAT are attached (**Exhibits C & D**), along with a Frequently Asked Questions (FAQ) document (**Exhibit E**).

As indicated in the timeline above, the piloting of the CAT will continue through June 30 of 2010, and once the scoring mechanism is finalized there will be multiple training opportunities made available for case managers and intake staff to become familiar with the purpose and content of the CAT, along with how it will be used to authorize services. These training opportunities will take place in winter of 2010.

**Alert SFY-10-1A provides information on a funding opportunity to participate in the CAT Pilot.**

Should you have any questions related to this Alert, please contact David Besst at 602-542-6431 or [dbesst@azdes.gov](mailto:dbesst@azdes.gov).

## EXHIBIT C PRE-SERVICE CAREGIVER ASSESSMENT

This tool is designed to be used in conjunction with an appropriate version of the Arizona Standardized Client Assessment Plan (ASCAP) to assess caregivers who might benefit from supportive services. **The ASCAP should already be completed when this assessment tool is completed with the caregiver.** A post-service assessment and evaluation will also be completed after the initial authorization of service has been delivered. The following statements may be helpful in preparing the caregiver for the assessment process:

- ✓ For most of these questions, there are no right or wrong answers. You might even think some questions are odd or don't "fit" you or your situation; however, please try to choose the best answer for you. We simply need your opinions, thoughts and feelings about each area we will cover, so please answer each question as honestly as possible.
- ✓ Some of these questions, we might have already covered in our time together. If that is the case, I will be checking with you to confirm your response.
- ✓ I want to thank you for taking the time to answer these questions. They help us provide and continue to improve our services. Your responses really do count!

### CAREGIVER RISKS

#### CAREGIVING ACTIVITIES/RESPONSIBILITIES AND IMPACT

I'd like to begin by asking you about some of the tasks, problems and challenges you may have encountered while caring for (CR = care recipient; insert relationship or name as appropriate) during the last month.

<b>IADL/ADL/Incontinence:</b> Within the past month, you mentioned CR needed help with the following types of problems (refer to ASCAP, Part II - FUNCTIONAL ASSESSMENT responses):		
1.1	Is it hard or stressful for you to help (CR) with these problems?  List the two activities needing the most assistance:  1) _____ 2) _____	Never = 1 Sometimes = 2 Often = 3  Unknown = -3 Refused = -4 Not applicable = -5
1.2	In the <u>past 6 months</u> , have you seen any improvement overall in these problems (listed in 1)? If no, was there no change or decline? (then probe for SUBSTANTIALLY or MINIMALLY, as needed).	Improved substantially = 1 Improved minimally = 2  Stayed the same or about the same = 3  Declined, minimally = 4 Declined substantially = 5  Unknown = -3 Refused = -4 Not applicable = -5

<p><b>Behavioral challenges</b> including cognitive functioning, orientation, behaviors, and communication/sensory: Within the past month, you mentioned CR needed help with the following types of problems (refer to ASCAP, Part III – EMOTIONAL &amp; COGNITIVE FUNCTIONING, Section A&amp;B responses):</p>		
1.3	<p>Is it hard or stressful for you to help (CR) with these problems?</p> <p>List the two activities needing the most assistance:</p> <p>1) _____ 2) _____</p>	<p>Never = 1 Sometimes = 2 Often = 3</p> <p>Unknown = -3 Refused = -4 Not applicable = -5</p>
1.4	<p>In the <u>past 6 months</u>, have you seen any improvement overall in these problems (listed in 2)? If no, was there no change or decline? (then probe for SUBSTANTIALLY or MINIMALLY, as needed).</p>	<p>Improved substantially = 1 Improved minimally = 2</p> <p>Stayed the same or about the same = 3</p> <p>Declined, minimally = 4 Declined substantially = 5</p> <p>Unknown = -3 Refused = -4 Not applicable = -5</p>

<p><b>Mental health/behavioral health:</b> Within the past month, you mentioned CR needed help with the following types of problems (ASCAP, Part III - EMOTIONAL &amp; COGNITIVE FUNCTIONING, Section C responses):</p>		
1.5	<p>Is it hard or stressful for you to help (CR) with these problems?</p> <p>List the two activities needing the most assistance:</p> <p>1) _____ 2) _____</p>	<p>Never = 1 Sometimes = 2 Often = 3</p> <p>Unknown = -3 Refused = -4 Not applicable = -5</p>
1.6	<p>In the <u>past 6 months</u>, have you seen any improvement overall in these problems (listed in 3)? If no, was there no change or decline? (then probe for SUBSTANTIALLY or MINIMALLY, as needed).</p>	<p>Improved substantially = 1 Improved minimally = 2</p> <p>Stayed the same or about the same = 3</p> <p>Declined, minimally = 4 Declined substantially = 5</p> <p>Unknown = -3 Refused = -4 Not applicable = -5</p>

**PHYSICAL HEALTH**

Now I would like to ask you a couple of questions about your physical health.

2.1	<p>In general, would you say your current physical health is...?</p>	<p>Excellent = 1 Very Good = 2 Good = 3 Fair = 4 Poor = 5</p> <p>Don't know = -3 Declined to answer = -4</p>
-----	--	--

2.2	In the <u>past 6 months</u> , do you feel your physical health has improved, declined or stayed the same? PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	Improved, substantially = 1 Improved, minimally = 2  Stayed the same or about the same = 3  Declined, minimally = 4 Declined, substantially = 5  Don't know = -3 Declined to answer = -4
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**STRESS / STRAIN / MOOD / BURDEN**

Caregivers (family and friends caring for loved ones) are often so concerned with caring for their relative's needs that they lose sight of their own well-being. Please take just a moment to answer the following questions about you. Within the PAST MONTH, have you ...

3.1	Felt cut off from your family/friends?	No = 0	Yes = 1
3.2	Felt overwhelmed?	No = 0	Yes = 1
3.3	Had trouble falling asleep, staying asleep, or waking up too early in the morning?	No = 0	Yes = 1
3.4	Noticed your eating habits worsen as a result of your caregiving?	No = 0	Yes = 1
3.5	Been frustrated or angry as a result of your caregiving?	No = 0	Yes = 1
3.6	Often felt sad or depressed?	No = 0	Yes = 1
3.7	Often felt nervous or anxious?	No = 0	Yes = 1
3.8	Had crying spells or felt like you often needed to cry?	No = 0	Yes = 1
3.9	On a scale of 1 to 10, with 1 being "not stressful" to 10 being "extremely stressful," please rate your current level of stress.	_____ ( 1 - 10)	

Now I am going to ask you some questions regarding your feelings about caring for (CR). [PROBE for Never, Rarely, Sometimes, Quite Frequently, Nearly Always as NEEDED].

<b><i>Do you feel:</i></b>		Never	Rarely	Sometimes	Quite frequently	Nearly always	Unknown	Refused
3.10	stressed between caring for (CR) and trying to meet other responsibilities (work/family)?	0 ( )	1 ( )	2 ( )	3 ( )	4 ( )	-3 ( )	-4 ( )
3.11	strained when you are around (CR)?	0 ( )	1 ( )	2 ( )	3 ( )	4 ( )	-3 ( )	-4 ( )

<b>How often in the past month:</b>		Never	Rarely	Sometimes	Quite frequently	Nearly always	Unknown	Refused
3.12	... have you felt like screaming or yelling at (CR) because of the way he/she behaved?	0 ( )	1 ( )	2 ( )	3 ( )	4 ( )	-3 ( )	-4 ( )

3.13	In the <u>past 6 months</u> , do you feel your emotional well-being and stress level has improved, declined or stayed the same? PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	<p>Improved, substantially = 1 Improved, minimally = 2</p> <p>Stayed the same or about the same = 3</p> <p>Declined, minimally = 4 Declined, substantially = 5</p> <p>Don't know = -3 Declined to answer = -4</p>						
------	--	---	--	--	--	--	--	--

Family caregivers use a variety of ways to cope or help manage stress related to their caregiving responsibilities. Sometimes when we are experiencing a good deal of stress, we can find ourselves using medications (including over-the-counter), smoking a cigarette or having an alcoholic beverage in response to that stress.

	<b>IN THE PAST MONTH.....</b>	Never	Rarely	Sometimes	Quite frequently	Nearly always	Unknown	Refused
3.14	...how often have you found yourself taking medications or drugs (including over the counter medications), smoking, or drinking alcohol to help you handle stress related to your caregiving activities and responsibilities? Would you say...never, rarely, etc.?	0 ( )	1 ( )	2 ( )	3 ( )	4 ( )	-3 ( )	-4 ( )

3.15	In the <u>past 6 months</u> , would you say that this frequency (using medication, smoking, drinking, etc.) has increased, declined or stayed the same? PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	<p>Increased, substantially = 1 Increased, minimally = 2</p> <p>Stayed the same or about the same = 3</p> <p>Decreased, minimally = 4 Decreased, substantially = 5</p> <p>Don't know = -3 Declined to answer = -4</p>						
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# POTENTIAL RESOURCES

## INFORMAL SOCIAL SUPPORT

4.1	Which of the following best describes the situation under which you typically provide care?	I'm the only person who provides any substantial amount of care = 1 I provide most of the care = 2 I share care responsibilities about equally with others = 3 I provide less care than other family members or friends = 4 Unknown = -3 Refused = -4
-----	---	--

I'd like to ask you some more questions about your relationships with others, especially as they relate to your caregiving responsibilities. When I use the term "someone or others", it includes friends, neighbors, or family members. I would like for you to think about these questions in regards to your caregiving responsibilities or activities.  
 [PROBE] Is that Not at all, A little or A lot... Would you say...not at all, a little or a lot?

	IN THE PAST MONTH.....	Not at All	A little	A lot	Don't know	Declined to answer
4.2	In the past month....how satisfied have you been overall with getting guidance, emotional support and physical help from friends and family with regard to your caregiving activities and responsibilities? Would you say... Not at all, A Little or A Lot?	1 ( )	2 ( )	3 ( )	-3 ( )	-4 ( )
4.3	Again, in regards to your caregiving activities, how often in the past month have others made too many demands on, been critical of or taken advantage of you? Would you say... Not at all, A Little or A Lot?	3 ( )	2 ( )	1 ( )	-3 ( )	-4 ( )
4.4	In the past month.... how upset overall are you about the times people did this (that is, placed demands on, criticized or took advantage of you)? Would you say... Not at all, A Little or A Lot?	3 ( )	2 ( )	1 ( )	-3 ( )	-4 ( )

4.5	In the past <b>6 months</b> , do you feel your satisfaction with the help and support that you receive from others has improved, declined or stayed the same? [PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	Improved, substantially = 1 Improved, minimally = 2 Stayed the same or about the same = 3 Declined, minimally = 4 Declined, substantially = 5 Don't know = -3 Declined to answer = -4
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**PLEASANT ACTIVITIES/LEISURE TIME SATISFACTION**

	<b>IN THE PAST MONTH.....</b>	<b>Not at All</b>	<b>A little</b>	<b>A lot</b>	<b>Don't know</b>	<b>Declined to answer</b>
5.1	.....how often have you been able to spend in the various activities that you enjoy (e.g., going to religious services, socializing with others, going out for a meal) or spending time on hobbies or activities you like to enjoy alone (e.g., reading or gardening)? Would you say... Not at all, A Little or A Lot?	1 ( )	2 ( )	3 ( )	-3 ( )	-4 ( )
5.2	How satisfied are you with the overall amount of time you have been able to spend in the various activities that you enjoy (e.g., going to religious services, socializing with others, going out for a meal) or spending time on hobbies or activities you like to enjoy alone (e.g., reading or gardening)? Would you say... Not at all, A Little or A Lot?	3 ( )	2 ( )	1 ( )	-3 ( )	-4 ( )

5.3	In the past <b>6 months</b> , do you feel your satisfaction with overall amount of time you have been able to spend in pleasurable activities has improved, declined or stayed the same? [PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	<p>Improved, substantially = 1                      Improved, minimally = 2</p> <p>Stayed the same or about the same = 3</p> <p>Declined, minimally = 4                      Declined, substantially = 5</p> <p>Don't know = -3                      Declined to answer = -4</p>				
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## EXHIBIT D POST-SERVICE CAREGIVER ASSESSMENT

(Print on light-colored paper to differentiate from Pre-CAT)

This tool is designed to be used after the initial authorization of service has been delivered. The following statements may be helpful in preparing the caregiver for the assessment process:

- ✓ You may remember we ask you these questions when you enrolled in the program. We'd like to review these questions again to determine if and how your situation has changed since you enrolled.
- ✓ For most of these questions, there are no right or wrong answers. You might even think some questions are odd or don't "fit" you or your situation; however, please try to choose the best answer for you. We simply need your opinions, thoughts and feelings about each area we will cover, so please answer each question as honestly as possible.
- ✓ I want to thank you for taking the time to answer these questions. They help us provide and continue to improve our services. Your responses really do count!

### CAREGIVER RISKS

#### CAREGIVING ACTIVITIES/RESPONSIBILITIES AND IMPACT

I'd like to begin by asking you about some of the tasks, problems and challenges you may have encountered while caring for (CR = care recipient; insert relationship or name as appropriate) during the last month.

<b>IADL/ADL/Incontinence:</b> Within the past month, you mentioned CR needed help with the following types of problems (refer to ASCAP, Part II - FUNCTIONAL ASSESSMENT responses):		
1.1	Is it hard or stressful for you to help (CR) with these problems?  List the two activities needing the most assistance:  1) _____ 2) _____	Never = 1 Sometimes = 2 Often = 3  Unknown = -3 Refused = -4 Not applicable = -5
1.2	In the <u>past 6 months</u> , have you seen any improvement overall in these problems (listed in 1)? If no, was there no change or decline? (then probe for SUBSTANTIALLY or MINIMALLY, as needed).	Improved substantially = 1 Improved minimally = 2  Stayed the same or about the same = 3  Declined, minimally = 4 Declined substantially = 5  Unknown = -3 Refused = -4 Not applicable = -5

<p><b>Behavioral challenges</b> including cognitive functioning, orientation, behaviors, and communication/sensory: Within the past month, you mentioned CR needed help with the following types of problems (refer to ASCAP, Part III – EMOTIONAL &amp; COGNITIVE FUNCTIONING, Section A&amp;B responses):</p>		
1.3	<p>Is it hard or stressful for you to help (CR) with these problems?</p> <p>List the two activities needing the most assistance:</p> <p>1) _____ 2) _____</p>	<p>Never = 1                  Sometimes = 2                  Often = 3</p> <p>Unknown = -3                  Refused = -4                  Not applicable = -5</p>
1.4	<p>In the <u>past 6 months</u>, have you seen any improvement overall in these problems (listed in 2)? If no, was there no change or decline? (then probe for SUBSTANTIALLY or MINIMALLY, as needed).</p>	<p>Improved substantially = 1                  Improved minimally = 2</p> <p>Stayed the same or about the same = 3</p> <p>Declined, minimally = 4                  Declined substantially = 5</p> <p>Unknown = -3                  Refused = -4                  Not applicable = -5</p>

<p><b>Mental health/behavioral health:</b> Within the past month, you mentioned CR needed help with the following types of problems (ASCAP, Part III - EMOTIONAL &amp; COGNITIVE FUNCTIONING, Section C responses):</p>		
1.5	<p>Is it hard or stressful for you to help (CR) with these problems?</p> <p>List the two activities needing the most assistance:</p> <p>1) _____ 2) _____</p>	<p>Never = 1                  Sometimes = 2                  Often = 3</p> <p>Unknown = -3                  Refused = -4                  Not applicable = -5</p>
1.6	<p>In the <u>past 6 months</u>, have you seen any improvement overall in these problems (listed in 3)? If no, was there no change or decline? (then probe for SUBSTANTIALLY or MINIMALLY, as needed).</p>	<p>Improved substantially = 1                  Improved minimally = 2</p> <p>Stayed the same or about the same = 3</p> <p>Declined, minimally = 4                  Declined substantially = 5</p> <p>Unknown = -3                  Refused = -4                  Not applicable = -5</p>

**PHYSICAL HEALTH**

Now I would like to ask you a couple of questions about your physical health.

2.1	<p>In general, would you say your current physical health is...?</p>	<p>Excellent = 1                  Very Good = 2                  Good = 3                  Fair = 4                  Poor = 5</p> <p>Don't know = -3                  Declined to answer = -4</p>
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2.2	In the <u>past 6 months</u> , do you feel your physical health has improved, declined or stayed the same? PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	Improved, substantially = 1 Improved, minimally = 2  Stayed the same or about the same = 3  Declined, minimally = 4 Declined, substantially = 5  Don't know = -3 Declined to answer = -4
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**STRESS / STRAIN / MOOD / BURDEN**

Caregivers (family and friends caring for loved ones) are often so concerned with caring for their relative's needs that they lose sight of their own well-being. Please take just a moment to answer the following questions about you. Within the PAST MONTH, have you ...

3.1	Felt cut off from your family/friends?	No = 0	Yes = 1
3.2	Felt overwhelmed?	No = 0	Yes = 1
3.3	Had trouble falling asleep, staying asleep, or waking up too early in the morning?	No = 0	Yes = 1
3.4	Noticed your eating habits worsen as a result of your caregiving?	No = 0	Yes = 1
3.5	Been frustrated or angry as a result of your caregiving?	No = 0	Yes = 1
3.6	Often felt sad or depressed?	No = 0	Yes = 1
3.7	Often felt nervous or anxious?	No = 0	Yes = 1
3.8	Had crying spells or felt like you often needed to cry?	No = 0	Yes = 1
3.9	On a scale of 1 to 10, with 1 being "not stressful" to 10 being "extremely stressful," please rate your current level of stress.	_____ ( 1 - 10)	

Now I am going to ask you some questions regarding your feelings about caring for (CR). [PROBE for Never, Rarely, Sometimes, Quite Frequently, Nearly Always as NEEDED].

<b><i>Do you feel:</i></b>		Never	Rarely	Sometimes	Quite frequently	Nearly always	Unknown	Refused
3.10	stressed between caring for (CR) and trying to meet other responsibilities (work/family)?	0 ( )	1 ( )	2 ( )	3 ( )	4 ( )	-3 ( )	-4 ( )
3.11	strained when you are around (CR)?	0 ( )	1 ( )	2 ( )	3 ( )	4 ( )	-3 ( )	-4 ( )

<b>How often in the past month:</b>		Never	Rarely	Sometimes	Quite frequently	Nearly always	Unknown	Refused
3.12	... have you felt like screaming or yelling at (CR) because of the way he/she behaved?	0 ( )	1 ( )	2 ( )	3 ( )	4 ( )	-3 ( )	-4 ( )

3.13	In the <u>past 6 months</u> , do you feel your emotional well-being and stress level has improved, declined or stayed the same? PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	Improved, substantially = 1 Improved, minimally = 2  Stayed the same or about the same = 3  Declined, minimally = 4 Declined, substantially = 5  Don't know = -3 Declined to answer = -4
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Family caregivers use a variety of ways to cope or help manage stress related to their caregiving responsibilities. Sometimes when we are experiencing a good deal of stress, we can find ourselves using medications (including over-the-counter), smoking a cigarette or having an alcoholic beverage in response to that stress.								
	<b>IN THE PAST MONTH.....</b>	Never	Rarely	Sometimes	Quite frequently	Nearly always	Unknown	Refused
3.14	...how often have you found yourself taking medications or drugs (including over the counter medications), smoking, or drinking alcohol to help you handle stress related to your caregiving activities and responsibilities? Would you say...never, rarely, etc.?	0 ( )	1 ( )	2 ( )	3 ( )	4 ( )	-3 ( )	-4 ( )

3.15	In the <u>past 6 months</u> , would you say that this frequency (using medication, smoking, drinking, etc.) has increased, declined or stayed the same? PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	Increased, substantially = 1 Increased, minimally = 2  Stayed the same or about the same = 3  Decreased, minimally = 4 Decreased, substantially = 5  Don't know = -3 Declined to answer = -4
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# POTENTIAL RESOURCES

## INFORMAL SOCIAL SUPPORT

4.1	Which of the following best describes the situation under which you typically provide care?	I'm the only person who provides any substantial amount of care = 1 I provide most of the care = 2 I share care responsibilities about equally with others = 3 I provide less care than other family members or friends = 4 Unknown = -3 Refused = -4
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I'd like to ask you some more questions about your relationships with others, especially as they relate to your caregiving responsibilities. When I use the term "someone or others", it includes friends, neighbors, or family members. I would like for you to think about these questions in regards to your caregiving responsibilities or activities.  
 [PROBE] Is that Not at all, A little or A lot... Would you say...not at all, a little or a lot?

	IN THE PAST MONTH.....	Not at All	A little	A lot	Don't know	Declined to answer
4.2	In the past month....how satisfied have you been overall with getting guidance, emotional support and physical help from friends and family with regard to your caregiving activities and responsibilities? Would you say... Not at all, A Little or A Lot?	1 ( )	2 ( )	3 ( )	-3 ( )	-4 ( )
4.3	Again, in regards to your caregiving activities, how often in the past month have others made too many demands on, been critical of or taken advantage of you? Would you say... Not at all, A Little or A Lot?	3 ( )	2 ( )	1 ( )	-3 ( )	-4 ( )
4.4	In the past month.... how upset overall are you about the times people did this (that is, placed demands on, criticized or took advantage of you)? Would you say... Not at all, A Little or A Lot?	3 ( )	2 ( )	1 ( )	-3 ( )	-4 ( )

4.5	In the past <b>6 months</b> , do you feel your satisfaction with the help and support that you receive from others has improved, declined or stayed the same? [PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	Improved, substantially = 1 Improved, minimally = 2 Stayed the same or about the same = 3 Declined, minimally = 4 Declined, substantially = 5 Don't know = -3 Declined to answer = -4
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**PLEASANT ACTIVITIES/LEISURE TIME SATISFACTION**

	<b>IN THE PAST MONTH.....</b>	<b>Not at All</b>	<b>A little</b>	<b>A lot</b>	<b>Don't know</b>	<b>Declined to answer</b>
5.1	.....how often have you been able to spend in the various activities that you enjoy (e.g., going to religious services, socializing with others, going out for a meal) or spending time on hobbies or activities you like to enjoy alone (e.g., reading or gardening)? Would you say... Not at all, A Little or A Lot?	1 ( )	2 ( )	3 ( )	-3 ( )	-4 ( )
5.2	How satisfied are you with the overall amount of time you have been able to spend in the various activities that you enjoy (e.g., going to religious services, socializing with others, going out for a meal) or spending time on hobbies or activities you like to enjoy alone (e.g., reading or gardening)? Would you say... Not at all, A Little or A Lot?	3 ( )	2 ( )	1 ( )	-3 ( )	-4 ( )

5.3	In the past <b>6 months</b> , do you feel your satisfaction with overall amount of time you have been able to spend in pleasurable activities has improved, declined or stayed the same? [PROBE FOR SUBSTANTIALLY OR MINIMALLY AS NEEDED].	<p>Improved, substantially = 1                      Improved, minimally = 2</p> <p>Stayed the same or about the same = 3</p> <p>Declined, minimally = 4                      Declined, substantially = 5</p> <p>Don't know = -3                      Declined to answer = -4</p>				
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**CONTINUE TO NEXT PAGE FOR EVALUATION SECTION**

**(Evaluation is only completed after service delivery)**



# PROJECT EVALUATION

**NOTE: ONLY ADMINISTERED POST (after service delivery)**

***This final set of questions asks about your experiences RECEIVING XYZ RESPITE SERVICES. Your feedback is one of the most effective ways we have of developing future services and programs for people caring for family members or friends with memory or health problems.***

*Before we begin, I want to remind you that all of the information you give me will be kept confidential, and if you are uncomfortable with a question, you can refuse to answer it. If you don't understand a question, please feel free to ask me to repeat it or clarify it. You can stop this portion of the interview at any time, but please remember that the more information you can give us, the better we can help caregivers like you in the future.*

***We want your honest feedback about your experiences, your feelings, and your opinions about the respite services you received. None of your responses will affect your relationship with our programs and services in any way.***

***Do you have any questions before we begin?***

6.1 ***Overall, how much do you think you benefited from receiving <insert name/type> services? (note: you may need to describe the services?)***

- Not at all***                    1            ( )
- Some***                            2            ( )
- A great deal***                3            ( )
- Unknown                        -3           ( )
- Refused                         -4           ( )

6.3 ***How much did receiving <insert name/type> services help you feel more confident in providing care for (CR)?***

- Not at all***                    1            ( )
- Some***                            2            ( )
- A great deal***                3            ( )
- Unknown                        -3           ( )
- Refused                         -4           ( )

6.4 ***How much did receiving <insert name/type> services help make your life easier?***

- Not at all***                    1            ( )
- Some***                            2            ( )
- A great deal***                3            ( )
- Unknown                        -3           ( )
- Refused                         -4           ( )

6.5 ***How much did receiving <insert name/type> services help enhance your ability to care for (CR)?***

- Not at all***                    1            ( )
- Some***                            2            ( )
- A great deal***                3            ( )
- Unknown                        -3           ( )
- Refused                         -4           ( )

6.6 **How much did receiving <insert name/type> services help improve (CR's) life?**

<b>Not at all</b>	1	( )
<b>Some</b>	2	( )
<b>A great deal</b>	3	( )
Unknown	-3	( )
Refused	-4	( )

6.7 **How much did receiving <insert name/type> services help to keep (CR) living at home with you?**

<b>Not at all</b>	1	( )
<b>Some</b>	2	( )
<b>A great deal</b>	3	( )
Unknown	-3	( )
Refused	-4	( )
Not Applicable	-5	( )

## Exhibit E

### Frequently Asked Questions Pre- and Post-service Caregiver Assessment Tool (CAT)

First and foremost, **THANK YOU** for helping to implement the Caregiver Assessment Tool (CAT). This tool will allow us to better assess the family caregivers we strive to serve so we be assured we are serving those in greatest need, and will also give us the ability to measure the effectiveness of the services provided!

#### Why is the tool being used at this time?

Three key uses have been identified in this initial stage of implementation:

- 1) To help identify family/informal caregivers in need of caregiver support services (regardless of their financial situation);
- 2) To help evaluate the impact of caregiver support services on some key caregiver outcomes;
- 3) To help identify the best items for a final instrument for the years ahead. Thus, the tool will help serve additional caregivers and provide important information to help support future programs and services.

#### Who will receive this information collected on the tool?

In addition to the case manager and supervisors within your organization that need to have access to such information, the information will be shared anonymously with an evaluation team that is reviewing the assessment tool.

#### How is this information kept anonymous and confidential?

You must complete a simple code for each case. This code is used at **both Pre- and Post-Assessment**. The code includes both numbers and letters and is created as follows:

- 1) use the first 3 letters of the caregiver's last name followed by the first two letters of the first name.  
For example, Jorge Robles = ROBJO; Mary Smith = SMIMA.
- 2) In front of this, add a **FOUR** digit numeric code with the first digit being your region (Area Agency Region 1 = 1; Region 2 = 2, etc.), and the next three digits being the case number. If there are multiple case managers administering the tool in your region, you will need to coordinate the case numbers.  
For example, Jorge Robes is the first case in Region 1 = 1001ROBJO.  
Mary Smith is the 32<sup>nd</sup> case in Region 6 = 6032SMIMA.

*Note:* this code can help you easily identify information if part of the assessment gets separated from other case information while at the same time maintaining anonymity and confidentiality.

## Exhibit E

### **How is the assessment tool tied to the ASCAP?**

On each page of the ASCAP in the upper right hand corner, write the case code described above (e.g., The ASCAP **must be** submitted with the Pre-Assessment. Note: **To maintain anonymity and help insure confidentiality, the caregiver and patient name and address must be marked out on the copy submitted to the evaluation team.**

### **How is the post-assessment different from the pre-assessment?**

The post-assessment repeats the same questions as the pre-assessment but also asks the caregiver the seven project evaluation questions (see pages 7 and 8 of post-assessment). In addition, the Pre-Assessment is completed on standard white paper, and the post-assessment is completed on a light colored paper (e.g., yellow, light blue) that is easy to read and clearly identifies it as the post-assessment.

### **Why are the pre-assessment questions repeated at post-assessment? Isn't the project evaluation enough?**

It is important to understand what does or does not change for caregivers before and after receiving respite programs. Why? This can help us improve programs and services and demonstrate what is most effective. The project evaluation provides key information too, so both types of information are needed.

### **Do I have to ask all the questions on both the pre- and post-assessment? Do I have to read them as written?**

**Yes!** and **Yes!** It is important to ask all the questions as written, even if you believe you know the answer based on your meeting with the caregiver. Please use the key points at the beginning of the assessments to help address this issue. Practicing the questions helps deliver them in a more conversational style that puts both the caregiver and you at ease.

### **Do I have to read all the responses?**

You can use the response cards provided to help you and ask the caregiver to choose their answer from the card. OR you can read the responses in some cases (e.g., yes/no). In any case, make certain to emphasize the timeframe and the different response options.

### **What do I do if I realize I have skipped a question?**

First, please review the assessment before leaving your meeting with the caregiver to make certain everything is complete. Review the assessment again within 48 hours, and call the caregiver if you skipped a question(s) and obtain that information over the phone.

## Exhibit E

### How do I complete the Caregiver ID?

See “*How is this information kept anonymous and confidential?*”

### Do I still need to do the post-assessment if we serve the client less than six months?

Yes. Please do Post-CAT six months after the Pre-CAT, letting the caregiver know that you will be calling them at that time. Gathering this information could help provide the information needed to help continue to support these caregivers.

### Where do I send the pre-assessment (including the ASCAP) and post-assessments?

All assessment documentation should be sent to:

David W. Coon, PhD  
A specific process will be identified to send the data.

### Who do I contact if I have questions?

**Dr. David Coon**, PhD  
College of Nursing and Health Innovation  
Arizona State University MC 3020  
500 N. 3<sup>rd</sup> Street  
Phoenix, AZ 85004

Office Phone: (602) 496-0763  
Aging & Behavioral Health Projects Phone: TBD  
[David.W.Coon@asu.edu](mailto:David.W.Coon@asu.edu)

**David Besst**, Arizona Division of Aging and Adult Services  
602-542-6431  
[DBesst@azdes.gov](mailto:DBesst@azdes.gov)

NGA FY-09	III-B	III-C1	III-C2	III-D	FED. OMB.	ELDER	CAREGIVER	TOTAL
Total Federal	7,186,334	8,638,621	4,377,649	405,273	334,558	102,997	3,197,875	24,243,307
New Mexico	40,450	48,624	24,641	2,375	1,883	580	17,569	136,122
Utah	8,342	10,028	5,081	462	388	120	3,571	27,992
Total Navajo	48,792	58,652	29,722	2,837	2,271	700	21,140	164,114
NGA FY-10 (ESTIMATED)	III-B	III-C1	III-C2	III-D	FED. OMB.	ELDER	CAREGIVER	TOTAL
Total Federal	7,186,334	8,638,621	4,377,649	405,273	334,558	102,997	3,197,875	24,243,307
New Mexico	40,450	48,624	24,641	2,375	1,883	580	17,569	136,122
Utah	8,342	10,028	5,081	462	388	120	3,571	27,992
Total Navajo	48,792	58,652	29,722	2,837	2,271	700	21,140	164,114
NGA BREAKDOWN	III-B	III-C1	III-C2	III-D	FED. OMB.	ELDER	CAREGIVER	TOTAL
<i>FY09 NGA (W/O Navajo)</i>	7,137,542	8,579,969	4,347,927	402,436	299,287	71,100	3,176,735	24,014,996
<i>FY09 NAVAJO TRANSFER</i>	48,792	58,652	29,722	2,837	2,271	700	21,140	164,114
<i>FY10 EST. NGA (W/O Navajo)</i>	7,137,542	8,579,969	4,347,927	402,436	299,287	71,100	3,176,735	24,014,996
<i>FY10 EST. NAVAJO TRANSFER</i>	48,792	58,652	29,722	2,837	2,271	700	21,140	164,114
<i>1/4 FY09 NGA (W/O Navajo)</i>	2,152,000	2,842,294	1,450,802	100,607	90,102	18,782	855,310	7,509,896
<i>1/4 FY09 NAVAJO TRANSFER</i>	14,093	19,272	9,837	709	666	181	5,692	50,450
<i>3/4 FY10 EST. NGA (W/O Navajo)</i>	5,353,157	6,434,977	3,260,945	301,827	224,465	53,325	2,382,551	18,011,247
<i>3/4 FY10 EST. NAVAJO TRANSFER</i>	36,594	43,989	22,292	2,128	1,703	525	15,855	123,086
<i>TOTAL NGA (W/O Navajo)</i>	7,505,156	9,277,271	4,711,748	402,434	314,567	72,107	3,237,861	25,521,143
<i>TOAL NAVAJO TRANSFER</i>	50,687	63,261	32,129	2,837	2,369	706	21,547	173,536
<i>TOTAL FEDERAL</i>	7,555,843	9,340,532	4,743,876	405,271	316,936	72,813	3,259,408	25,694,679
<b>ADMIN CALCULATIONS ADDED TO III-C1</b>					5.00%			
	III-B	III-C1	III-C2	III-D	FED. OMB.	ELDER	CAREGIVER	TOTAL
<b>STATE</b>	<b>377,792</b>	<b>467,027</b>	<b>237,194</b>	<b>20,264</b>	<b>0</b>	<b>0</b>	<b>162,970</b>	<b>1,265,247</b>
<b>AAA</b>	<b>717,805</b>	<b>887,350</b>	<b>450,668</b>	<b>38,501</b>	<b>0</b>	<b>0</b>	<b>309,644</b>	<b>2,403,968</b>
<b>ELDER RIGHTS POSITION</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>33,000</b>	<b>31,197</b>	<b>0</b>	<b>64,197</b>
<i>*NOTE: Admin calculated against program specific dollars but C1 hit for all State &amp; AAA admin. (III-E calculated separately for Admin.)</i>								

**TITLE III FORMULA ALLOCATIONS FOR FY-2010**

	ALLOC %	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	FAMILY CAREGIVER	TOTAL
AVAILABLE FEDERAL		7,505,156	9,277,271	4,711,748	402,434	314,567	72,107	3,237,861	25,521,144
NAVAJO TRANSFER		50,687	63,261	32,129	2,837	2,369	706	21,547	173,536
TOTAL AVAILABLE		7,555,843	9,340,532	4,743,877	405,271	316,936	72,813	3,259,408	25,694,680
LESS DAAS OMBUDS		112,577	0	0	0	0	0	0	112,577
LESS STATE ADMIN		0	1,102,277	0	0	0	0	162,970	1,265,247
LESS 10% AAA ADMIN		0	2,094,324	0	0	0	0	309,644	2,403,968
LESS NAVAJO TRANSFER		50,687	63,261	32,129	2,837	2,369	706	21,547	173,536
TOTAL FED PROGRAM		7,392,579	6,080,670	4,711,748	402,434	314,567	72,107	2,765,247	21,739,352
STATE PROGRAM FUNDS		0	0	0	0	0	0	0	0
TOTAL PROGRAM		7,392,579	6,080,670	4,711,748	402,434	314,567	72,107	2,765,247	21,739,352
LESS PSA BASE PROG		174,400	190,000	35,600	0	0	0	0	400,000
F2 = 8.5% PROG TOTAL		628,369	516,857	400,499	34,207	26,738	6,129	235,046	1,847,845
F1 = PROGRAM BALANCE		6,589,810	5,373,813	4,275,649	368,227	287,829	65,978	2,530,201	19,491,507
AAA ADMIN FED		0	2,094,324	0	0	0	0	309,644	2,403,968
AAA ADMIN STATE		0	0	0	0	0	0	0	0
AAA ADMIN BASE		0	480,000	0	0	0	0	0	480,000
AAA ADMIN BALANCE		0	1,614,324	0	0	0	0	309,644	1,923,968

	ALLOC %	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	FAMILY CAREGIVER	TOTAL
REGION I									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	47.28%	3,115,673	2,540,748	2,021,534	174,098	136,086	31,195	1,196,283	9,215,617
F2	12.48%	78,423	64,506	49,984	4,269	3,337	765	29,335	230,619
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	47.28%	0	763,255	0	0	0	0	146,400	909,655
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		3,215,896	3,452,259	2,075,968	178,367	139,423	31,960	1,372,018	10,465,891

REGION II									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	15.94%	1,050,189	856,401	681,392	58,683	45,870	10,515	403,227	3,106,277
F2	10.04%	63,098	51,900	40,216	3,435	2,685	615	23,602	185,551
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	15.94%	0	257,268	0	0	0	0	49,347	306,615
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		1,135,087	1,249,319	726,058	62,118	48,555	11,130	476,176	3,708,443

REGION III									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	6.83%	450,293	367,202	292,162	25,162	19,668	4,508	172,893	1,331,888
F2	22.78%	143,149	117,746	91,238	7,793	6,091	1,396	53,546	420,959
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	6.83%	0	110,309	0	0	0	0	21,158	131,467
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		615,242	679,007	387,850	32,955	25,759	5,904	247,597	1,994,314

	ALLOC %	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	FAMILY CAREGIVER	TOTAL
REGION IV									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	8.38%	552,166	450,277	358,260	30,854	24,117	5,528	212,008	1,633,210
F2	15.58%	97,928	80,550	62,416	5,331	4,167	955	36,631	287,978
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	8.38%	0	135,266	0	0	0	0	25,945	161,211
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		671,894	749,843	425,126	36,185	28,284	6,483	274,584	2,192,399

REGION V									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	5.28%	347,879	283,686	225,714	19,439	15,195	3,483	133,571	1,028,967
F2	13.59%	85,372	70,221	54,413	4,647	3,633	833	31,934	251,053
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	5.28%	0	85,221	0	0	0	0	16,346	101,567
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		455,051	522,878	284,577	24,086	18,828	4,316	181,851	1,491,587

REGION VI									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	3.93%	258,966	211,180	168,024	14,471	11,311	2,593	99,432	765,977
F2	12.41%	78,005	64,162	49,717	4,246	3,319	761	29,178	229,388
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	3.93%	0	63,440	0	0	0	0	12,168	75,608
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		358,771	422,532	222,191	18,717	14,630	3,354	140,778	1,180,973

REGION VII									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	5.00%	329,538	268,729	213,813	18,414	14,394	3,299	126,528	974,715
F2	5.30%	33,330	27,415	21,243	1,814	1,418	325	12,467	98,012
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	5.00%	0	80,728	0	0	0	0	15,484	96,212
ONE-TIME		0	0	0	0	0	0	0	0
SUBTOTAL		384,668	460,622	239,506	20,228	15,812	3,624	154,479	1,278,939
INTERSTATE TRANSFER		50,687	63,261	32,129	2,837	2,369	706	21,547	173,536
TOTAL		435,355	523,883	271,635	23,065	18,181	4,330	176,026	1,452,475

REGION VIII									
PROGRAM BASE		21,800	23,750	4,450	0	0	0	0	50,000
F1	7.36%	485,105	395,590	314,749	27,107	21,188	4,857	186,259	1,434,855
F2	7.81%	49,064	40,357	31,272	2,671	2,088	479	18,353	144,284
ADMIN BASE		0	60,000	0	0	0	0	0	60,000
ADMIN	7.36%	0	118,837	0	0	0	0	22,794	141,631
ONE-TIME		0	0	0	0	0	0	0	0
TOTAL		555,969	638,534	350,471	29,778	23,276	5,336	227,406	1,830,770



	ALLOC %	III-B	III-C1	III-C2	III-D	VII OMB.	VII ABUSE	FAMILY CAREGIVER	TOTAL
<b>TOTAL</b>									
<b>PROGRAM BASE</b>		174,400	190,000	35,600	0	0	0	0	400,000
F1	100.00%	6,589,809	5,373,813	4,275,648	368,228	287,829	65,978	2,530,201	19,491,506
F2	100.00%	628,369	516,857	400,499	34,206	26,738	6,129	235,046	1,847,844
<b>ADMIN BASE</b>		0	480,000	0	0	0	0	0	480,000
ADMIN	100.00%	0	1,614,324	0	0	0	0	309,642	1,923,966
<b>ONE-TIME</b>		0	0	0	0	0	0	0	0
<b>SUBTOTAL</b>		7,392,578	8,174,994	4,711,747	402,434	314,567	72,107	3,074,889	24,143,316
<b>INTERSTATE TRANSFER</b>		50,687	63,261	32,129	2,837	2,369	706	21,547	173,536
<b>TOTAL</b>		7,443,265	8,238,255	4,743,876	405,271	316,936	72,813	3,096,436	24,316,852

## FY-2010 PLANNING LEVELS FOR AAA'S

REGION I	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	1,832,262	1,935,107	904,555	131,023	53,699	25,219	N/A	4,881,865	506,821	ALERT #00-1D
09-10 BASE	3,215,896	3,452,259	2,075,968	178,367	139,423	31,960	1,372,018	10,465,891	969,655	FY 09-10 FUNDS
HOLD-HARMLESS 09-10										ALERT #00-1D LESS EST.FY 09-10 BASE
INCREASE IN BASE 09-10	1,383,634	1,517,152	1,171,413	0	0	0	0	4,072,199	462,834	INCREASE OVER 99-00 BASE
TOTAL 09-10	3,215,896	3,452,259	2,075,968	178,367	139,423	31,960	1,372,018	10,465,891	969,655	FY09-10 PLANNING LEVEL

REGION II	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	669,548	744,811	326,414	46,859	19,205	9,019	N/A	1,815,856	218,466	ALERT #00-1D
09-10 BASE	1,135,087	1,249,319	726,058	62,118	48,555	11,130	476,176	3,708,443	366,615	FY 09-10 FUNDS
HOLD-HARMLESS 09-10										ALERT #00-1D LESS EST.FY 09-10 BASE
INCREASE IN BASE 09-10	465,539	504,508	399,644	0	0	0	0	1,369,691	148,149	INCREASE OVER 99-00 BASE
TOTAL 09-10	1,135,087	1,249,319	726,058	62,118	48,555	11,130	476,176	3,708,443	366,615	FY09-10 PLANNING LEVEL

REGION III	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	340,688	393,666	162,068	22,850	9,365	4,398	N/A	933,035	121,539	ALERT #00-1D
09-10 BASE	615,242	679,007	387,850	32,955	25,759	5,904	247,597	1,994,314	191,467	FY 09-10 FUNDS
HOLD-HARMLESS 09-10										ALERT #00-1D LESS EST.FY 09-10 BASE
INCREASE IN BASE 09-10	274,554	285,341	225,782	0	0	0	0	785,677	69,928	INCREASE OVER 99-00 BASE
TOTAL 09-10	615,242	679,007	387,850	32,955	25,759	5,904	247,597	1,994,314	191,467	FY09-10 PLANNING LEVEL

REGION IV	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	324,136	382,304	154,157	21,731	8,906	4,183	N/A	895,417	123,357	ALERT #00-1D
09-10 BASE	671,894	749,843	425,126	36,185	28,284	6,483	274,584	2,192,399	221,211	FY 09-10 FUNDS
HOLD-HARMLESS 09-10										ALERT #00-1D LESS EST.FY 09-10 BASE
INCREASE IN BASE 09-10	347,758	367,539	270,969	0	0	0	0	986,266	97,854	INCREASE OVER 99-00 BASE
TOTAL 09-10	671,894	749,843	425,126	36,185	28,284	6,483	274,584	2,192,399	221,211	FY09-10 PLANNING LEVEL

REGION V	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	241,515	297,284	113,050	15,744	6,453	3,030	N/A	677,076	102,401	ALERT #00-1D
09-10 BASE	455,051	522,878	284,577	24,086	18,828	4,316	181,851	1,491,587	161,567	FY 09-10 FUNDS
HOLD-HARMLESS 09-10										ALERT #00-1D LESS EST.FY 09-10 BASE
INCREASE IN BASE 09-10	213,536	225,594	171,527	0	0	0	0	610,657	59,166	INCREASE OVER 99-00 BASE
TOTAL 09-10	455,051	522,878	284,577	24,086	18,828	4,316	181,851	1,491,587	161,567	FY09-10 PLANNING LEVEL

REGION VI	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	224,311	280,563	104,546	14,511	5,947	2,793	N/A	632,671	99,081	ALERT #00-1D
09-10 BASE	358,771	422,532	222,191	18,717	14,630	3,354	140,778	1,180,973	135,608	FY 09-10 FUNDS
HOLD-HARMLESS 09-10										ALERT #00-1D LESS EST.FY 09-10 BASE
INCREASE IN BASE 09-10	134,460	141,969	117,645	0	0	0	0	394,074	36,527	INCREASE OVER 99-00 BASE
TOTAL 09-10	358,771	422,532	222,191	18,717	14,630	3,354	140,778	1,180,973	135,608	FY09-10 PLANNING LEVEL

REGION VII	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	257,121	320,733	120,698	16,276	6,866	3,340	N/A	725,034	98,459	ALERT #00-1D
09-10 BASE	435,355	523,883	271,635	23,065	18,181	4,330	176,026	1,452,475	156,212	FY 09-10 FUNDS
HOLD-HARMLESS 09-10										ALERT #00-1D LESS EST.FY 09-10 BASE
INCREASE IN BASE 09-10	178,234	203,150	150,937	0	0	0	0	532,321	57,753	INCREASE OVER 99-00 BASE
							0			
TOTAL 09-10	435,355	523,883	271,635	23,065	18,181	4,330	176,026	1,452,475	156,212	FY09-10 PLANNING LEVEL

REGION VIII	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	319,525	373,099	151,608	21,334	8,744	4,106	N/A	878,416	117,455	ALERT #00-1D
09-10 BASE	555,969	638,534	350,471	29,778	23,276	5,336	227,406	1,830,770	201,631	FY 09-10 FUNDS
HOLD-HARMLESS 09-10										ALERT #00-1D LESS EST.FY 09-10 BASE
INCREASE IN BASE 09-10	236,444	265,435	198,863	0	0	0	0	700,742	84,176	INCREASE OVER 99-00 BASE
							0			
TOTAL 09-10	555,969	638,534	350,471	29,778	23,276	5,336	227,406	1,830,770	201,631	FY09-10 PLANNING LEVEL

TOTAL	IIIB	IIIC1	IIIC2	IIID	VII OMB	VII ABUSE	CAREGIVER	TOTAL	ADMIN	
99-00 BASE	4,209,106	4,727,567	2,037,096	290,328	119,185	56,088	N/A	11,439,370	1,387,579	ALERT #00-1D
09-10 BASE	7,443,265	8,238,255	4,743,876	405,271	316,936	72,813	3,096,436	24,316,852	2,403,966	FY 09-10 FUNDS
HOLD-HARMLESS 09-10	0	0	0	0	0	0	0	0	0	ALERT #00-1D LESS EST.FY 09-10 BASE
INCREASE IN BASE 09-10	3,234,159	3,510,688	2,706,780	0	0	0	0	9,451,627	1,016,387	INCREASE OVER 99-00 BASE
							0			
TOTAL 09-10	7,443,265	8,238,255	4,743,876	405,271	316,936	72,813	3,096,436	24,316,852	2,403,966	FY09-10 PLANNING LEVEL

**NOTE: Admin. Includes both Title III C and Title III-E.**

**NOTE: FY 99-00 Title III-B Base also includes the former III-D (In-Home Services for Frail Older Individuals) allocation.**

**NOTE: FY 99-00 Title III-D Base is the former III-F allocation.**

**NOTE: FY 99-00 Title III-E did not exist in FY 00 (received in FY 01 - 2/13/01 #2001/10)**

# ALERT 10-1B

## FY 09-10 PLANNING LEVELS - FEDERAL FUNDING WITH REQUIRED STATE MATCH FOR AREA AGENCIES ON AGING

Fund Source	I	II	III	IV	V	VI	VII	VIII	TOTAL
<b>III-B</b>	3,215,896	1,135,087	615,242	671,894	455,051	358,771	435,355	555,969	7,443,265
<b>PROGRAM MATCH</b>	189,172	66,770	36,191	39,524	26,768	21,104	25,609	32,704	437,843
<b>TOTAL</b>	3,405,068	1,201,857	651,433	711,418	481,819	379,875	460,964	588,673	7,881,108
<b>III-C1</b>	3,452,259	1,249,319	679,007	749,843	522,878	422,532	523,883	638,534	8,238,255
<b>Less Admin. (See below)</b>	823,255	317,268	170,309	195,266	145,221	123,440	140,728	178,837	2,094,324
<b>III-C1 Sub-total</b>	2,629,004	932,051	508,698	554,577	377,657	299,092	383,155	459,697	6,143,931
<b>PROGRAM MATCH</b>	154,649	54,827	29,924	32,622	22,215	17,594	22,539	27,041	361,411
<b>TOTAL</b>	2,783,653	986,878	538,622	587,199	399,872	316,686	405,694	486,738	6,505,342
<b>III-C2</b>	2,075,968	726,058	387,850	425,126	284,577	222,191	271,635	350,471	4,743,876
<b>PROGRAM MATCH</b>	122,117	42,710	22,815	25,008	16,740	13,070	15,979	20,616	279,054
<b>TOTAL</b>	2,198,085	768,768	410,665	450,134	301,317	235,261	287,614	371,087	5,022,930
<b>III-D</b>	178,367	62,118	32,955	36,185	24,086	18,717	23,065	29,778	405,271
<b>PROGRAM MATCH</b>	10,492	3,654	1,939	2,129	1,417	1,101	1,357	1,752	23,840
<b>TOTAL</b>	188,859	65,772	34,894	38,314	25,503	19,818	24,422	31,530	429,111
<b>VII OMB.</b>	139,423	48,555	25,759	28,284	18,828	14,630	18,181	23,276	316,936
<b>NO MATCH</b>	0	0	0	0	0	0	0	0	0
<b>TOTAL</b>	139,423	48,555	25,759	28,284	18,828	14,630	18,181	23,276	316,936
<b>VII ABUSE</b>	31,960	11,130	5,904	6,483	4,316	3,354	4,330	5,336	72,813
<b>NO MATCH</b>	0	0	0	0	0	0	0	0	0
<b>TOTAL</b>	31,960	11,130	5,904	6,483	4,316	3,354	4,330	5,336	72,813

# ALERT 10-1B

## FY 09-10 PLANNING LEVELS - FEDERAL FUNDING WITH REQUIRED STATE MATCH FOR AREA AGENCIES ON AGING

Fund Source	I	II	III	IV	V	VI	VII	VIII	TOTAL
<b>III-E CAREGIVER</b>	1,372,018	476,176	247,597	274,584	181,851	140,778	176,026	227,406	3,096,436
Less Admin. (See below)	146,400	49,347	21,158	25,945	16,346	12,168	15,484	22,794	309,642
<b>NO MATCH</b>	<b>Match required only on the Administration dollars for III-E (see below)</b>								0
<b>TOTAL</b>	1,225,618	426,829	226,439	248,639	165,505	128,610	160,542	204,612	2,786,794
<b>TITLE III-C1</b>									
ADMIN	823,255	317,268	170,309	195,266	145,221	123,440	140,728	178,837	2,094,324
ADMIN. MATCH	274,418	105,756	56,770	65,089	48,407	41,147	46,909	59,612	698,108
<b>TOTAL</b>	1,097,673	423,024	227,079	260,355	193,628	164,587	187,637	238,449	2,792,432
<b>III-E CAREGIVER</b>									
ADMIN	146,400	49,347	21,158	25,945	16,346	12,168	15,484	22,794	309,642
ADMIN. MATCH	48,800	16,449	7,053	8,648	5,449	4,056	5,161	7,598	103,214
<b>TOTAL</b>	195,200	65,796	28,211	34,593	21,795	16,224	20,645	30,392	412,856
	I	II	III	IV	V	VI	VII	VIII	TOTAL
<b>TOTAL FEDERAL</b>	10,465,891	3,708,443	1,994,314	2,192,399	1,491,587	1,180,973	1,452,475	1,830,770	24,316,852
PROGRAM MATCH	476,430	167,961	90,869	99,283	67,140	52,869	65,484	82,113	1,102,148
ADMIN. MATCH	323,218	122,205	63,823	73,737	53,856	45,203	52,070	67,210	801,322
<b>TOTAL FED/STATE</b>	11,265,539	3,998,609	2,149,006	2,365,419	1,612,583	1,279,045	1,570,029	1,980,093	26,220,322

**NOTE: Match that has been calculated above, is to come from individual Area Agency ILS budgets for FY 2010.**

## ALERT 10-1

<b>FY-10 Region</b>	<b>III-B</b>	<b>III-C1</b>	<b>III-C2</b>	<b>III-D</b>	<b>VII OMB.</b>	<b>VII ABUSE</b>	<b>CAREGIVER</b>	<b>TOTAL</b>	<b>ADMIN*</b>
I	3,180,628	3,414,118	2,062,268	175,237	137,397	31,082	1,362,455	10,363,185	958,947
II	1,122,805	1,236,144	721,286	61,028	47,849	10,825	472,858	3,672,795	363,005
III	608,726	672,350	385,319	32,376	25,385	5,743	245,872	1,975,771	189,920
IV	664,739	742,353	422,347	35,550	27,874	6,306	272,671	2,171,840	219,314
V	450,289	517,954	282,727	23,664	18,554	4,197	180,583	1,477,968	160,371
VI	355,070	418,741	220,754	18,389	14,418	3,262	139,798	1,170,432	134,718
VII	430,803	518,981	269,872	22,662	17,920	4,215	174,802	1,439,255	155,080
VIII	550,081	632,276	348,183	29,255	22,937	5,189	225,821	1,813,742	199,964
<b>TOTAL</b>	<b>7,363,141</b>	<b>8,152,917</b>	<b>4,712,756</b>	<b>398,161</b>	<b>312,334</b>	<b>70,819</b>	<b>3,074,860</b>	<b>24,084,988</b>	<b>2,381,319</b>

## ALERT 10-1B

<b>FY-10 Region</b>	<b>III-B</b>	<b>III-C1</b>	<b>III-C2</b>	<b>III-D</b>	<b>VII OMB.</b>	<b>VII ABUSE</b>	<b>CAREGIVER</b>	<b>TOTAL</b>	<b>ADMIN*</b>
I	3,215,896	3,452,259	2,075,968	178,367	139,423	31,960	1,372,018	10,465,891	969,655
II	1,135,087	1,249,319	726,058	62,118	48,555	11,130	476,176	3,708,443	366,615
III	615,242	679,007	387,850	32,955	25,759	5,904	247,597	1,994,314	191,467
IV	671,894	749,843	425,126	36,185	28,284	6,483	274,584	2,192,399	221,211
V	455,051	522,878	284,577	24,086	18,828	4,316	181,851	1,491,587	161,567
VI	358,771	422,532	222,191	18,717	14,630	3,354	140,778	1,180,973	135,608
VII	435,355	523,883	271,635	23,065	18,181	4,330	176,026	1,452,475	156,212
VIII	555,969	638,534	350,471	29,778	23,276	5,336	227,406	1,830,770	201,631
<b>TOTAL</b>	<b>7,443,265</b>	<b>8,238,255</b>	<b>4,743,876</b>	<b>405,271</b>	<b>316,936</b>	<b>72,813</b>	<b>3,096,436</b>	<b>24,316,852</b>	<b>2,403,966</b>

## Difference

<b>Region</b>	<b>III-B</b>	<b>III-C1</b>	<b>III-C2</b>	<b>III-D</b>	<b>VII OMB.</b>	<b>VII ABUSE</b>	<b>CAREGIVER</b>	<b>TOTAL</b>	<b>ADMIN*</b>
I	35,268	38,141	13,700	3,130	2,026	878	9,563	102,706	10,708
II	12,282	13,175	4,772	1,090	706	305	3,318	35,648	3,610
III	6,516	6,657	2,531	579	374	161	1,725	18,543	1,547
IV	7,155	7,490	2,779	635	410	177	1,913	20,559	1,897
V	4,762	4,924	1,850	422	274	119	1,268	13,619	1,196
VI	3,701	3,791	1,437	328	212	92	980	10,541	890
VII	4,552	4,902	1,763	403	261	115	1,224	13,220	1,132
VIII	5,888	6,258	2,288	523	339	147	1,585	17,028	1,667
<b>TOTAL</b>	<b>80,124</b>	<b>85,338</b>	<b>31,120</b>	<b>7,110</b>	<b>4,602</b>	<b>1,994</b>	<b>21,576</b>	<b>231,864</b>	<b>22,647</b>

Note: Admin. Includes both Title III-E and Title III-C

DIVISION OF AGING & ADULT SERVICES

ALERT 10-3A

STATE FUNDS FOR SFY 2010

CONTRACTING LEVELS

REGION	INITIAL SFY-2010 STATE ILS	SFY-2010 STATE ILS REMAINING BALANCE	SFY-2010 STATE ILS (DECREASE)	SFY-2010 STATE ILS TRANSFER FROM CAP. BLDG.	REVISD SFY-2010 STATE ILS	SFY-2010 INITIAL STATE Program Admin (SGF)	STATE Program Admin (SGF) REMAINING BALANCE	REVISD SFY-2010 STATE Program Admin (SGF) (DECREASE)	TOTAL SFY-2010 STATE Program Admin (SGF)	INITIAL SFY-2010 STATE CAP. BLDG	SFY-2010 STATE CAP. BLDG REMAINING BALANCE	SFY-2010 STATE CAP. BLDG. TRANSFER TO ILS	REVISD SFY-2010 STATE CAP. BLDG
	A	B	C	D	E=A+B+C+D	F	G	H	I=F+G+H	J	K	L	M=J+K+L
I	\$ 1,448,491	\$ 4,344,004	\$ (294,092)	\$ 184,746	\$ 5,683,149	\$ 178,492	\$ 536,945	\$ (32,677)	\$ 682,760	\$ 46,187	\$ 138,559	\$ (184,746)	\$ -
II	\$ 670,800	\$ 2,012,397	\$ (133,735)	\$ 19,548	\$ 2,569,010	\$ 82,279	\$ 246,835	\$ (14,295)	\$ 314,819	\$ 4,887	\$ 14,661	\$ (19,548)	\$ -
III	\$ 300,832	\$ 902,498	\$ (59,337)	\$ -	\$ 1,143,993	\$ 35,609	\$ 106,825	\$ (6,370)	\$ 136,064	\$ -	\$ -	\$ -	\$ -
IV	\$ 289,671	\$ 869,015	\$ (57,146)	\$ -	\$ 1,101,540	\$ 34,292	\$ 102,874	\$ (6,124)	\$ 131,042	\$ -	\$ -	\$ -	\$ -
V	\$ 223,515	\$ 670,546	\$ (48,121)	\$ 92,171	\$ 938,111	\$ 26,459	\$ 79,375	\$ (5,199)	\$ 100,635	\$ 23,043	\$ 69,128	\$ (92,171)	\$ -
VI	\$ 228,312	\$ 684,935	\$ (44,966)	\$ -	\$ 868,281	\$ 26,859	\$ 80,578	\$ (4,869)	\$ 102,568	\$ -	\$ -	\$ -	\$ -
VII	\$ 22,325	\$ 66,977	\$ (9,853)	\$ 120,152	\$ 199,601	\$ 3,481	\$ 10,441	\$ (1,053)	\$ 12,869	\$ 30,038	\$ 90,114	\$ (120,152)	\$ -
VIII	\$ 31,430	\$ 94,287	\$ (10,743)	\$ 99,952	\$ 214,926	\$ 4,492	\$ 13,477	\$ (1,153)	\$ 16,816	\$ 24,988	\$ 74,964	\$ (99,952)	\$ -
<b>TOTAL</b>	<b>\$ 3,215,376</b>	<b>\$ 9,644,659</b>	<b>\$ (657,993)</b>	<b>\$ 516,569</b>	<b>\$ 12,718,611</b>	<b>\$ 391,963</b>	<b>\$ 1,177,350</b>	<b>\$ (71,740)</b>	<b>\$ 1,497,573</b>	<b>\$ 129,143</b>	<b>\$ 387,426</b>	<b>\$ (516,569)</b>	<b>\$ -</b>
<b>ILS = Independent Living Supports</b>													
<b>Base for State Admin. calculation is equal to the sum of State ILS, State Admin., State Ombudsman, and State Respite</b>													

DIVISION OF AGING & ADULT SERVICES														ALERT 10-3A
STATE FUNDS FOR SFY 2010														
CONTRACTING LEVELS														
REGION	INITIAL SFY-2010 KINSHIP CARE	SFY-2010 KINSHIP CARE REMAINING BALANCE	SFY-2010 KINSHIP CARE (DECREASE)	REVISED SFY-2010 KINSHIP CARE	INITIAL SFY-2010 STATE OMBUDSMAN	SFY-2010 STATE OMBUDSMAN REMAINING BALANCE	REVISED SFY-2010 STATE OMBUDSMAN	INITIAL SFY-2010 STATE RESPITE	SFY-2010 STATE RESPITE REMAINING BALANCE	REVISED SFY-2010 STATE RESPITE	INITIAL SFY-2010 DIRECT CARE CURRICULUM	SFY-2010 DIRECT CARE CURRICULUM ALLOCATION	REVISED SFY-2010 DIRECT CARE CURRICULUM	TOTAL SFY-2010 STATE FUNDS
	N	O	P	Q=N+O+P	R	S	T=R+S	U	V	W=U+V	X	Y	Z=X+Y	AA=E+I+M+Q+T+W+Z
I	\$ 100,773	\$ 302,319	\$ (20,291)	\$ 382,801	\$ 98,825	\$ 296,476	\$ 395,301	\$ 59,115	\$ 192,022	\$ 251,137	\$ -	\$ -	\$ -	\$ 7,395,148
II	\$ 34,787	\$ 104,359	\$ (7,002)	\$ 132,144	\$ 49,264	\$ 147,793	\$ 197,057	\$ 20,443	\$ 66,406	\$ 86,849	\$ -	\$ 40,000	\$ 40,000	\$ 3,339,879
III	\$ 34,579	\$ 103,737	\$ (6,962)	\$ 131,354	\$ 11,594	\$ 34,782	\$ 46,376	\$ 8,051	\$ 26,153	\$ 34,204	\$ -	\$ -	\$ -	\$ 1,491,991
IV	\$ 15,218	\$ 45,655	\$ (3,065)	\$ 57,808	\$ 10,774	\$ 32,321	\$ 43,095	\$ 8,178	\$ 26,565	\$ 34,743	\$ -	\$ -	\$ -	\$ 1,368,228
V	\$ 16,356	\$ 49,069	\$ (3,294)	\$ 62,131	\$ 9,270	\$ 27,810	\$ 37,080	\$ 5,342	\$ 17,353	\$ 22,695	\$ -	\$ -	\$ -	\$ 1,160,652
VI	\$ 17,173	\$ 51,519	\$ (3,458)	\$ 65,234	\$ 8,802	\$ 26,405	\$ 35,207	\$ 4,620	\$ 15,008	\$ 19,628	\$ -	\$ -	\$ -	\$ 1,090,918
VII	\$ 9,520	\$ 28,558	\$ (1,915)	\$ 36,163	\$ 7,500	\$ 22,500	\$ 30,000	\$ 1,500	\$ 4,872	\$ 6,372	\$ -	\$ -	\$ -	\$ 285,005
VIII	\$ 8,520	\$ 25,558	\$ (1,713)	\$ 32,365	\$ 7,500	\$ 22,500	\$ 30,000	\$ 1,500	\$ 4,872	\$ 6,372	\$ -	\$ -	\$ -	\$ 300,479
<b>TOTAL</b>	\$ 236,926	\$ 710,774	\$ (47,700)	\$ 900,000	\$ 203,529	\$ 610,587	\$ 814,116	\$ 108,749	\$ 353,251	\$ 462,000	\$ -	\$ 40,000	\$ 40,000	\$ 16,432,300
ILS = Independent Living Supports														
Base for State Admin. calculation is equal to the sum of State ILS, State Admin., State Ombudsman, and State Respite														





<b>DIVISION OF AGING &amp; ADULT SERVICES</b>						
<b>CONTRACT OBLIGATION FOR SFY 2010</b>						
<b>REGION 2</b>	<b>SFY 2009 CARRYOVER</b>	<b>INITIAL SFY 2010 ALERTS</b>	<b>TOTAL SFY 2010 ALERTS</b>	<b>INCREASE (DECREASE) SFY 2010 ALERTS</b>	<b>REVISED TOTAL SFY 2010 AWARDS</b>	
1. PROGRAM ADMIN. (SGF)	\$ -	\$ -	\$ 82,279.00	\$ 232,540.00	\$ 314,819.00	
2. OAA ADMIN. III C-1	\$ -	\$ 314,002.00	\$ 314,002.00	\$ 3,266.00	\$ 317,268.00	
3. OAA ADMIN. III-E	\$ -	\$ 49,003.00	\$ 49,003.00	\$ 344.00	\$ 49,347.00	
4. SSBG ADMIN.	\$ -	\$ 5,594.00	\$ 5,594.00	\$ -	\$ 5,594.00	
5. CAPACITY BUILDING	\$ -	\$ -	\$ 4,887.00	\$ (4,887.00)	\$ -	
6. TITLE III-B	\$ -	\$ 1,122,805.00	\$ 1,122,805.00	\$ 12,282.00	\$ 1,135,087.00	
7. TITLE III-C1	\$ -	\$ 922,142.00	\$ 922,142.00	\$ 9,909.00	\$ 932,051.00	
8. TITLE III-C2	\$ -	\$ 721,286.00	\$ 721,286.00	\$ 4,772.00	\$ 726,058.00	
9. TITLE III-D	\$ -	\$ 61,028.00	\$ 61,028.00	\$ 1,090.00	\$ 62,118.00	
10. TITLE III-E CAREGIVER	\$ -	\$ 423,855.00	\$ 423,855.00	\$ 10,474.00	\$ 434,329.00	
11. NSIP	\$ -	\$ 233,836.00	\$ 233,836.00	\$ -	\$ 233,836.00	
12. TITLE VII ELDER ABUSE	\$ -	\$ 10,825.00	\$ 10,825.00	\$ 305.00	\$ 11,130.00	
13. TITLE VII FED. OMB	\$ -	\$ 47,849.00	\$ 47,849.00	\$ 3,111.00	\$ 50,960.00	
14. STATE IND. LIVING SUPPORTS	\$ -	\$ -	\$ 227,370.00	\$ 654,523.00	\$ 881,893.00	
15. STATE OMBUDSMAN	\$ -	\$ -	\$ 49,264.00	\$ 147,793.00	\$ 197,057.00	
16. STATE RESPITE	\$ -	\$ -	\$ 20,443.00	\$ 66,406.00	\$ 86,849.00	
17. SSBG (SERVICES)	\$ -	\$ 118,631.00	\$ 118,631.00	\$ -	\$ 118,631.00	
18. S.H.I.P.	\$ -	\$ 75,897.00	\$ 75,897.00	\$ -	\$ 75,897.00	
19. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ -	\$ 16,103.00	
20. KINSHIP CARE	\$ -	\$ -	\$ 34,787.00	\$ 97,357.00	\$ 132,144.00	
21. DIRECT CARE CURRICULUM	\$ -	\$ -	\$ -	\$ 40,000.00	\$ 40,000.00	
22. TITLE III-C1 STIMULUS	\$ -	\$ 40,014.00	\$ 40,014.00	\$ -	\$ 40,014.00	
23. TITLE III-C1 ADMIN. STIMULUS	\$ -	\$ 7,539.00	\$ 7,539.00	\$ -	\$ 7,539.00	
24. TITLE III-C2 STIMULUS	\$ -	\$ 25,186.00	\$ 25,186.00	\$ -	\$ 25,186.00	
25. REFUGEE RESETTLEMENT	\$ -	\$ -	\$ 34,000.00	\$ -	\$ 34,000.00	
26. MIPPA	\$ -	\$ -	\$ 47,300.00	\$ -	\$ 47,300.00	
<b>TOTAL</b>	<b>\$ -</b>	<b>\$ 4,195,595.00</b>	<b>\$ 4,695,925.00</b>	<b>\$ 1,279,285.00</b>	<b>\$ 5,975,210.00</b>	
<b>ILS includes a decrease of \$47,133</b>				<b>Program Admin (SGF) includes a decrease of \$14,295</b>		

<b>DIVISION OF AGING &amp; ADULT SERVICES</b>					
<b>CONTRACT OBLIGATION FOR SFY 2010</b>					
<b>REGION 3</b>	<b>SFY 2009 CARRYOVER</b>	<b>INITIAL SFY 2010 ALERTS</b>	<b>TOTAL SFY 2010 ALERTS</b>	<b>INCREASE (DECREASE) SFY 2010 ALERTS</b>	<b>REVISED TOTAL SFY 2010 AWARDS</b>
1. PROGRAM ADMIN. (SGF)	\$ -	\$ -	\$ 35,609.00	\$ 100,455.00	\$ 136,064.00
2. OAA ADMIN. III C-1	\$ -	\$ 168,909.00	\$ 168,909.00	\$ 1,400.00	\$ 170,309.00
3. OAA ADMIN. III-E	\$ -	\$ 21,011.00	\$ 21,011.00	\$ 147.00	\$ 21,158.00
4. SSBG ADMIN.	\$ -	\$ 28,276.00	\$ 28,276.00	\$ -	\$ 28,276.00
5. CAPACITY BUILDING	\$ -	\$ -	\$ -	\$ -	\$ -
6. TITLE III-B	\$ -	\$ 608,726.00	\$ 608,726.00	\$ 6,516.00	\$ 615,242.00
7. TITLE III-C1	\$ -	\$ 503,441.00	\$ 503,441.00	\$ 5,257.00	\$ 508,698.00
8. TITLE III-C2	\$ -	\$ 385,319.00	\$ 385,319.00	\$ 2,531.00	\$ 387,850.00
9. TITLE III-D	\$ -	\$ 32,376.00	\$ 32,376.00	\$ 579.00	\$ 32,955.00
10. TITLE III-E CAREGIVER	\$ -	\$ 224,861.00	\$ 224,861.00	\$ 9,078.00	\$ 233,939.00
11. NSIP	\$ -	\$ 279,106.00	\$ 279,106.00	\$ -	\$ 279,106.00
12. TITLE VII ELDER ABUSE	\$ -	\$ 5,743.00	\$ 5,743.00	\$ 161.00	\$ 5,904.00
13. TITLE VII FED. OMB	\$ -	\$ 25,385.00	\$ 25,385.00	\$ 2,779.00	\$ 28,164.00
14. STATE IND. LIVING SUPPORTS	\$ -	\$ -	\$ 300,832.00	\$ 843,161.00	\$ 1,143,993.00
15. STATE OMBUDSMAN	\$ -	\$ -	\$ 11,594.00	\$ 34,782.00	\$ 46,376.00
16. STATE RESPITE	\$ -	\$ -	\$ 8,051.00	\$ 26,153.00	\$ 34,204.00
17. SSBG (SERVICES)	\$ -	\$ 425,706.00	\$ 425,706.00	\$ -	\$ 425,706.00
18. S.H.I.P.	\$ -	\$ 48,002.00	\$ 48,002.00	\$ -	\$ 48,002.00
19. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ -	\$ 16,103.00
20. AZPOMS	\$ -	\$ -	\$ -	\$ -	\$ -
21. KINSHIP CARE	\$ -	\$ -	\$ 34,579.00	\$ 96,775.00	\$ 131,354.00
22. TITLE III-C1 STIMULUS	\$ -	\$ 21,228.00	\$ 21,228.00	\$ -	\$ 21,228.00
23. TITLE III-C1 ADMIN. STIMULUS	\$ -	\$ 3,233.00	\$ 3,233.00	\$ -	\$ 3,233.00
24. TITLE III-C2 STIMULUS	\$ -	\$ 13,362.00	\$ 13,362.00	\$ -	\$ 13,362.00
25. MIPPA	\$ -	\$ -	\$ 17,800.00	\$ -	\$ 17,800.00
<b>TOTAL</b>	<b>\$ -</b>	<b>\$ 2,810,787.00</b>	<b>\$ 3,219,252.00</b>	<b>\$ 1,129,774.00</b>	<b>\$ 4,349,026.00</b>
ILS includes a decrease of \$59,337	Program Admin (SGF) includes a decrease of \$6,370				



**DIVISION OF AGING & ADULT SERVICES**

**CONTRACT OBLIGATION FOR SFY 2010**

REGION 5	SFY 2009 CARRYOVER	INITIAL SFY 2010 ALERTS	TOTAL SFY 2010 ALERTS	INCREASE (DECREASE) SFY 2010 ALERTS	REVISED TOTAL SFY 2010 AWARDS
1. PROGRAM ADMIN. (SGF)	\$ -	\$ -	\$ 26,459.00	\$ 74,176.00	\$ 100,635.00
2. OAA ADMIN. III C-1	\$ -	\$ 144,139.00	\$ 144,139.00	\$ 1,082.00	\$ 145,221.00
3. OAA ADMIN. III-E	\$ -	\$ 16,232.00	\$ 16,232.00	\$ 114.00	\$ 16,346.00
4. SSBG ADMIN.	\$ -	\$ 26,388.00	\$ 26,388.00	\$ -	\$ 26,388.00
5. CAPACITY BUILDING	\$ -	\$ -	\$ 23,043.00	\$ (23,043.00)	\$ -
6. TITLE III-B	\$ -	\$ 450,289.00	\$ 450,289.00	\$ 4,762.00	\$ 455,051.00
7. TITLE III-C1	\$ -	\$ 373,815.00	\$ 373,815.00	\$ 3,842.00	\$ 377,657.00
8. TITLE III-C2	\$ -	\$ 282,727.00	\$ 282,727.00	\$ 1,850.00	\$ 284,577.00
9. TITLE III-D	\$ -	\$ 23,664.00	\$ 23,664.00	\$ 422.00	\$ 24,086.00
10. TITLE III-E CAREGIVER	\$ -	\$ 164,351.00	\$ 164,351.00	\$ 8,654.00	\$ 173,005.00
11. NSIP	\$ -	\$ 136,124.00	\$ 136,124.00	\$ -	\$ 136,124.00
12. TITLE VII ELDER ABUSE	\$ -	\$ 4,197.00	\$ 4,197.00	\$ 119.00	\$ 4,316.00
13. TITLE VII FED. OMB	\$ -	\$ 18,554.00	\$ 18,554.00	\$ 2,679.00	\$ 21,233.00
14. STATE IND. LIVING SUPPORTS	\$ -	\$ -	\$ 223,515.00	\$ 714,596.00	\$ 938,111.00
15. STATE OMBUDSMAN	\$ -	\$ -	\$ 9,270.00	\$ 27,810.00	\$ 37,080.00
16. STATE RESPITE	\$ -	\$ -	\$ 5,342.00	\$ 17,353.00	\$ 22,695.00
17. SSBG (SERVICES)	\$ -	\$ 237,487.00	\$ 237,487.00	\$ -	\$ 237,487.00
18. S.H.I.P.	\$ -	\$ 39,966.00	\$ 39,966.00	\$ -	\$ 39,966.00
19. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ -	\$ 16,103.00
20. AZPOMS	\$ -	\$ -	\$ -	\$ -	\$ -
21. KINSHIP CARE	\$ -	\$ -	\$ 16,356.00	\$ 45,775.00	\$ 62,131.00
22. DIRECT CARE CURRICULUM	\$ -	\$ -	\$ -	\$ -	\$ -
23. TITLE III-C1 STIMULUS	\$ -	\$ 15,516.00	\$ 15,516.00	\$ -	\$ 15,516.00
24. TITLE III-C1 ADMIN. STIMULUS	\$ -	\$ 2,497.00	\$ 2,497.00	\$ -	\$ 2,497.00
25. TITLE III-C2 STIMULUS	\$ -	\$ 9,766.00	\$ 9,766.00	\$ -	\$ 9,766.00
26. MIPPA	\$ -	\$ -	\$ 15,800.00	\$ -	\$ 15,800.00
<b>TOTAL</b>	\$ -	\$ 1,961,815.00	\$ 2,281,600.00	\$ 880,191.00	\$ 3,161,791.00
ILS includes a decrease of \$48,121				Program Admin (SGF) includes a decrease of \$5,199	

<b>DIVISION OF AGING &amp; ADULT SERVICES</b>						
<b>CONTRACT OBLIGATION FOR SFY 2010</b>						
<b>REGION 6</b>	<b>SFY 2009 CARRYOVER</b>	<b>INITIAL SFY 2010 ALERTS</b>	<b>TOTAL SFY 2010 ALERTS</b>	<b>INCREASE (DECREASE) SFY 2010 ALERTS</b>	<b>REVISED TOTAL SFY 2010 AWARDS</b>	
1. PROGRAM ADMIN. (SGF)	\$ -	\$ -	\$ 26,859.00	\$ 75,709.00	\$ 102,568.00	
2. OAA ADMIN. III C-1	\$ -	\$ 122,634.00	\$ 122,634.00	\$ 806.00	\$ 123,440.00	
3. OAA ADMIN. III-E	\$ -	\$ 12,084.00	\$ 12,084.00	\$ 84.00	\$ 12,168.00	
4. SSBG ADMIN.	\$ -	\$ 26,724.00	\$ 26,724.00	\$ -	\$ 26,724.00	
5. CAPACITY BUILDING	\$ -	\$ -	\$ -	\$ -	\$ -	
6. TITLE III-B	\$ -	\$ 355,070.00	\$ 355,070.00	\$ 3,701.00	\$ 358,771.00	
7. TITLE III-C1	\$ -	\$ 296,107.00	\$ 296,107.00	\$ 2,985.00	\$ 299,092.00	
8. TITLE III-C2	\$ -	\$ 220,754.00	\$ 220,754.00	\$ 1,437.00	\$ 222,191.00	
9. TITLE III-D	\$ -	\$ 18,389.00	\$ 18,389.00	\$ 328.00	\$ 18,717.00	
10. TITLE III-E CAREGIVER	\$ -	\$ 127,714.00	\$ 127,714.00	\$ 8,396.00	\$ 136,110.00	
11. NSIP	\$ -	\$ 88,621.00	\$ 88,621.00	\$ -	\$ 88,621.00	
12. TITLE VII ELDER ABUSE	\$ -	\$ 3,262.00	\$ 3,262.00	\$ 92.00	\$ 3,354.00	
13. TITLE VII FED. OMB	\$ -	\$ 14,418.00	\$ 14,418.00	\$ 2,617.00	\$ 17,035.00	
14. STATE IND. LIVING SUPPORTS	\$ -	\$ -	\$ 228,312.00	\$ 639,969.00	\$ 868,281.00	
15. STATE OMBUDSMAN	\$ -	\$ -	\$ 8,802.00	\$ 26,405.00	\$ 35,207.00	
16. STATE RESPITE	\$ -	\$ -	\$ 4,620.00	\$ 15,008.00	\$ 19,628.00	
17. SSBG (SERVICES)	\$ -	\$ 350,488.00	\$ 350,488.00	\$ -	\$ 350,488.00	
18. S.H.I.P.	\$ -	\$ 35,954.00	\$ 35,954.00	\$ -	\$ 35,954.00	
19. SENIOR PATROL	\$ -	\$ 16,103.00	\$ 16,103.00	\$ -	\$ 16,103.00	
20. AZPOMS	\$ -	\$ -	\$ -	\$ -	\$ -	
21. KINSHIP CARE	\$ -	\$ -	\$ 17,173.00	\$ 48,061.00	\$ 65,234.00	
22. TITLE III-C1 STIMULUS	\$ -	\$ 12,057.00	\$ 12,057.00	\$ -	\$ 12,057.00	
23. TITLE III-C1 ADMIN. STIMULUS	\$ -	\$ 1,859.00	\$ 1,859.00	\$ -	\$ 1,859.00	
24. TITLE III-C2 STIMULUS	\$ -	\$ 7,589.00	\$ 7,589.00	\$ -	\$ 7,589.00	
25. MIPPA	\$ -	\$ -	\$ 15,300.00	\$ -	\$ 15,300.00	
<b>TOTAL</b>	<b>\$ -</b>	<b>\$ 1,709,827.00</b>	<b>\$ 2,010,893.00</b>	<b>\$ 825,598.00</b>	<b>\$ 2,836,491.00</b>	
<b>ILS includes a decrease of \$44,966</b>						
				<b>Program Admin (SGF) includes a decrease of \$4,869</b>		







DIVISION OF AGING & ADULT SERVICES						
CONTRACT OBLIGATION FOR SFY 2010						
REGION 9	SFY 2009 CARRYOVER	INITIAL SFY 2010 ALERTS	TOTAL SFY 2010 ALERTS	INCREASE (DECREASE) SFY 2010 ALERTS	REVISED TOTAL SFY 2010 AWARDS	
1. PROGRAM ADMIN. (SGF)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2. OAA ADMIN. III C-1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3. OAA ADMIN. III-E	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4. SSBG ADMIN.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5. CAPACITY BUILDING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6. TITLE III-B	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7. TITLE III-C1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
8. TITLE III-C2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9. TITLE III-D	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10. TITLE III-E CAREGIVER	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
11. NSIP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
12. TITLE VII ELDER ABUSE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
13. TITLE VII FED. OMB	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
14. STATE IND. LIVING SUPPORTS	\$ -	\$ -	\$ 443,430.00	\$ 1,243,687.00	\$ 1,687,117.00	\$ 1,687,117.00
15. STATE OMBUDSMAN	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
16. STATE RESPITE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
17. SSBG (SERVICES)	\$ -	\$ 1,118,877.00	\$ 1,118,877.00	\$ -	\$ 1,118,877.00	\$ 1,118,877.00
18. S.H.I.P.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
19. SENIOR PATROL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
20. AZPOMS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
21. KINSHIP CARE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>TOTAL</b>	\$ -	\$ 1,118,877.00	\$ 1,562,307.00	\$ 1,243,687.00	\$ 2,805,994.00	\$ 2,805,994.00
ILS includes a decrease of \$86,602						

<b>DIVISION OF AGING &amp; ADULT SERVICES</b>					
<b>CONTRACT OBLIGATION FOR SFY 2010</b>					
<b>STATE TOTAL</b>	<b>SFY 2009 CARRYOVER</b>	<b>INITIAL SFY 2010 ALERTS</b>	<b>TOTAL SFY 2010 AWARDS</b>	<b>INCREASE/ (DECREASE) SFY 2010 ALERTS</b>	<b>REVISED TOTAL SFY 2010 AWARDS</b>
<b>1. PROGRAM ADMIN. (SGF)</b>	\$ -	\$ -	\$ 391,963.00	\$ 1,105,610.00	\$ 1,497,573.00
<b>2. OAA ADMIN. III C-1</b>	\$ -	\$ 2,073,832.00	\$ 2,073,832.00	\$ 20,492.00	\$ 2,094,324.00
<b>3. OAA ADMIN. III-E</b>	\$ -	\$ 307,487.00	\$ 307,487.00	\$ 2,155.00	\$ 309,642.00
<b>4. SSBG ADMIN.</b>	\$ -	\$ 309,772.00	\$ 309,772.00	\$ -	\$ 309,772.00
<b>5. CAPACITY BUILDING</b>	\$ -	\$ -	\$ 129,143.00	\$ (129,143.00)	\$ -
<b>6. TITLE III-B</b>	\$ -	\$ 7,363,141.00	\$ 7,363,141.00	\$ 80,124.00	\$ 7,443,265.00
<b>7. TITLE III-C1</b>	\$ -	\$ 6,079,085.00	\$ 6,079,085.00	\$ 64,846.00	\$ 6,143,931.00
<b>8. TITLE III-C2</b>	\$ -	\$ 4,712,756.00	\$ 4,712,756.00	\$ 31,120.00	\$ 4,743,876.00
<b>9. TITLE III-D</b>	\$ -	\$ 398,161.00	\$ 398,161.00	\$ 7,110.00	\$ 405,271.00
<b>10. TITLE III-E CAREGIVER</b>	\$ -	\$ 2,767,373.00	\$ 2,767,373.00	\$ 79,421.00	\$ 2,846,794.00
<b>11. NSIP</b>	\$ -	\$ 2,163,699.00	\$ 2,163,699.00	\$ -	\$ 2,163,699.00
<b>12. TITLE VII ELDER ABUSE</b>	\$ -	\$ 70,819.00	\$ 70,819.00	\$ 1,994.00	\$ 72,813.00
<b>13. TITLE VII FED. OMB</b>	\$ -	\$ 312,334.00	\$ 312,334.00	\$ 23,842.00	\$ 336,176.00
<b>14. STATE IND. LIVING SUPPORTS</b>	\$ -	\$ -	\$ 3,215,376.00	\$ 9,503,235.00	\$ 12,718,611.00
<b>15. STATE OMBUDSMAN</b>	\$ -	\$ -	\$ 203,529.00	\$ 610,587.00	\$ 814,116.00
<b>16. STATE RESPITE</b>	\$ -	\$ -	\$ 108,749.00	\$ 353,251.00	\$ 462,000.00
<b>17. SSBG (SERVICES)</b>	\$ -	\$ 4,422,561.00	\$ 4,422,561.00	\$ -	\$ 4,422,561.00
<b>18. S.H.I.P.</b>	\$ -	\$ 500,220.00	\$ 500,220.00	\$ -	\$ 500,220.00
<b>19. SENIOR PATROL</b>	\$ -	\$ 128,824.00	\$ 128,824.00	\$ -	\$ 128,824.00
<b>20. AZPOMS</b>	\$ -	\$ -	\$ -	\$ 53,440.00	\$ 53,440.00
<b>21. NAVAJO SENIOR CTR.</b>	\$ -	\$ -	\$ -	\$ -	\$ -
<b>22. KINSHIP CARE</b>	\$ -	\$ -	\$ 236,926.00	\$ 663,074.00	\$ 900,000.00
<b>23. DIRECT CARE CURRICULUM</b>	\$ -	\$ -	\$ -	\$ 40,000.00	\$ 40,000.00
<b>24. TITLE III-C1 STIMULUS</b>	\$ -	\$ 261,500.00	\$ 261,500.00	\$ -	\$ 261,500.00
<b>25. TITLE III-C1 ADMIN. STIMULUS</b>	\$ -	\$ 47,309.00	\$ 47,309.00	\$ -	\$ 47,309.00
<b>26. TITLE III-C2 STIMULUS</b>	\$ -	\$ 164,288.00	\$ 164,288.00	\$ -	\$ 164,288.00
<b>27. REFUGEE RESETTLEMENT</b>	\$ -	\$ -	\$ 34,000.00	\$ -	\$ 34,000.00
<b>28. MIPPA</b>	\$ -	\$ -	\$ 210,000.00	\$ -	\$ 210,000.00
<b>TOTAL</b>	\$ -	\$ 32,083,161.00	\$ 36,612,847.00	\$ 12,511,158.00	\$ 49,124,005.00
<b>ILS includes a decrease of \$657,993</b>	<b>Program Admin (SGF) includes a decrease of \$71,740</b>				

**DIVISION OF AGING & ADULT SERVICES**

**CONTRACT OBLIGATION FOR SFY 2010**

	<b>SFY 2009 CARRYOVER</b>	<b>INITIAL SFY 2010 ALERTS</b>	<b>TOTAL SFY 2010 AWARDS</b>	<b>REVISED SFY 2010 ALERTS</b>	<b>REVISED TOTAL SFY 2010 AWARDS</b>
<b>STATE TOTAL</b>					
<b>PROGRAM ADMIN. (SGF)</b>	\$ -	\$ -	\$ 391,963.00	\$ 1,105,610.00	\$ 1,497,573.00
<b>OLDER AMERICANS ACT</b>	\$ -	\$ 24,084,988.00	\$ 24,084,988.00	\$ 311,104.00	\$ 24,396,092.00
<b>OLDER AMERICANS STIMULUS</b>	\$ -	\$ 473,097.00	\$ 473,097.00	\$ -	\$ 473,097.00
<b>STATE (ILS, CB, RSP, OMB, DCC)</b>	\$ -	\$ -	\$ 3,656,797.00	\$ 10,377,930.00	\$ 14,034,727.00
<b>SSBG REGIONS 1-9</b>	\$ -	\$ 4,732,333.00	\$ 4,732,333.00	\$ -	\$ 4,732,333.00
<b>S.H.I.P./SENIOR PATROL</b>	\$ -	\$ 629,044.00	\$ 629,044.00	\$ -	\$ 629,044.00
<b>NSIP</b>	\$ -	\$ 2,163,699.00	\$ 2,163,699.00	\$ -	\$ 2,163,699.00
<b>AZPOMS - NEXT GENERATION</b>	\$ -	\$ -	\$ -	\$ 53,440.00	\$ 53,440.00
<b>KINSHIP CARE</b>	\$ -	\$ -	\$ 236,926.00	\$ 663,074.00	\$ 900,000.00
<b>REFUGEE RESETTLEMENT</b>	\$ -	\$ -	\$ 34,000.00	\$ -	\$ 34,000.00
<b>MIPPA</b>	\$ -	\$ -	\$ 210,000.00	\$ -	\$ 210,000.00
<b>BELOW-THE-LINE SUBTOTAL</b>	\$ -	\$ 32,083,161.00	\$ 36,612,847.00	\$ 12,511,158.00	\$ 49,124,005.00
<b>NAVAJO SENIOR CTR. TOTAL</b>	\$ -	\$ -	\$ -	\$ -	\$ -
<b>TOTAL</b>	\$ -	\$ 32,083,161.00	\$ 36,612,847.00	\$ 12,511,158.00	\$ 49,124,005.00

**NOTE: The following list reflects the most recent ALERTS issued to support the amounts reflected:**

<b>ALERT</b>	<b>FUND SOURCE</b>	<b>DATE ISSUED</b>
<b>1. ALERT 10-1A/B</b>	<b>TITLE III/VII SFY 2010</b>	<b>9/9/2009</b>
1A. ALERT 10-1	TITLE III STIMULUS FOR SFY 2010	4/17/2009
2. ALERT 10-2	SSBG ALLOCATION FOR SFY 2010	4/17/2009
<b>3. ALERT 10-3A</b>	<b>STATE ALLOCATION FOR SFY 2010</b>	<b>9/9/2009</b>
<b>4. ALERT 10-4A</b>	<b>STATE OMBUDSMAN ALLOCATION FOR SFY 2010</b>	<b>9/9/2009</b>
5. ALERT 10-6	NSIP ALLOCATION FOR SFY 2010	4/17/2009
6. ALERT 10-7	SHIP & SENIOR PATROL ALLOCATIONS FOR SFY 2010	4/17/2009
<b>7. ALERT 10-8A</b>	<b>STATE RESPITE ALLOCATION FOR SFY 2010</b>	<b>9/9/2009</b>
8. ALERT 10-11	REFUGEE RESETTLEMENT ALLOCATION FOR SFY 2010	5/29/2009
9. ALERT 10-11B	MIPPA ALLOCATION FOR SFY 2010	7/24/2009
<b>10. ALERT 10-11C</b>	<b>AZPOMS - NEXT GENERATION ALLOCATION FOR SFY 2010</b>	<b>9/9/2009</b>